



## NON-INTERVENTIONAL (NI) STUDY PROTOCOL

### Study information

|                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|----------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Title</b>                                             | A Post-Marketing Safety Study to Evaluate the Safety of Respiratory Syncytial Virus Vaccine (ABRYSVO™) Exposure During Pregnancy in an Integrated Healthcare System in the United States                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| <b>Protocol number</b>                                   | C3671042                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| <b>Protocol version identifier</b>                       | V3.1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| <b>Date</b>                                              | 03 February 2026                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>EU Post Authorization Study (PAS) register number</b> | Study will be registered prior to the start of data collection                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>Active substance</b>                                  | Respiratory Syncytial Virus Vaccine, J07BX05                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>Medicinal product</b>                                 | ABRYSVO™ (PF-06928316)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| <b>Research question and objectives</b>                  | <p>The overall research question is: what is the risk of preterm birth, hypertensive disorders of pregnancy, and other pre-specified adverse pregnancy, maternal, and neonatal/infant outcomes following exposure to respiratory syncytial virus prefusion F vaccine (RSVpreF) [Brand name: ABRYSVO™] during pregnancy?</p> <p><u>Primary objective:</u></p> <p>To estimate the risk of (1) preterm birth, and (2) hypertensive disorders of pregnancy following exposure to ABRYSVO™ during pregnancy</p> <p><u>Secondary objectives:</u></p> <p>To estimate the risk of the following safety outcomes of interest following exposure to ABRYSVO™ during pregnancy:</p> <ul style="list-style-type: none"> <li>Pregnancy-related outcomes: stillbirth, premature labor (without preterm delivery), premature rupture of membranes (PROM), preterm premature rupture of membranes (PPROM), cesarean delivery, prolonged maternal</li> </ul> |

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|               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|---------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|               | <p>length of stay (LOS) after delivery, placental abruption;</p> <ul style="list-style-type: none"> <li>• Maternal outcomes: thrombocytopenia, Guillain-Barré Syndrome (GBS), other immune-mediated demyelinating conditions, polyneuropathies, atrial fibrillation, all-cause maternal mortality; and</li> <li>• Neonatal/infant outcomes: small for gestational age (SGA), large for gestational age (LGA), low birth weight (LBW), admission to a neonatal intensive care unit (NICU) and NICU duration of stay, mechanical ventilation in neonatal period, neonatal death</li> </ul> |
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01-Aug-2023

## 1. TABLE OF CONTENTS

|                                                                                   |    |
|-----------------------------------------------------------------------------------|----|
| 1. TABLE OF CONTENTS.....                                                         | 3  |
| 2. LIST OF ABBREVIATIONS.....                                                     | 5  |
| 3. RESPONSIBLE PARTIES.....                                                       | 7  |
| 4. ABSTRACT.....                                                                  | 8  |
| 5. AMENDMENTS AND UPDATES.....                                                    | 12 |
| 6. MILESTONES.....                                                                | 14 |
| 7. RATIONALE AND BACKGROUND.....                                                  | 14 |
| 8. RESEARCH QUESTION AND OBJECTIVES .....                                         | 15 |
| 9. RESEARCH METHODS .....                                                         | 15 |
| 9.1. Study Design .....                                                           | 15 |
| 9.2. Setting.....                                                                 | 16 |
| 9.2.1. Study Period.....                                                          | 16 |
| 9.2.2. Study Population.....                                                      | 17 |
| 9.2.2.1. Pregnancy Cohort.....                                                    | 17 |
| 9.2.2.2. Infant Cohort .....                                                      | 17 |
| 9.3. Variables.....                                                               | 18 |
| 9.3.1. Exposure of Interest.....                                                  | 18 |
| 9.3.1.1. ABRYSVO™-Exposed and ABRYSVO™-Unexposed<br>Follow-up Time .....          | 18 |
| 9.3.2. Outcomes of Interest.....                                                  | 19 |
| 9.3.2.1. Primary Outcomes of Interest.....                                        | 19 |
| 9.3.2.2. Secondary Outcomes of Interest .....                                     | 19 |
| 9.3.3. Covariates of Interest.....                                                | 21 |
| 9.4. Data Sources.....                                                            | 23 |
| 9.5. Study Size.....                                                              | 23 |
| 9.6. Data Management .....                                                        | 24 |
| 9.7. Data Analysis .....                                                          | 24 |
| 9.7.1. Interim Analysis.....                                                      | 24 |
| 9.7.2. Final Analysis .....                                                       | 25 |
| 9.7.2.1. Analysis of the Primary Outcomes and Pregnancy-related<br>Outcomes ..... | 25 |

PFIZER CONFIDENTIAL

CT24-WI-GL02-RF02 5.0 Non-Interventional Study Protocol Template For Secondary Data Collection Study

01-Aug-2023

Page 3 of 43

090177e1a580ec4e\Approved\Approved On: 13-Feb-2026 09:59 (GMT)

|                                                                                                  |    |
|--------------------------------------------------------------------------------------------------|----|
| 9.7.2.2. Analysis of Maternal Outcomes .....                                                     | 26 |
| 9.7.2.3. Analysis of Neonatal/Infant Outcomes .....                                              | 27 |
| 9.7.2.4. Additional Exploratory Analysis.....                                                    | 27 |
| 9.7.3. Sensitivity Analyses.....                                                                 | 27 |
| 9.8. Quality Control.....                                                                        | 28 |
| 9.9. Strengths and Limitations of the Research Methods.....                                      | 28 |
| 9.9.1. Limitations of the Research Methods .....                                                 | 28 |
| 9.9.2. Strengths of the Research Methods .....                                                   | 29 |
| 9.10. Other Aspects .....                                                                        | 29 |
| 10. PROTECTION OF HUMAN PARTICIPANTS .....                                                       | 29 |
| 10.1. Patient Information.....                                                                   | 29 |
| 10.2. Patient Consent.....                                                                       | 29 |
| 10.3. Institutional Review Board (IRB)/ Ethics Committee (EC).....                               | 30 |
| 10.4. Ethical Conduct of the Study .....                                                         | 30 |
| 11. MANAGEMENT AND REPORTING OF ADVERSE EVENTS/ADVERSE REACTIONS .....                           | 30 |
| 12. PLANS FOR DISSEMINATING AND COMMUNICATING STUDY RESULTS.....                                 | 30 |
| 13. REFERENCES .....                                                                             | 31 |
| 14. LIST OF TABLES .....                                                                         | 34 |
| 15. LIST OF FIGURES .....                                                                        | 34 |
| ANNEX 1. LIST OF STANDALONE DOCUMENTS .....                                                      | 34 |
| ANNEX 2. ENCEPP CHECKLIST FOR STUDY PROTOCOLS .....                                              | 34 |
| ANNEX 3. ADDITIONAL INFORMATION.....                                                             | 34 |
| 16. APPENDIX 1. OPERATIONALIZATION OF THE PRIMARY, PREGNANCY-RELATED, AND MATERNAL OUTCOMES..... | 35 |
| 17. APPENDIX 2. OPERATIONALIZATION OF NEONATAL/INFANT OUTCOMES.....                              | 42 |

## 2. LIST OF ABBREVIATIONS

| Abbreviation | Definition                                                                      |
|--------------|---------------------------------------------------------------------------------|
| AE           | adverse event                                                                   |
| ACIP         | Advisory Committee on Immunization Practices                                    |
| ACOG         | American College of Obstetricians and Gynecologists                             |
| BMI          | body mass index                                                                 |
| CI           | confidence interval                                                             |
| EC           | Ethics Committee                                                                |
| EMA          | European Medicines Agency                                                       |
| EMR          | electronic medical record                                                       |
| EU           | European Union                                                                  |
| FDA          | Food and Drug Administration                                                    |
| GBS          | Guillain-Barré Syndrome                                                         |
| HELLP        | Hemolysis, Elevated Liver enzymes and Low Platelets                             |
| HMA          | Heads of Medicines Agencies                                                     |
| ICD-10-CM    | International Classification of Diseases, Tenth Revision, Clinical Modification |
| IR           | incidence rate                                                                  |
| IRB          | Institutional Review Board                                                      |
| ISPE         | International Society for Pharmacoepidemiology                                  |
| ITP          | immune thrombocytopenia                                                         |
| KPNC         | Kaiser Permanente Northern California                                           |
| KPVSC        | Kaiser Permanente Vaccine Study Center                                          |
| LGA          | large for gestational age                                                       |

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CT24-WI-GL02-RF02 5.0 Non-Interventional Study Protocol Template For Secondary Data Collection Study

01-Aug-2023

Page 5 of 43

090177e1a580ec4e\Approved\Approved On: 13-Feb-2026 09:59 (GMT)

| Abbreviation | Definition                                          |
|--------------|-----------------------------------------------------|
| LMP          | last menstrual period                               |
| LOS          | length of stay                                      |
| LRTD         | lower respiratory tract disease                     |
| MATISSE      | MAterNal Immunization Study for Safety and Efficacy |
| NDI          | neighborhood deprivation index                      |
| NICU         | neonatal intensive care unit                        |
| PAS          | post-authorization studies                          |
| PASS         | post-authorization safety study                     |
| PMR          | postmarketing requirement                           |
| PPROM        | preterm premature rupture of membranes              |
| PROM         | premature rupture of membranes                      |
| RR           | relative risk                                       |
| RSV          | respiratory syncytial virus                         |
| RSVpreF      | respiratory syncytial virus prefusion F vaccine     |
| RWD          | real world data                                     |
| SAP          | statistical analysis plan                           |
| SAS          | Statistical Analysis System                         |
| SES          | socioeconomic status                                |
| SGA          | small for gestational age                           |
| TTP          | thrombotic thrombocytopenic purpura                 |
| US           | United States                                       |
| USPI         | United States Product Information                   |

### 3. RESPONSIBLE PARTIES

#### Principal Investigator(s) of the Protocol

| Name, degree(s)               | Job Title                                                                                     | Affiliation                              | Address                                              |
|-------------------------------|-----------------------------------------------------------------------------------------------|------------------------------------------|------------------------------------------------------|
| Cynthia de Luise,<br>PhD, MPH | Senior Director,<br>Safety<br>Surveillance<br>Research,<br>Worldwide<br>Medical and<br>Safety | Pfizer, Inc                              | 66 Hudson Blvd E, New<br>York, NY 10001, USA         |
| Ousseny Zerbo,<br>PhD         | Research Scientist<br>II, Vaccine Study<br>Center                                             | Kaiser Permanente<br>Northern California | 1 Kaiser Plaza, 17th Floor<br>Oakland, CA 94612, USA |
| Nicola P. Klein,<br>MD, PhD   | Director, Division<br>of Research<br>Vaccine Study<br>Center                                  | Kaiser Permanente<br>Northern California | 1 Kaiser Plaza, 17th Floor<br>Oakland, CA 94612, USA |

090177e1a580ec4e\Approved\Approved On: 13-Feb-2026 09:59 (GMT)

#### 4. ABSTRACT

**Title:** A Post-Marketing Safety Study to Evaluate the Safety of Respiratory Syncytial Virus Vaccine (ABRYSVO™) Exposure During Pregnancy in an Integrated Healthcare System in the United States

Version: 3.1

Date: 03 February 2026

Primary Authors: Sampada Gandhi and Cynthia de Luise, Pfizer, Inc

##### **Rationale and background:**

ABRYSVO™ is an unadjuvanted bivalent RSVpreF containing two stabilized prefusion F proteins representing the two major respiratory syncytial vaccine (RSV) subgroups, A and B. RSVpreF was approved in the United States (US) on 31 May 2023 for the prevention of lower respiratory tract disease (LRTD) caused by RSV in individuals 60 years of age and older and on 21 August 2023 for active immunization of pregnant individuals at 32 through 36 weeks' gestational age for the prevention of LRTD and severe LRTD caused by RSV in infants from birth through 6 months of age.

Additional characterization of safety endpoints in a real-world population of pregnant individuals, including those who may have been ineligible for clinical trials, is valuable and important. This protocol describes a pregnancy post-marketing study to evaluate the real-world safety of RSVpreF in pregnant individuals and their infants using an integrated healthcare system in the US. This non-interventional study is designated as a post-authorization safety study (PASS) and is a post marketing requirement (PMR) to the US Food and Drug Administration (FDA).

##### **Research question and objectives:**

The overall research question is: what is the risk of preterm birth, hypertensive disorders of pregnancy, and other pre-specified adverse pregnancy, maternal, and neonatal/infant outcomes following exposure to ABRYSVO™ during pregnancy?

##### Primary objective:

To estimate the risk of (1) preterm birth, and (2) hypertensive disorders of pregnancy following exposure to ABRYSVO™ during pregnancy.

##### Secondary objectives:

To estimate the risk of the following safety outcomes of interest following exposure to ABRYSVO™ during pregnancy:

- Pregnancy-related outcomes: stillbirth, premature labor (without preterm delivery), PROM, PPRM, cesarean delivery, prolonged maternal LOS after delivery, placental abruption;

- Maternal outcomes: thrombocytopenia, GBS, other immune-mediated demyelinating conditions, polyneuropathies, atrial fibrillation, all-cause maternal mortality; and
- Neonatal/infant outcomes: SGA, LGA, LBW, admission to a NICU and NICU duration of stay, mechanical ventilation in neonatal period, and neonatal death.

**Study design:** This is a real-world retrospective non-interventional cohort study using electronic health record data from an integrated healthcare system, Kaiser Permanente Northern California (KPNC) in the US during a study period from 22 September 2023 [corresponding to the date when the Advisory Committee on Immunization Practices (ACIP) and American College of Obstetricians and Gynecologists (ACOG) recommended ABRYSSVO™ in the US] through 12 January 2028 (corresponding to a 42-day postpartum period after the last eligible pregnancy reaches 42<sup>0/7</sup> completed weeks of gestation).

**Population:** The study population will include a pregnancy cohort comprising all eligible pregnancies that reach 32<sup>0/7</sup> completed weeks of gestation during a 4-year period from 22 September 2023 to 22 September 2027. The start of follow-up of eligible pregnancies will be at 32<sup>0/7</sup> weeks' gestation consistent with the United States Product information (USPI) and ACIP recommendation. All live born infants from the eligible pregnancies in the pregnancy cohort will be included in the infant cohort.

#### Variables:

Exposure of interest: exposure to ABRYSSVO™ will be identified in the pregnancy cohort using the data from the KPNC electronic medical records (EMR) from the KPNC database, which captures all vaccination data including vaccination date and manufacturer. ABRYSSVO™ exposure will be a time-varying variable. Pregnant individuals will contribute unexposed follow-up time starting at 32<sup>0/7</sup> weeks' gestation until they are exposed to ABRYSSVO™, at which point they contribute exposed follow-up time to the analysis. Exposure to ABRYSSVO™ that occurs after the ACIP-recommended timeframe (i.e., on or after 37<sup>0/7</sup> weeks' gestation) will be censored in the analysis.

Outcomes of interest: the following outcomes will be defined using International Classification of Diseases, Tenth Revision, Clinical Modification (ICD-10-CM) codes or validated algorithms as available in relevant data sources in the maternal and/or infant EMR:

- Primary outcomes: preterm birth and hypertensive disorders of pregnancy
- Secondary outcomes:
  - A. Pregnancy-related outcomes: stillbirth, premature labor (without preterm delivery), PROM, PPRM, cesarean delivery, prolonged maternal LOS after delivery, placental abruption;

B. Maternal outcomes: thrombocytopenia, GBS, other immune-mediated demyelinating conditions, polyneuropathies, atrial fibrillation, all-cause maternal mortality; and

C. Neonatal/infant outcomes: SGA, LGA, LBW, admission to a NICU and NICU duration of stay, mechanical ventilation in neonatal period, neonatal death.

Key maternal/infant covariates of interest: the following covariates of interest will be identified within the KPNC EMR and may be considered for inclusion as potential confounders or effect modifiers in the analysis.

- Maternal sociodemographic characteristics, maternal clinical characteristics, pre-existing maternal comorbidities, assisted reproduction in the current pregnancy, excessive stress, documented shortened cervix in the current pregnancy identified after 22 weeks of gestation, early bleeding in pregnancy, short interpregnancy interval of <3 months, prior history of placental disorder, placenta previa or placental abruption, and healthcare utilization prior to the current pregnancy
- Newborn sex, newborn race/ethnicity, gestational age at birth of the newborn

**Data source:** KPNC is an integrated delivery health care organization with more than 4.5 million members. KPNC has a comprehensive EMR that captures data on all medical services, including immunization and pregnancy related care from before pregnancy, during pregnancy to delivery and beyond. Pregnancies will be identified using the KPNC pregnancy database. This pregnancy database includes pregnancies with a documented and validated pregnancy outcome (e.g., miscarriage, stillbirth, live birth) as recorded in the EMR.

**Study size/Power:** An estimated 168,000 pregnancies and live births are anticipated to be captured in the KPNC pregnancy database during the 4-year period from 22 September 2023 to 22 September 2027. Conservatively assuming that at least 50% of the total pregnancies/births will be included in the study after applying eligibility criteria and assuming ABRYSVO™ uptake of 20%, a prevalence of 8.5% and 15.9% for preterm birth and hypertensive disorders of pregnancy, respectively, the study will have at least 80% power to detect a minimum relative risk (RR) of 1.07 for preterm birth and 1.06 for hypertensive disorders of pregnancy when comparing pregnant individuals who are exposed to ABRYSVO™ during pregnancy versus those who are not exposed to ABRYSVO™.

**Data analysis:** The two primary outcomes of interest, pregnancy-related outcomes and maternal outcomes will be examined in the pregnancy cohort and neonatal/infant outcomes will be examined in the infant cohort. Preterm birth will be assessed up to 36<sup>6/7</sup> weeks' gestation. Hypertensive disorders of pregnancy will be assessed until 42-days postpartum. All pregnancy-related outcomes will be ascertained until the end of pregnancy, hospital discharge date after delivery, or gestational age where appropriate. All maternal outcomes will be assessed until 42-days post-vaccination with ABRYSVO™. The following neonatal/infant outcomes will be assessed at birth: SGA, LGA and LBW. The remainder of

neonatal/infant outcomes, i.e., admission to a NICU, mechanical ventilation, and neonatal death will be assessed between birth up to 28 days after birth.

Interim analysis will include counts of pregnancies meeting the eligibility criteria for the pregnancy cohort. Descriptive summaries of maternal and neonatal/infant covariates of interest among pregnant individuals and infants born to eligible pregnant individuals will be provided. Incidence rates (IRs) and associated 95% confidence intervals (CIs) will be calculated for the primary outcomes of interest, as well as for each pregnancy-related, maternal, and neonatal/infant outcomes of interest in the ABRYSVO™-exposed and unexposed pregnancies.

The final analysis will include a descriptive summary of key maternal and infant covariates of interest among the pregnancy and infant cohorts. For the primary outcomes and pregnancy-related outcomes, Cox regression anchored on gestational age (or time-since-conception for outcomes that are assessed in the postpartum period) will be used to compare the risk of an outcome in pregnant individuals who were exposed to ABRYSVO™ with the risk in similar pregnant individuals who were not exposed to ABRYSVO™ (reference group), adjusting for covariates. The analysis will include ABRYSVO™ exposure as a time-varying variable, whereby pregnant individuals contribute unexposed follow-up time until they are exposed to ABRYSVO™, at which point they contribute exposed follow-up time to the analysis. Cox regression will also be used for analysis of the maternal outcomes with exposure to ABRYSVO™ as a time-varying variable. On each day of the days-since-conception timeline, the risk of a maternal outcome in ABRYSVO™-exposed individuals in the 42-day post-vaccination risk window will be compared to the risk of a maternal outcome in similar ABRYSVO™-unexposed individuals (reference group), adjusting for covariates. For neonatal/infant outcomes, logistic regression, adjusting for key covariates will be fitted to examine the odds of these outcomes among ABRYSVO™-exposed pregnant individuals compared to ABRYSVO™-unexposed individuals (reference group).

An additional exploratory analysis will also be performed in the final analysis, with an objective to capture real-world ABRYSVO™ exposures occurring in all eligible pregnancies that reach 24<sup>0/7</sup> completed weeks of gestation during a 4-year period from 22 September 2023 to 22 September 2027. The study period for the exploratory analysis will end on 08 March 2028.

**Milestones:** A final protocol will be submitted to the FDA on 29 March 2024 and was revised on 20 June 2024. The estimated start and end of data collection is 30 September 2026 and 08 September 2028, respectively. A statistical analysis plan (SAP) will be submitted to the FDA on 30 June 2025. An interim report is planned for 31 March 2027 and final report is planned for 08 March 2029.

## 5. AMENDMENTS AND UPDATES

| Version Identifier | Date             | Amendment Type (substantial or administrative) | Protocol Section(s) Changed                                       | Summary of Amendment(s)                                                                                                   | Reason                                                  |
|--------------------|------------------|------------------------------------------------|-------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| 3.1                | 03 February 2026 | Administrative                                 | Section 9.6: Data Management and Section 9.7: Data Analysis       | Updated type and version of software used                                                                                 | To clarify type and version of software used            |
| 3.0                | 09 January 2026  | Substantial                                    | Title Page: Author                                                | Added Cynthia de Luise as a protocol author                                                                               | Addition of author                                      |
|                    |                  |                                                | Section 4: Abstract / Research Question and Objectives; Variables | Added placental abruption to list of Pregnancy related outcomes                                                           | Addition of placental abruption outcome per FDA request |
|                    |                  |                                                | Section 4: Abstract / Variables                                   | Added prior history of placenta previa and placental abruption to covariates of interest                                  | Supports placental abruption outcome                    |
|                    |                  |                                                | Section 8: Research Questions and Objectives                      | Added placental abruption to list of Pregnancy related outcomes                                                           | Addition of placental abruption outcome                 |
|                    |                  |                                                | Section 9.3.2.2.1: Pregnancy-related Outcomes                     | Added placental abruption to list of Pregnancy related outcomes                                                           | Addition of placental abruption outcome                 |
|                    |                  |                                                | Section 9.3.3: Covariates of Interest                             | Added history of placenta disorders, placenta previa and placental abruption prior to current pregnancy to covariate list | Supports placental abruption outcome                    |
|                    |                  |                                                | Section 16: Appendix 1 – Operationalization                       | Specified placental abruption on table                                                                                    | Addition of placental                                   |

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01-Aug-2023

Page 12 of 43

090177e1a580ec4e\Approved\Approved On: 13-Feb-2026 09:59 (GMT)

|     |              |             | of the Primary, Pregnancy-Related, and Maternal Outcomes | of Pregnancy related outcomes                                                                        | abruption outcome                                                                         |
|-----|--------------|-------------|----------------------------------------------------------|------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| 2.0 | 14 June 2024 | Substantial | 9.2.2.1.2. Exclusion Criteria                            | Revised exclusion criterion 3 to “Exposed to any other RSV vaccine at any time during the pregnancy” | To address the possibility that other RSV vaccines in addition to Arexvy may be available |
|     |              |             | 3 Responsible Parties                                    | Update name of Pfizer Safety Surveillance Study Lead                                                 | Personnel change                                                                          |

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## 6. MILESTONES

| Milestone                                            | Planned Date                                |
|------------------------------------------------------|---------------------------------------------|
| Registration in the HMA-EMA Catalogue of RWD studies | Pending (prior to start of data collection) |
| Start of data collection                             | 30 September 2026                           |
| Interim report                                       | 31 March 2027                               |
| End of data collection                               | 08 September 2028                           |
| Final study report                                   | 08 March 2029                               |

## 7. RATIONALE AND BACKGROUND

ABRYSVO™ is a bivalent RSVpreF that is unadjuvanted and composed of two preF proteins to optimize protection against RSV A and B strains. RSVpreF was approved in the US on 31 May 2023 for the prevention of LRTD caused by RSV in individuals 60 years of age and older and on 21 August 2023 for active immunization of pregnant individuals at 32 through 36 weeks' gestational age for the prevention of LRTD and severe LRTD caused by RSV in infants from birth through 6 months of age.

RSV is a major cause of severe LRTD in infants and young children. Globally, an estimated 33 million cases of RSV-associated acute lower respiratory infection occur annually among children < 5 year of age, with 6.5 million cases occurring among those 0-6 months of age (Li et al, 2021). Further, the estimated annual incidence rate of RSV-associated hospitalizations is 5 per 1000 children < 5 year of age and 20 per 1000 infants 0-6 months of age (Li et al, 2021). In the US, the annual incidence of RSV-associated hospitalization is an estimated 19 per 1000 among infants < 1 year of age and 26 per 1000 among those 0-6 months of age, suggesting approximately 79,850 RSV-associated hospitalizations occur annually among infants < 1 year of age (McLaughlin et al, 2022).

The ongoing Phase 3 clinical trial (NCT04424316), MATISSE (MATernal Immunization Study for Safety and Efficacy), is evaluating the efficacy, immunogenicity, and safety of ABRYSVO when administered to pregnant individuals between 24<sup>0/7</sup> to 36<sup>6/7</sup> weeks gestational age. In this study, the rates of adverse events (AEs), severe AEs, and life-threatening AEs among both maternal participants and infant participants (who were born to mothers who received ABRYSVO or placebo) were similar in the ABRYSVO and placebo groups. The rate of preterm birth in the full MATISSE population (vaccinated between 24<sup>0/7</sup> and 36<sup>6/7</sup>) was 5.7% in the ABRYSVO group versus 4.7% in the placebo group, but the difference was not statistically significant. In a Phase 2, randomized, placebo-controlled, observer-blinded study that investigated the safety of two dose levels (120 mcg and a higher dose) of ABRYSVO administered to pregnant individuals, preterm births occurred in 5.3% (6 out of 114) in the ABRYSVO group and 2.6% (3 out of 116) in the placebo group. A numerical imbalance in preterm births in ABRYSVO recipients compared to placebo recipients was observed in both studies. However, available data are insufficient to establish or exclude a causal

relationship between preterm birth and ABRYSSVO [Package Insert - ABRYSSVO (STN 125769/26) (fda.gov)].

Additional characterization of safety endpoints in a real-world population of pregnant individuals, including those who may have been ineligible for clinical trials, is valuable and important. This protocol describes a real-world pregnancy post-marketing study to evaluate the safety of RSVpreF in pregnant individuals and their infants using an integrated healthcare system in the US. This non-interventional study is designated as a PASS and is a PMR to the FDA.

## 8. RESEARCH QUESTION AND OBJECTIVES

The overall research question is: what is the risk of preterm birth, hypertensive disorders of pregnancy, and other pre-specified adverse pregnancy, maternal, and neonatal/infant outcomes following exposure to ABRYSSVO™ during pregnancy?

- The primary study objective is to estimate the risk of (1) preterm birth, and (2) hypertensive disorders of pregnancy following exposure to ABRYSSVO™ during pregnancy.
- The secondary study objective is to estimate the risk of the following safety outcomes of interest following exposure to ABRYSSVO™ during pregnancy:
  - Pregnancy-related outcomes: stillbirth, premature labor (without preterm delivery), PROM, PPRM, cesarean delivery, prolonged maternal LOS after delivery, placental abruption;
  - Maternal outcomes: thrombocytopenia, GBS, other immune-mediated demyelinating conditions, polyneuropathies, atrial fibrillation, all-cause maternal mortality; and
  - Neonatal/infant outcomes: SGA, LGA, LBW, admission to a NICU and NICU duration of stay, mechanical ventilation in neonatal period, neonatal death.

## 9. RESEARCH METHODS

### 9.1. Study Design

This is a retrospective real-world non-interventional cohort study using electronic health record data from an integrated healthcare system in the US. A pregnancy cohort will include all eligible pregnancies that reach 32<sup>0/7</sup> completed weeks of gestation and that are identified during a 4-year period from 22 September 2023 [corresponding to the date when ACIP recommended ABRYSSVO™ in the US] to 22 September 2027. All live born infants from the eligible pregnancies in the pregnancy cohort will be included in the infant cohort. The incidence of all primary and secondary outcomes of interest will be calculated among eligible ABRYSSVO™-exposed and unexposed pregnancies. Cox regression anchored on gestational age (or time-since-conception) will be used to compare the incidence of the two primary

outcomes, pregnancy-related outcomes, and maternal outcomes of interest between the ABRYSVO™-exposed and ABRYSVO™-unexposed pregnancies, adjusting for key covariates. In addition, logistic regression will be used to compare the odds of neonatal/infant outcomes among infants whose mothers were exposed to ABRYSVO™ versus those who were not exposed, adjusting for key covariates.

## 9.2. Setting

The study setting is KPNC, an integrated delivery health care organization with more than 4.5 million members. KPNC members are broadly representative of the catchment population in terms of sociodemographic characteristics, except for the extremes of income distribution. KPNC has a comprehensive EMR that captures data on all medical services, including immunization and pregnancy related care from before pregnancy, during pregnancy to delivery and beyond.

### 9.2.1. Study Period

The study period will begin on 22 September 2023, corresponding to the date when ACIP and ACOG recommended ABRYSVO™ in the US. All eligible pregnancies that reach 32<sup>0/7</sup> completed weeks of gestation between 22 September 2023 and 22 September 2027 will be identified and will comprise the pregnancy cohort. Assuming the last eligible pregnancy(s) of gestational age of 32<sup>0/7</sup> weeks identified on 22 September 2027 reaches 42<sup>0/7</sup> completed weeks of gestation, the delivery is anticipated to occur in 10 weeks, i.e., on 01 December 2027. Table 1 below outlines the latest date of follow-up for the primary, pregnancy-related and maternal outcomes for the pregnancy cohort, and neonatal/infant outcomes for the infant cohort. Thus, the study period will end on 12 January 2028.

**Table 1. Latest dates of follow-up for the final analysis of the primary, pregnancy-related and maternal outcomes for the pregnancy cohort, and neonatal/infant outcomes for the infant cohort**

| Cohort           | Eligible pregnancies are estimated to end or a birth would occur by: | Follow-up for outcomes of interest through:              |
|------------------|----------------------------------------------------------------------|----------------------------------------------------------|
| Pregnancy cohort | 01 December 2027                                                     | 12 January 2028 (i.e., 42-day post-partum <sup>*</sup> ) |
| Infant cohort    | 01 December 2027                                                     | 29 December 2027 (i.e., 28-day post-birth <sup>†</sup> ) |

\* All pregnancy-related outcomes will be ascertained until end of pregnancy, hospital discharge date after delivery, or gestational age where appropriate. Preterm birth will be assessed up to 36<sup>6/7</sup> weeks gestation. Hypertensive disorders of pregnancy which will be assessed until 42-days postpartum. Maternal outcomes will be assessed up to 42-days post-vaccination. † The following neonatal/infant outcomes will be assessed at birth: SGA, LGA and LBW and 28 days is the maximum follow-up time for infants for the outcomes of admission to NICU, whether the infant required mechanical ventilation after birth, and neonatal death.

## 9.2.2. Study Population

### 9.2.2.1. Pregnancy Cohort

Pregnancies will be identified using the KPNC pregnancy database. This pregnancy database includes pregnancies with a documented and validated pregnancy outcome (e.g., miscarriage, stillbirth, live birth) as recorded in the EMR.

Pregnancy onset dates are typically calculated using documented gestational age, estimated delivery date using ultrasound information, or last menstrual period (LMP). The pregnancy end date is either the delivery date (i.e., live birth) or terminal pregnancy outcome date (e.g., fetal death, stillbirth).

The two primary outcomes of interest, pregnancy-related outcomes, and maternal outcomes as described in Section 9.3.2 below will be examined in the pregnancy cohort.

#### 9.2.2.1.1. Inclusion Criteria

Pregnancies must meet all of the following inclusion criteria to be included in the pregnancy cohort.

1. 32<sup>0/7</sup> completed weeks of gestation between 22 September 2023 and 22 September 2027
2. Maternal age 15-54 years at 32<sup>0/7</sup> weeks' gestation
3. Presence of at least 1 prenatal visit between pregnancy onset and <20 weeks' gestation
4. Continuous health plan enrollment of the pregnant individual with medical and pharmacy benefits for a minimum of 90 days prior to pregnancy onset date through the date of delivery/pregnancy outcome, with no more than a 1-month administrative gap in coverage being permitted

#### 9.2.2.1.2. Exclusion Criteria

1. Loss of pregnancy or delivery <32 weeks' gestation
2. Exposed to ABRYSVO™ prior to 32<sup>0/7</sup> weeks of gestation
3. Exposed to any other RSV vaccine at any time during the pregnancy

### 9.2.2.2. Infant Cohort

All live born infants born from the eligible pregnancies, which meet the inclusion/exclusion criteria outlined in Section 9.2.2.1.1 and Section 9.2.2.1.2 will be included in the infant cohort for examination of the neonatal/infant outcomes as described in Section 9.3.2. There are no exclusion criteria that will be applied to the infant cohort.

## 9.3. Variables

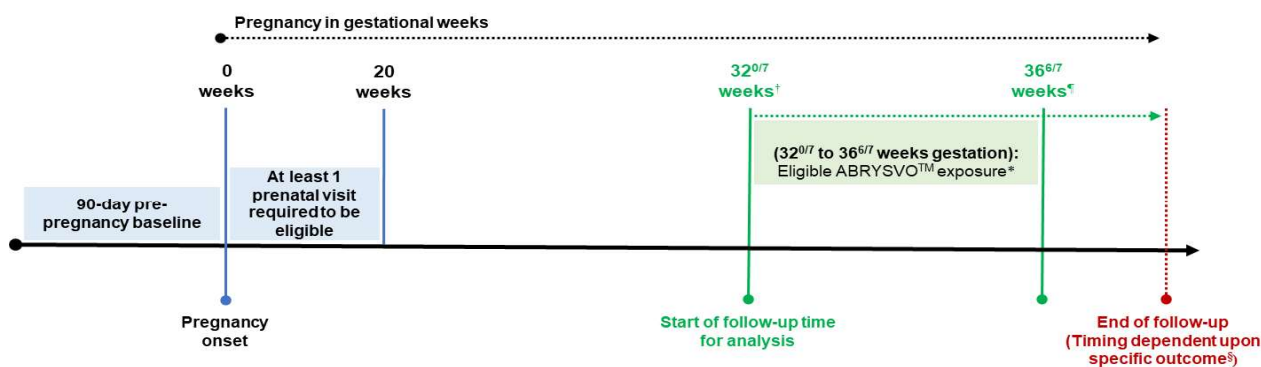
### 9.3.1. Exposure of Interest

Exposure to ABRYSVO™ will be identified using the data from the KPNC EMR, which captures all vaccination data including vaccination date and manufacturer.

#### 9.3.1.1. ABRYSVO™-Exposed and ABRYSVO™-Unexposed Follow-up Time

ABRYSVO™ exposure will be a time-varying variable. Pregnant individuals will contribute to unexposed follow-up time starting at 32<sup>0/7</sup> weeks' gestation (Figure 1). Those who become exposed to ABRYSVO™ will have a change in exposure status on the date of vaccination, after which they will contribute exposed follow-up time to the analysis. Day 0 will be considered the day of exposure to ABRYSVO™. Individuals who are exposed to ABRYSVO™ after the ACIP-recommended timeframe (i.e., on or after 37<sup>0/7</sup> weeks' gestation) will be censored in the analysis. If an exposure to ABRYSVO™ occurs on day of delivery or terminal pregnancy outcome, the pregnancy will not be counted as 'exposed' but treated as 'unexposed' in the analysis up until the day before exposure.

**Figure 1. Study design schematic to depict eligible ABRYSVO™ exposure window and follow-up time for each eligible pregnancy**



\* ABRYSVO™ exposure is a time-varying variable. Pregnant individuals contribute unexposed follow-up time starting at 32<sup>0/7</sup> weeks. Those who become exposed to ABRYSVO™ will have a change in exposure status on the date of vaccination, after which they contribute exposed follow-up time to the analysis. Follow-up for exposed and unexposed subjects continues until an outcome occurs or delivery (depending on the outcome), death, loss to follow-up, or end of the outcome-specific risk window, whichever comes first.

† Individuals that were exposed prior to 32<sup>0/7</sup> weeks are excluded from the analysis.

‡ Individuals exposed to ABRYSVO™ after the ACIP-recommended timeframe (e.g., on or after 37<sup>0/7</sup> weeks' gestation) will be censored from the day of exposure onward in the analysis.

§ For example, end of follow-up for preterm birth will be at 36<sup>6/7</sup> weeks and end of follow up will be at 42-days post-partum for hypertensive disorders of pregnancy.

### 9.3.2. Outcomes of Interest

Outcomes of interest are required to be incident outcomes that did not occur during the pregnancy prior to <32<sup>0/7</sup> weeks' gestation. For a pregnant individual who is ABRYSVO™ exposed after 32<sup>0/7</sup> weeks' gestation, the outcome must not have occurred prior to exposure. Day 1 will be the first possible day that an outcome will be counted (following Day 0, the day of exposure).

Outcomes will be defined using relevant ICD-10-CM diagnosis codes or validated algorithms as available in relevant data sources in the maternal and/or infant EMR. Please refer to [Appendix 1](#) for a clinical definition of the primary outcomes, pregnancy-related outcomes, and maternal outcomes, a list of ICD-10-CM codes or relevant data sources to be used to identify these outcomes, and the timing of ascertainment. Similarly, [Appendix 2](#) provides clinical definitions of neonatal/infant outcomes, a list of ICD-10-CM codes or relevant data sources to be used to identify these outcomes, and the timing of ascertainment.

#### 9.3.2.1. Primary Outcomes of Interest

- Preterm birth defined as delivery <37 weeks' gestation (including only live births), assessed up to 36<sup>6/7</sup> weeks' gestation ([Appendix 1](#))
- Hypertensive disorders of pregnancy defined as gestational hypertension, preeclampsia, eclampsia, chronic hypertension superimposed with preeclampsia/eclampsia, Hemolysis, Elevated Liver enzymes and Low Platelets (HELLP) syndrome, and postpartum hypertension (overall and each sub-outcome), assessed up to 42-days postpartum ([Appendix 1](#))

#### 9.3.2.2. Secondary Outcomes of Interest

##### 9.3.2.2.1. Pregnancy-related Outcomes

The following incident pregnancy-related outcomes will be ascertained at the specified timing listed below for each outcome. These will be identified in the EMR using ICD-10-CM codes ([Appendix 1](#)):

- Stillbirth, assessed at end of pregnancy
- Premature labor (without preterm delivery), assessed up to 36<sup>6/7</sup> weeks' gestation
- PROM, assessed at end of pregnancy
- PPRM, assessed up to 36<sup>6/7</sup> weeks' gestation
- Cesarean delivery, assessed on the date of delivery
- Prolonged maternal LOS after delivery (defined as >2 days for a vaginal delivery or >4 days for a cesarean delivery), assessed at hospital discharge date

- Placental abruption, assessed through end of pregnancy

#### 9.3.2.2.2. Maternal Outcomes

The following incident maternal outcomes will be identified in the EMR using ICD-10-CM codes ([Appendix 1](#)). All maternal outcomes will be assessed up to 42-days following vaccination with ABRYSVO™:

- Thrombocytopenia (immune thrombocytopenia [ITP], thromboembolic events associated with thrombocytopenia, and thrombotic thrombocytopenic purpura [TTP])
- GBS
- Other immune-mediated demyelinating conditions (acute disseminated encephalitis and encephalomyelitis, acute transverse myelitis in demyelinating disease of central nervous system, optic neuritis, neuromyelitis optica, and other acute demyelinating diseases)
- Polyneuropathies (inflammatory polyneuropathy, serum neuropathy, other inflammatory polyneuropathies, chronic inflammatory demyelinating polyneuritis, multifocal motor neuropathy, other inflammatory polyneuropathies, drug-induced polyneuropathy, other polyneuropathies)
- Atrial fibrillation
- All-cause maternal mortality

#### 9.3.2.2.3. Neonatal/Infant Outcomes

The following neonatal/infant outcomes will be identified within the EMR ([Appendix 2](#)):

- SGA based on birthweight and gestational age, percentile on Fenton scale <0.1 ([Fenton et al 2003](#) and [Fenton et al 2013](#)) or ICD-10-CM codes, assessed at birth
- LGA based on birthweight and gestational age, percentile on Fenton scale >0.9 ([Fenton et al 2003](#) and [Fenton et al 2013](#)), or ICD-10-CM codes, assessed at birth
- LBW based on birthweight <2500 grams, assessed at birth
- Admission to a NICU between birth up to 28-days after birth (categorical variable: yes/no)
- Duration of stay in NICU in number of days, if admitted to NICU in first 28-days after birth (continuous variable)
- Required mechanical ventilation between birth up to 28-days after birth (categorical variable: yes/no)

- Neonatal death between birth up to 28-days after birth (categorical variable: yes/no)

### 9.3.3. Covariates of Interest

The following maternal covariates of interest will be identified within the EMR and may be considered for inclusion as potential confounders or effect modifiers in the analysis of the primary, pregnancy-related and/or maternal outcomes. Further details on operationalization of each covariate of interest will be described in the SAP:

- Maternal age in years at 32<sup>0/7</sup> weeks of gestation (continuous [e.g., mean age] and categorical [e.g., 15-<18 years, 18-<25 years, 25-<30 years, 30-<35 years, 35-<40 years, 40-<45 years, 45-<50 years, 50-54 years])
- Self-reported maternal race/ethnicity (e.g., White, Asian, Black, Hispanic, Multiracial, Native American, Pacific Islander, Unknown)
- Maternal KPNC geographic region based upon where the pregnant individual seeks most prenatal care (e.g., Central Valley, Diablo Valley, East Bay, Greater Sacramento Area, Greater San Francisco Bay Area, North Bay, and South Bay)
- Maternal neighborhood deprivation index (NDI) may be used as a proxy for socioeconomic status (SES)
- Maternal insurance type (e.g., subsidized, non-subsidized)
- Neighborhood level of education may be used as a proxy for SES (reported as the percentage of adults with an educational level of high school or less)
- Month and year of pregnancy onset
- Maternal pre-pregnancy BMI (e.g., based on measured height/weight closest to pregnancy onset in the 3 months prior to estimated pregnancy onset, up to the end of the first trimester <14 weeks' gestation)
- Parity (e.g., 1, 2, ≥3 live births and stillbirths)
- Pre-existing hypertensive disorders identified in the 90 days prior to pregnancy onset, up to pregnancy onset
- Hypertensive disorders of pregnancy identified from date of current pregnancy onset until 31<sup>6/7</sup> weeks of gestation
- Gestational diabetes (diagnosed in the current pregnancy)
- History of preterm birth (if available)
- Number of prenatal visits (in the current pregnancy) as a proxy for healthcare utilization during pregnancy
- Early bleeding in pregnancy (to be defined in the SAP)
- Excessive stress (code list to be further refined in the SAP)
- Other vaccines administered during pregnancy (e.g., influenza, Tdap, COVID-19)

PFIZER CONFIDENTIAL

CT24-WI-GL02-RF02 5.0 Non-Interventional Study Protocol Template For Secondary Data Collection Study

01-Aug-2023

Page 21 of 43

- Method of delivery (vaginal or cesarean)
- Assisted reproduction in the current pregnancy identified in the 90 days prior to pregnancy onset
- Documented shortened cervix in the current pregnancy identified after 22 weeks of gestation (timing of assessment to be defined in the SAP)
- Short interpregnancy interval of <3 months (pregnant individuals with presence of any pregnancy or delivery related diagnosis or procedure codes occurring within 90 days prior to the current pregnancy onset will be categorized as those with a short interpregnancy interval)
- Healthcare utilization prior to the current pregnancy (e.g., number of weeks with an outpatient visit in the year prior to pregnancy, number of hospital admissions in the year prior to pregnancy)
- History of placental disorder, placenta previa or placental abruption prior to the current pregnancy
- Maternal pre-existing comorbidities as listed below, identified from 90 days prior to and up to pregnancy onset) within the EMR using ICD-10-CM diagnosis and/or procedure codes
  - a. Diabetes (Type 1 and Type 2)
  - b. Chronic hypertension (i.e., diagnosed prior to LMP, or at least prior to 20 weeks of gestation)
  - c. Cardiovascular disease
  - d. Cerebrovascular diseases
  - e. Chronic respiratory disease, excluding asthma
  - f. Asthma
  - g. Chronic kidney disease
  - h. Chronic liver disease
  - i. Cancer
  - j. Epilepsy
  - k. Connective tissue disorders
  - l. Thyroid disorders
  - m. Chronic anemia
  - n. Antiphospholipid syndrome

- o. Uterine and cervical factors (e.g., leiomyoma, procedures such as cold knife conization, electrosurgical excision, history of procedural abortion)

The following neonatal/infant covariates of interest will be identified within the EMR and will be descriptively summarized:

- Newborn sex (male or female)
- Newborn race/ethnicity (e.g., White, Asian, Black, Hispanic, Multiracial, Native American, Pacific Islander, Unknown)
- Gestational age at birth of the newborn (reported as categorical variable)

#### 9.4. Data Sources

This study will use KPNC EMR data collected as part of routine clinical care. KPNC is an integrated health care delivery system with a membership of over 4.6 million. As one of the earliest sites of the Vaccine Safety Datalink project initiated by the Centers for Disease Control and Prevention, KPNC has provided clinical, methodological, and data expertise to evaluate the safety of vaccines in several large observational studies, including ones in pregnant women and their infants.

Baseline characteristics (e.g., age, race/ethnicity, relevant medical history), vaccination history, outcome event data, and infant birth data will be extracted from the KPNC EMR to create study datasets in the required file format for analysis. These data include demographics, membership information, pre-defined pregnancy-related, maternal, and neonatal/infant outcomes, healthcare encounters (e.g., inpatient, outpatient, hospitalizations, and emergency department), immunization information, clinical outcomes, and diagnoses.

Outcomes will be identified using ICD-10-CM diagnosis codes, measured birthweight (in addition to gestational age, depending on the outcome), and/or healthcare encounter data (e.g., number of days in the NICU). Neonatal deaths will be identified using KPNC's mortality table, which contains validated deaths. Maternal deaths will be identified in the KPNC EMR as well.

#### 9.5. Study Size

There are approximately 42,000 live births every year at KPNC. During the 4-year period from 22 September 2023 to 22 September 2027, an estimated 168,000 total births are anticipated. Since the uptake of ABRYSVO™ is unknown, power is calculated based on 20%, 10% and 5% uptake among pregnant individuals and assumes the risk of preterm birth among the unexposed pregnancies as 8.5% based on the 2019 US preterm birth rate for singleton births ([Martin et al 2021](#)) and assumes the risk of hypertensive disorders of pregnancy among the unexposed pregnancies as 15.9% as reported using data from the US National Inpatient Sample for the year 2019 ([Ford et al 2022](#)). Additionally, it conservatively assumes that at least 50% of total pregnancies/births will be included in the study after applying eligibility criteria. Assuming a type 1 error of 5%, (two-sided test), the study will have at least 80% power to detect a minimum RR of 1.07 and 1.06 for preterm birth and

hypertensive disorders of pregnancy, respectively, if 20% of the pregnant individuals are exposed to RSV vaccine (Table 2).

**Table 2. Minimum detectable RR comparing ABRYSVO™-exposed pregnant individuals versus unexposed individuals with 80% Power and type 1 error of 5%**

| Primary Outcome of Interest         | Minimum RR by Percentage of ABRYSVO™ Vaccine Uptake in the Pregnancy Cohort |      |      |
|-------------------------------------|-----------------------------------------------------------------------------|------|------|
|                                     | 20%                                                                         | 10%  | 5%   |
| Preterm birth                       | 1.07                                                                        | 1.11 | 1.15 |
| Hypertensive disorders of pregnancy | 1.06                                                                        | 1.08 | 1.11 |

## 9.6. Data Management

Data management will be carried out by experienced data analysts in accordance with the standard operating procedures at Kaiser Permanente Vaccine Study Center (KPVSC). Routine procedures include checking electronic files, maintaining security and data confidentiality, and performing quality-control checks of all programming. All data management and statistical analyses will be conducted using software such as Statistical Analysis System (SAS) Version 9.4 (SAS Institute, Cary, NC, USA), R (version 4.3.1 or higher), and/or Python (version 3.11.9 or higher).

## 9.7. Data Analysis

Detailed methodology for summary and statistical analyses of data collected in this study will be documented in an SAP, which will be dated, filed, and maintained by the sponsor. The SAP may modify the plans outlined in the protocol; any major modifications of primary endpoint definitions or their analyses would be reflected in a protocol amendment.

Statistical analyses will be performed using software such as SAS, version 9.4 or higher (SAS Institute Inc., Cary, NC), R (version 4.3.1 or higher), and/or Python (version 3.11.9 or higher).

### 9.7.1. Interim Analysis

The interim analysis will be descriptive. The descriptive results will be stratified by type of pregnancy, i.e., among singleton pregnancies (and births) and among multiple gestation pregnancies (and births). All eligible pregnancies that have been identified during 22 September 2023 to 10 April 2026 will be included in the interim analysis. Assuming the last eligible pregnancy(s) of gestational age of 32<sup>0/7</sup> weeks identified on 10 April 2026 reaches 42<sup>0/7</sup> completed weeks of gestation, the delivery is anticipated to occur in 10 weeks, i.e., on 19 June 2026. Table 3 below outlines the latest date of follow-up for the interim analysis of the primary, pregnancy-related and maternal outcomes for the pregnancy cohort, and neonatal/infant outcomes for the infant cohort. Thus, the study period for interim analysis will end on 31 July 2026.

**Table 3. Latest dates of follow-up for the interim analysis of the primary, pregnancy-related and maternal outcomes for the pregnancy cohort, and neonatal/infant outcomes for the infant cohort**

| Cohort           | Eligible pregnancies are estimated to end or a birth would occur by: | Follow-up for outcomes of interest through:           |
|------------------|----------------------------------------------------------------------|-------------------------------------------------------|
| Pregnancy cohort | 19 June 2026                                                         | 31 July 2026 (i.e., 42-day post-partum <sup>*</sup> ) |
| Infant cohort    | 19 June 2026                                                         | 17 July 2026 (i.e., 28-day post-birth <sup>†</sup> )  |

\* All pregnancy-related outcomes will be ascertained until end of pregnancy, hospital discharge date after delivery, or gestational age where appropriate. Preterm birth will be assessed up to 36<sup>6/7</sup> weeks gestation. Hypertensive disorders of pregnancy which will be assessed until 42-days postpartum. Maternal outcomes will be assessed up to 42-days post-vaccination. † The following neonatal/infant outcomes will be assessed at birth: SGA, LGA and LBW and 28 days is the maximum follow-up time for infants for the outcomes of admission to NICU, whether the infant required mechanical ventilation after birth, and neonatal death.

Descriptive results will include the following:

- (1) Descriptive summaries of maternal and neonatal/infant covariates of interest for pregnant individuals and infants born to eligible pregnant individuals as listed in Section 9.3.3 will be provided.
- (2) Incidence rates (IRs) and 95% CIs will be calculated for the two primary outcomes, all pregnancy-related outcomes, maternal outcomes, and neonatal/infant outcomes among ABRYSVO™-exposed and ABRYSVO™-unexposed pregnancies. The denominator for pregnancy-related outcomes will be the number of pregnancies or person-time at risk. The denominator for neonatal/infant outcomes will be the number of live-born infants.

### 9.7.2. Final Analysis

The final analysis will include the descriptive analysis as described in Section 9.7.1. In addition to the descriptive analysis, the following comparative analysis depending upon the type of outcome (primary, pregnancy-related, maternal, or neonatal/infant) and an additional exploratory analysis will be undertaken.

#### 9.7.2.1. Analysis of the Primary Outcomes and Pregnancy-related Outcomes

This analysis will use a days-since-conception timeline, which reflects the gestational age in days starting at pregnancy onset up to the end of the pregnancy period, plus any follow-up time that extends into the post-partum period (for example, the start of follow-up is on 32<sup>0/7</sup> weeks, i.e., 224<sup>th</sup> days-since-conception). For the primary outcomes and pregnancy-related outcomes, Cox regression anchored on gestational age (or time-since-conception for outcomes that are assessed in the postpartum period) will be used to compare the risk of an outcome in pregnant individuals who were exposed to ABRYSVO™ with the risk in similar pregnant individuals who were unexposed to ABRYSVO™, adjusting for covariates. The

details on which covariates of interest will be adjusted for in the final analysis will be described in the SAP. The analysis will include ABRYSVO™ exposure as a time-varying variable whereby pregnant individuals contribute unexposed follow-up time until they are exposed to ABRYSVO™, at which point they contribute exposed follow-up time to the analysis. On every day of gestational age (or in the postpartum follow-up period) that an outcome occurs in an ABRYSVO™-exposed pregnant individual, a risk set is formed in which the risk among ABRYSVO™-exposed individuals who are still in follow-up (i.e., the outcome has not occurred), will be compared to the risk among ABRYSVO™-unexposed individuals who are still in follow-up.

The analysis will compare pregnant individuals who are exposed to ABRYSVO™ during the ACIP recommended timeframe of 32<sup>0/7</sup> weeks' gestation to pregnant individuals who are not (yet) exposed to ABRYSVO™ on or after 32<sup>0/7</sup> weeks' gestation. Pregnant individuals will be excluded from this analysis if they are exposed to ABRYSVO™ before 32<sup>0/7</sup> weeks' gestation. Incident outcomes will be captured starting at 32<sup>1/7</sup> weeks' gestation (Day 1 after the first possible day of vaccination on Day 0 [32<sup>0/7</sup> weeks' gestation]) up until an occurrence of an outcome (or delivery), death, end of KPNC membership, loss to follow-up, or end of the outcome-specific risk window, whichever occurs first.

If a statistically significant association is observed between ABRYSVO™ exposure during pregnancy and preterm birth, additional descriptive results may be provided that stratify preterm births by timing of preterm birth (e.g., 32-<34 weeks, 34-<35 weeks, 35-36<sup>6/7</sup> weeks), method of delivery, time interval between vaccination and preterm birth, and other characteristics and risk factors, as relevant. In addition, if statistically significant risk is observed for preterm birth, a descriptive analysis may be conducted for all preterm birth outcomes to evaluate neonatal morbidity/mortality using available data from the infant EMR, as feasible in the KPNC database. The details will be included in the SAP.

For the analysis of hypertensive disorders of pregnancy, which includes follow-up to 42 days in the postpartum period, the Cox regression analysis will also include a time-varying covariate that indicates whether the pregnancy has ended (binary 0/1) to control for the possibility that any hypertensive outcome is associated with being pregnant or not.

#### **9.7.2.2. Analysis of Maternal Outcomes**

Cox regression will also be used for the analyses of the maternal outcomes. ABRYSVO™ exposure will be treated as a time-varying variable. For ABRYSVO™-exposed individuals, the start of exposed follow-up will be from Day 1, i.e., the day following the day of exposure to ABRYSVO™ or Day 0 (i.e., exposure day is Day 0). The end of exposed follow-up will be on Day 42 after exposure. For ABRYSVO™-unexposed individuals, the start of unexposed follow-up will be from 32<sup>0/7</sup> weeks' gestation. The end of unexposed follow-up will be up to a maximum of 42 days after 36<sup>6/7</sup> weeks' gestation, which is the latest possible timing for ABRYSVO™ exposure.

On each day of the days-since-conception timeline, the risk of a maternal outcome in exposed individuals (in the risk window) will be compared to the risk of a maternal outcome in unexposed individuals (reference group), adjusting for covariates. Further details will be included in the SAP.

For the analysis of maternal outcomes that may include follow-up in the postpartum period, the Cox regression analysis will also include a time-varying covariate that indicates whether the pregnancy has ended (binary 0/1) to control for the possibility that any outcome is associated with being pregnant or not.

If potential safety signals are identified in the analysis of maternal outcomes, these may be further assessed using various methods, such as assessment of temporal clustering of outcomes in relation to days subsequent to exposure to ABRYSVO™ among ABRYSVO™-exposed pregnant individuals.

#### **9.7.2.3. Analysis of Neonatal/Infant Outcomes**

All infants born to eligible unexposed and exposed pregnant individuals will be followed from birth until the occurrence of neonatal/infant outcome of interest, death, end of KPNC membership or the end of follow-up, whichever occurs first. For neonatal/infant outcomes, logistic regression, adjusting for key covariates will be fitted to examine the risk among exposed pregnant individuals compared to unexposed individuals.

Additional details on all analyses will be provided in the SAP.

#### **9.7.2.4. Additional Exploratory Analysis**

An additional exploratory analysis will be performed in the final analysis in an expanded pregnancy cohort with an objective to capture real-world ABRYSVO™ exposures occurring in pregnant individuals at or after 24<sup>0/7</sup> completed weeks of gestation (i.e., 8 weeks earlier than the lower limit of the ACIP and ACOG recommended timeframe). In addition, pregnant individuals who are exposed to ABRYSVO™ after the ACIP and ACOG recommended timeframe (i.e., on or after 37<sup>0/7</sup> weeks' gestation) will not be censored in the analysis. This expanded pregnancy cohort will include all eligible pregnancies that reach 24<sup>0/7</sup> completed weeks of gestation during a 4-year period from 22 September 2023 to 22 September 2027. The inclusion and exclusion criteria as described in Section 9.2.2.1.1 and Section 9.2.2.1.2 aligned to 24<sup>0/7</sup> completed weeks of gestation will be applied. Assuming the last eligible pregnancy(s) of gestational age of 24<sup>0/7</sup> weeks identified on 22 September 2027 reaches 42<sup>0/7</sup> completed weeks of gestation, the delivery is anticipated to occur in 18 weeks, i.e., on 26 January 2028. Thus, the study period for the exploratory analysis will end on 08 March 2028, corresponding to a 42-day postpartum period after the last eligible pregnancy reaches 42<sup>0/7</sup> completed weeks of gestation. All live born infants from the eligible pregnancies in this expanded pregnancy cohort will be included in the infant cohort. The descriptive analysis as described in Section 9.7.1 will be conducted in this pregnancy cohort. In addition to the descriptive analysis, comparative analysis will be conducted for Primary Outcomes and Pregnancy-related Outcomes, maternal outcomes and neonatal/infant outcomes as outlined in Sections 9.7.2.1, 9.7.2.2 and 9.7.2.3, respectively. Additional details on this analysis will be provided in the SAP.

#### **9.7.3. Sensitivity Analyses**

In addition to examining the risk of preterm birth (which includes only live births) in the primary analysis, the risk of preterm delivery, which includes preterm live births and stillbirths that occur prior to 37 weeks will be examined in ABRYSVO™-exposed

pregnancies compared to ABRYSVO™-unexposed pregnancies in a sensitivity analysis. Additional sensitivity analyses may be proposed in the SAP as deemed necessary.

## 9.8. Quality Control

Standard operating procedures at KPVSC will be used to guide the conduct of the study. These procedures include internal quality audits, rules for secure and confidential data storage, methods to maintain and archive project documents, quality-control procedures for programming, and standards for writing analysis plans.

All work will be subject to quality-control and documentation procedures to make certain that the final report is accurate and thorough, and the analyses can be reproduced. All key study documents, such as the protocol, SAP, and study reports, will undergo quality-control review, senior scientific review, and editorial review.

Validation of code and output will occur throughout the data management process utilizing multiple types of discrepancy checks such as internal consistency checks, external data checks, checks against source data, and/or error checks written for specific databases. The latter approaches will be well documented in a structured manner, including the use of tools to systematically document programming code review and analytic decision-making/assumptions. Deliverables also undergo peer review by both PIs and a biostatistician; all source code and output are reviewed by a second programmer, and any inconsistencies or potential errors identified are discussed and resolved, and necessary changes are made to the original programs. All programs, logs and output will be saved, and the coding/programming/analysis process will be well documented for transparency.

## 9.9. Strengths and Limitations of the Research Methods

### 9.9.1. Limitations of the Research Methods

This study may have the following limitations:

First, the uptake of the ABRYSVO™ vaccine in the KPNC pregnant population is currently unknown. However, assuming a conservative vaccine uptake of 5%, the study would have sufficient sample size to detect a minimum RR of 1.15 for preterm birth and of 1.11 for hypertensive disorders of pregnancy. Smaller minimum RRs for these outcomes would be detectable with a higher vaccine uptake.

Second, it is likely that pregnant individuals who choose to receive the ABRYSVO™ vaccine will be different from those who do not in some demographic characteristics, healthcare seeking behaviors, etc. To reduce potential confounding due to these differences, the analysis will carefully control for covariates including prenatal care, comorbidities, SES, etc. However, information on lifestyle factors such as use of alcohol, tobacco, and recreational drug use available in KPNC database is based on self-reported data by patients and is likely to be incomplete. Hence, potential residual confounding by these lifestyle factors and any other unknown confounding factors cannot be controlled in the analysis.

Third, this study will identify outcomes based on ICD-10-CM diagnostic codes; no medical chart reviews are planned to be conducted to validate study outcomes. However, the study

will use well-recognized validated algorithms to identify outcomes of interest, as have been used in previous safety studies of vaccination in pregnancy conducted by KPNC ([Zerbo et al 2017](#), [Vazquez-Benitez et al 2016](#)), hence outcome misclassification is expected to be minimal.

Fourth, there is a possibility of missing data for some covariates of interest, for example, if a pregnant woman receives care for assisted reproduction in the current pregnancy outside of KPNC, may not be well recorded in the KPNC EMR.

Lastly, this study population consists of individuals who are members of an integrated healthcare system, which may limit the generalizability of the findings to uninsured populations. Additionally, while the study population is representative of Northern California's racial/ethnic composition, it may not be representative of the entire California population or other US geographic regions.

### **9.9.2. Strengths of the Research Methods**

The study has several strengths. The study design allows use of the entire eligible pregnancy cohort, which increases study power. Cox regression analysis also allows to finely control for gestational age while adjusting for other covariates of interest. Since the study employs ABRYSVO™ exposure as a time-varying variable, it accounts for immortal time bias, which is a bias introduced in pregnancy studies if unexposed follow-up time prior to the exposure of interest is included in the denominator of the calculation of the risk in the exposed group. Another major strength of this study is the use of EMR data collected as part of routine medical care, which avoids recall bias and provides access to a large sample of insured individuals from Northern California. The study approach also minimizes the potential for selection bias that might occur in primary data collection studies, as the study population includes all pregnant individuals in the database who meet eligibility criteria for research rather than individuals who have volunteered to participate in a study.

### **9.10. Other Aspects**

Not applicable.

## **10. PROTECTION OF HUMAN PARTICIPANTS**

### **10.1. Patient Information**

This study involves data that will be delivered to Pfizer by KPVSC in deidentified/anonymized structured format and contain no patient personal information. This study does not involve the collection, use, or transmittal of individually-identifiable patient/subject data.

### **10.2. Patient Consent**

As this study involves deidentified/anonymized structured data, which according to applicable legal requirements do not contain data subject to privacy laws, obtaining informed consent from patients by Pfizer is not required.

### 10.3. Institutional Review Board (IRB)/ Ethics Committee (EC)

There must be prospective approval of the study protocol, protocol amendments, and other relevant documents (e.g., informed consent forms if applicable) from the relevant IRBs/ECs. All correspondence with the IRB/EC must be retained. Copies of IRB/EC approvals must be forwarded to Pfizer. This study is approved by the KPNC IRB on 14 November 2023 with a waiver of informed consent.

### 10.4. Ethical Conduct of the Study

The study will be conducted in accordance with legal and regulatory requirements, as well as with scientific purpose, value and rigor and follow generally accepted research practices described in the FDA's industry guidance, Good Pharmacovigilance Practices and Pharmacoepidemiologic Assessment (FDA, 2005), FDA Draft Guidance for Industry: Postapproval Pregnancy Safety Studies (FDA, 2019), the International Society for Pharmacoepidemiology's (ISPE's) *Guidelines for Good Pharmacoepidemiology Practices* (ISPE Guidelines, 2016), STROBE guidelines (von Elm et al, 2007), and the principles of the Declaration of Helsinki (World Medical Association, 2001).

## 11. MANAGEMENT AND REPORTING OF ADVERSE EVENTS/ADVERSE REACTIONS

This study involves data that exist as structured data by the time of study start. In these data sources, individual patient data are not retrieved or validated, and it is not possible to link (i.e., identify a potential association between) a particular product and medical event for any individual. Thus, the minimum criteria for reporting an adverse event (AE) (i.e., identifiable patient, identifiable reporter, a suspect product, and event) cannot be met.

## 12. PLANS FOR DISSEMINATING AND COMMUNICATING STUDY RESULTS

This study will be registered in the HMA-EMA Catalogue of RWD studies. An interim report (reflecting data from the study period from 22 September 2023 to 31 July 2026), and a final report (reflecting data from the entire study period extending from 22 September 2023 to 12 January 2028 for the analysis of exposures occurring in the ACIP and ACOG recommended timeframe and from 22 September 2023 to 08 March 2028 for the additional exploratory analysis) will be submitted by Pfizer to the US FDA communicating the study results. Some or all data from this study may be developed into abstracts for presentation at scientific conferences, and/or developed into manuscripts for external publication purposes.

In the event of any prohibition or restriction imposed (eg, clinical hold) by an applicable competent authority in any area of the world, or if the party responsible for collecting data from participant is aware of any new information which might influence the evaluation of the benefits and risks of a Pfizer product, Pfizer should be informed immediately.

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## 14. LIST OF TABLES

|          |                                                                                                                                                                                               |    |
|----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| Table 1. | Latest dates of follow-up for the final analysis of the primary, pregnancy-related and maternal outcomes for the pregnancy cohort, and neonatal/infant outcomes for the infant cohort .....   | 16 |
| Table 2. | Minimum detectable RR comparing ABRYSVO™-exposed pregnant individuals versus unexposed individuals with 80% Power and type 1 error of 5% .....                                                | 24 |
| Table 3. | Latest dates of follow-up for the interim analysis of the primary, pregnancy-related and maternal outcomes for the pregnancy cohort, and neonatal/infant outcomes for the infant cohort ..... | 25 |

## 15. LIST OF FIGURES

|           |                                                                                                                         |    |
|-----------|-------------------------------------------------------------------------------------------------------------------------|----|
| Figure 1. | Study design schematic to depict eligible ABRYSVO™ exposure window and follow-up time for each eligible pregnancy ..... | 18 |
|-----------|-------------------------------------------------------------------------------------------------------------------------|----|

## ANNEX 1. LIST OF STANDALONE DOCUMENTS

None

## ANNEX 2. ENCEPP CHECKLIST FOR STUDY PROTOCOLS

Not applicable

## ANNEX 3. ADDITIONAL INFORMATION

Not applicable

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## 16. APPENDIX 1. OPERATIONALIZATION OF THE PRIMARY, PREGNANCY-RELATED, AND MATERNAL OUTCOMES

The primary, pregnancy-related, and maternal outcomes will be identified in the mother's and/or infant's EMR using the proposed codes below. These codes may be further refined in the SAP. All outcomes must be incident outcomes during pregnancy (and including the postpartum period where applicable).

| Type of Outcome  | Outcome                             | Sub-Outcome/Clinical Definition              | ICD-10-CM or Data Source as applicable                                     | ICD-10-CM Description                        | Reference for Validated Algorithm and Positive Predictive Value (PPV)/Sensitivity                                                                  | Timing of Ascertainment                  |
|------------------|-------------------------------------|----------------------------------------------|----------------------------------------------------------------------------|----------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|
| Primary outcomes | Preterm birth                       | Not applicable (NA)                          | Gestational age (GA) <37 weeks recorded in EMR (includes only live births) | NA                                           | NA                                                                                                                                                 | Start of follow-up to GA<37 weeks        |
|                  | Hypertensive disorders of pregnancy | Gestational hypertension                     | O13.*                                                                      | Gestational hypertension                     | Labgold et al, 2021, PPV for O13.1, O13.2, O13.3, O13.4, O13.5, O13.9 = 88.9% (95% CI: 86.4%-91.1%)                                                | Start of follow-up to 42-days postpartum |
|                  |                                     | Preeclampsia                                 | O14.*                                                                      | Preeclampsia                                 | Chomistek et al, 2023; using IP, ED, OP codes for O14.* and O11.*: PPV=78.3%; using IP PPV=85.7%; Labgold et al, 2021, PPVs ranging from 89%-99%   |                                          |
|                  |                                     | Eclampsia                                    | O15.*                                                                      | Eclampsia                                    | Labgold et al, 2021, PPV=100.0% (95% CI: 39.8% -100%)                                                                                              |                                          |
|                  |                                     | HELLP syndrome                               | O14.2*                                                                     | HELLP syndrome                               | Labgold et al, 2021, PPV= 88.2% (95% CI: 63.6%-98.5%)                                                                                              |                                          |
|                  |                                     | Pre-existing hypertension with pre-eclampsia | O11.*                                                                      | Pre-existing hypertension with pre-eclampsia | Chomistek et al, 2023; using IP, ED, OP for O14.* and O11.*: PPV=78.3%; using IP PPV=85.7%; Labgold et al, 2021: PPV= 83.3% (95% CI: 74.9%- 89.8%) |                                          |

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| Type of Outcome                   | Outcome                                        | Sub-Outcome/Clinical Definition                                                                                                                                         | ICD-10-CM or Data Source as applicable                                                         | ICD-10-CM Description                                                                    | Reference for Validated Algorithm and Positive Predictive Value (PPV)/Sensitivity                                                                                                                                                                                   | Timing of Ascertainment                                    |
|-----------------------------------|------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|
| <b>Pregnancy-related outcomes</b> |                                                | Postpartum hypertension                                                                                                                                                 | Any of above codes for hypertensive disorders of pregnancy diagnosed in the 42-days postpartum | Postpartum hypertension                                                                  | See algorithms above                                                                                                                                                                                                                                                |                                                            |
|                                   | Stillbirth                                     | Spontaneous pregnancy loss on or after 20 completed weeks of gestation (in the study, only stillbirths occurring at or after 32 <sup>0/7</sup> weeks will be included). | Pregnancy database which uses an algorithm for validating still births                         | NA                                                                                       | NA                                                                                                                                                                                                                                                                  | Start of follow-up to end of pregnancy                     |
|                                   | Premature labor without preterm delivery       | Presence of regular uterine contractions that occur without a livebirth/stillbirth delivery before 37 completed weeks of gestation.                                     | O60.0* coded before GA 37 weeks                                                                | Preterm labor without delivery                                                           | <a href="#">Goueslard et al, 2020</a> ; O60.0 in IP setting PPV: 57.5% (95% CI: 55.8% - 59.2%)                                                                                                                                                                      | Start of follow-up to GA<37 weeks                          |
|                                   | Premature rupture of membranes (PROM)          | Rupture of membranes prior to the onset of labor                                                                                                                        | O42.*                                                                                          | Premature rupture of membranes                                                           | <a href="#">Goueslard et al, 2020</a> ; codes for PROM (O42) or the code for delayed delivery after spontaneous or unspecified rupture of membranes (O75.6) during the delivery stay: PPV=54.9% (95% CI: 53.2% -56.6%), sensitivity= 57.0% (95% CI: 55.3% - 58.7%). | Start of follow-up to end of pregnancy                     |
|                                   | Preterm premature rupture of membranes (PPROM) | Rupture of membranes prior to the onset of labor that occurs before 37 weeks gestation                                                                                  | P01.1<br><br>O42.* coded before GA 37 weeks                                                    | Newborn affected by premature rupture of membranes<br><br>Premature rupture of membranes | NA<br><br>See algorithm above for PROM                                                                                                                                                                                                                              | At birth (infant)<br><br>Start of follow-up to GA<37 weeks |

| Type of Outcome          | Outcome                               | Sub-Outcome/Clinical Definition                                                    | ICD-10-CM or Data Source as applicable                                                                | ICD-10-CM Description                                              | Reference for Validated Algorithm and Positive Predictive Value (PPV)/Sensitivity                                                                                                                                                                  | Timing of Ascertainment                                                                                                           |
|--------------------------|---------------------------------------|------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|
| <b>Maternal outcomes</b> | Cesarean delivery                     | Surgical delivery of an infant through incision in the mother's abdomen and uterus | KPNC pregnancy database, which contains information on a validated variable, cesarean delivery-Yes/No | NA                                                                 | NA                                                                                                                                                                                                                                                 | At delivery                                                                                                                       |
|                          | Prolonged maternal LOS after delivery | Defined as >2 days for a vaginal delivery or >4 days for cesarean delivery         | Hospital discharge date minus date of delivery from KPNC EMR                                          | NA                                                                 | NA                                                                                                                                                                                                                                                 | At hospital discharge date                                                                                                        |
|                          | Placental abruption                   | Premature separation of placenta before childbirth                                 | O45.*                                                                                                 | Premature separation of placenta [abruptio placentae]              | NA                                                                                                                                                                                                                                                 | Start of follow-up to end of pregnancy                                                                                            |
|                          | Thrombocytopenia                      | Immune thrombocytopenia (ITP)                                                      | D69.3                                                                                                 | Immune thrombocytopenic purpura                                    | <a href="#">Mezaache et al, 2017</a> ; PPV=79.5% (Incident ITP); <a href="#">Heden et al, 2009</a> , PPV=93% for IP setting (Chronic ITP); <a href="#">Segal et al, 2004</a> , Sensitivity=100% and Percent agreement =92% for ICD-9-CM code 287.3 | Start of follow-up to end of follow-up <sup>†</sup>                                                                               |
|                          |                                       | Thromboembolic events associated with thrombocytopenia                             | G08                                                                                                   | Intracranial and intraspinal phlebitis and thrombophlebitis        | NA                                                                                                                                                                                                                                                 | Start of follow-up to end of follow-up <sup>†</sup> ; Diagnosis of thrombocytopenia must occur within 14 days of thrombotic event |
|                          |                                       |                                                                                    | I63.6                                                                                                 | Cerebral infarction due to cerebral venous thrombosis, nonpyogenic | NA                                                                                                                                                                                                                                                 |                                                                                                                                   |

| Type of Outcome | Outcome                       | Sub-Outcome/Clinical Definition           | ICD-10-CM or Data Source as applicable | ICD-10-CM Description                                           | Reference for Validated Algorithm and Positive Predictive Value (PPV)/Sensitivity                                   | Timing of Ascertainment                             |
|-----------------|-------------------------------|-------------------------------------------|----------------------------------------|-----------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|
|                 |                               |                                           | I67.6                                  | Nonpyogenic thrombosis of intracranial venous system            | NA                                                                                                                  |                                                     |
|                 |                               |                                           | I74.0*                                 | Embolism and thrombosis of abdominal aorta                      | NA                                                                                                                  |                                                     |
|                 |                               |                                           | I74.1*                                 | Embolism and thrombosis of other and unspecified parts of aorta | NA                                                                                                                  |                                                     |
|                 |                               |                                           | I74.3                                  | Embolism and thrombosis of arteries of the lower extremities    | NA                                                                                                                  |                                                     |
|                 |                               |                                           | I74.5                                  | Embolism and thrombosis of iliac artery                         | NA                                                                                                                  |                                                     |
|                 |                               |                                           | I74.8                                  | Embolism and thrombosis of other arteries                       | NA                                                                                                                  |                                                     |
|                 |                               |                                           | I74.9                                  | Embolism and thrombosis of unspecified artery                   | NA                                                                                                                  |                                                     |
|                 |                               |                                           | I81                                    | Portal vein thrombosis                                          | NA                                                                                                                  |                                                     |
|                 |                               |                                           | I82.0                                  | Budd-Chiari syndrome                                            | NA                                                                                                                  |                                                     |
|                 |                               |                                           | I82.890                                | Acute embolism and thrombosis of other specified veins          | NA                                                                                                                  |                                                     |
|                 |                               |                                           | K55.0*                                 | Acute vascular disorders of intestine                           | NA                                                                                                                  |                                                     |
|                 |                               |                                           | N28.0                                  | Ischemia and infarction of kidney                               | NA                                                                                                                  |                                                     |
|                 |                               | Thrombotic thrombocytopenic purpura (TTP) | M31.1                                  | Thrombotic microangiopathy                                      | NA                                                                                                                  | Start of follow-up to end of follow-up <sup>†</sup> |
|                 |                               |                                           |                                        |                                                                 |                                                                                                                     |                                                     |
|                 | Guillain-Barré Syndrome (GBS) | NA                                        | G61.0                                  | Guillain-Barré syndrome                                         | <a href="#">Arya et al 2019</a> ; PPV of an inpatient diagnosis of GBS in ICD-10 of 71.21% (95% CI: 64.2% to 78.2%) | Start of follow-up to end of follow-up <sup>†</sup> |

PFIZER CONFIDENTIAL

CT24-WI-GL02-RF02 5.0 Non-Interventional Study Protocol Template For Secondary Data Collection Study 01-Aug-2023

Page 38 of 43

| Type of Outcome                                | Outcome                                               | Sub-Outcome/Clinical Definition                       | ICD-10-CM or Data Source as applicable | ICD-10-CM Description                                                            | Reference for Validated Algorithm and Positive Predictive Value (PPV)/Sensitivity                                   | Timing of Ascertainment                             |                                                                                                                                                                                                                               |                                                     |
|------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------|----------------------------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|
| Other immune-mediated demyelinating conditions | Acute disseminated encephalitis and encephalomyelitis | Acute disseminated encephalitis and encephalomyelitis |                                        |                                                                                  | 63.49%, 78.94%) for the 2015–16 season                                                                              | Start of follow-up to end of follow-up <sup>†</sup> |                                                                                                                                                                                                                               |                                                     |
|                                                |                                                       |                                                       | G04.00                                 | Acute disseminated encephalitis and encephalomyelitis, unspecified               | <a href="#">Boesen et al, 2018</a> (Danish pediatric population): 1 IP code PPV=15% using codes G04.0, G04.8, G04.9 |                                                     |                                                                                                                                                                                                                               |                                                     |
|                                                |                                                       |                                                       | G04.02                                 | Postimmunization acute disseminated encephalitis, myelitis and encephalomyelitis | <a href="#">Boesen et al, 2018</a> (Danish pediatric population): 1 IP code PPV=15% using codes G04.0, G04.8, G04.9 | Start of follow-up to end of follow-up <sup>†</sup> |                                                                                                                                                                                                                               |                                                     |
|                                                |                                                       |                                                       | G37.3                                  | Acute transverse myelitis in demyelinating disease of central nervous system     | <a href="#">Boesen et al, 2018</a> (Danish pediatric population): 1 IP code PPV=64%                                 |                                                     |                                                                                                                                                                                                                               |                                                     |
|                                                |                                                       |                                                       | Optic neuritis                         | Optic neuritis                                                                   | H46.00                                                                                                              | Optic papillitis, unspecified eye                   | <a href="#">Hamedani et al, 2020</a> systematic review: two studies (data from Denmark, Canada) using single or ≥ 2 codes PPV= 25%–88%<br><a href="#">Boesen et al, 2018</a> (Danish pediatric population): 1 IP code PPV=71% | Start of follow-up to end of follow-up <sup>†</sup> |
|                                                |                                                       |                                                       |                                        |                                                                                  | H46.01                                                                                                              | Optic papillitis, right eye                         |                                                                                                                                                                                                                               |                                                     |
|                                                |                                                       |                                                       |                                        |                                                                                  | H46.02                                                                                                              | Optic papillitis, left eye                          |                                                                                                                                                                                                                               |                                                     |
|                                                |                                                       |                                                       |                                        |                                                                                  | H46.03                                                                                                              | Optic papillitis, bilateral                         |                                                                                                                                                                                                                               |                                                     |
|                                                |                                                       |                                                       |                                        |                                                                                  | H46.10                                                                                                              | Retrobulbar neuritis, unspecified eye               |                                                                                                                                                                                                                               |                                                     |
|                                                |                                                       |                                                       |                                        |                                                                                  | H46.11                                                                                                              | Retrobulbar neuritis, right eye                     |                                                                                                                                                                                                                               |                                                     |
|                                                | Neuromyelitis optica                                  | Neuromyelitis optica                                  | H46.12                                 | Retrobulbar neuritis, left eye                                                   | <a href="#">Boesen et al, 2018</a> (Danish pediatric population): 1 IP code PPV=43%                                 | Start of follow-up to end of follow-up <sup>†</sup> |                                                                                                                                                                                                                               |                                                     |
|                                                |                                                       |                                                       | H46.13                                 | Retrobulbar neuritis, bilateral                                                  |                                                                                                                     |                                                     |                                                                                                                                                                                                                               |                                                     |
|                                                |                                                       |                                                       | H46.3                                  | Toxic optic neuropathy                                                           |                                                                                                                     |                                                     |                                                                                                                                                                                                                               |                                                     |
|                                                |                                                       |                                                       | H46.8                                  | Other optic neuritis                                                             |                                                                                                                     |                                                     |                                                                                                                                                                                                                               |                                                     |
|                                                |                                                       |                                                       | H46.9                                  | Unspecified optic neuritis                                                       |                                                                                                                     |                                                     |                                                                                                                                                                                                                               |                                                     |
|                                                |                                                       |                                                       | G36.0                                  | Neuromyelitis optica                                                             |                                                                                                                     |                                                     |                                                                                                                                                                                                                               |                                                     |

PFIZER CONFIDENTIAL

CT24-WI-GL02-RF02 5.0 Non-Interventional Study Protocol Template For Secondary Data Collection Study 01-Aug-2023

Page 39 of 43

| Type of Outcome | Outcome          | Sub-Outcome/Clinical Definition                                                                                                                                                                                                                            | ICD-10-CM or Data Source as applicable | ICD-10-CM Description                                            | Reference for Validated Algorithm and Positive Predictive Value (PPV)/Sensitivity | Timing of Ascertainment                             |
|-----------------|------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|------------------------------------------------------------------|-----------------------------------------------------------------------------------|-----------------------------------------------------|
|                 |                  | Other acute demyelinating diseases                                                                                                                                                                                                                         | G37.1                                  | Central demyelination of corpus callosum                         | NA                                                                                | Start of follow-up to end of follow-up <sup>†</sup> |
|                 |                  |                                                                                                                                                                                                                                                            | G37.2                                  | Central pontine myelinolysis                                     |                                                                                   |                                                     |
|                 |                  |                                                                                                                                                                                                                                                            | G37.8                                  | Other specified demyelinating diseases of central nervous system |                                                                                   |                                                     |
|                 |                  |                                                                                                                                                                                                                                                            | G37.9                                  | Demyelinating disease of central nervous system, unspecified     |                                                                                   |                                                     |
|                 |                  |                                                                                                                                                                                                                                                            | G61.81                                 | Chronic inflammatory demyelinating polyneuritis                  |                                                                                   |                                                     |
|                 |                  |                                                                                                                                                                                                                                                            | G61                                    | Inflammatory polyneuropathy                                      |                                                                                   |                                                     |
|                 | Polyneuropathies | Inflammatory polyneuropathy, serum neuropathy, other inflammatory polyneuropathies, chronic inflammatory demyelinating polyneuritis, multifocal motor neuropathy, other inflammatory polyneuropathies, drug-induced polyneuropathy, other polyneuropathies | G61.1                                  | Serum neuropathy                                                 | NA                                                                                | Start of follow-up to end of follow-up <sup>†</sup> |
|                 |                  |                                                                                                                                                                                                                                                            | G61.8                                  | Other inflammatory polyneuropathies                              |                                                                                   |                                                     |
|                 |                  |                                                                                                                                                                                                                                                            | G61.81                                 | Chronic inflammatory demyelinating polyneuritis                  |                                                                                   |                                                     |
|                 |                  |                                                                                                                                                                                                                                                            | G61.82                                 | Multifocal motor neuropathy                                      |                                                                                   |                                                     |
|                 |                  |                                                                                                                                                                                                                                                            | G61.89                                 | Other inflammatory polyneuropathies                              |                                                                                   |                                                     |
|                 |                  |                                                                                                                                                                                                                                                            | G61.9                                  | Inflammatory polyneuropathy, unspecified                         |                                                                                   |                                                     |
|                 |                  |                                                                                                                                                                                                                                                            | G62                                    | Other and unspecified polyneuropathies                           |                                                                                   |                                                     |
|                 |                  |                                                                                                                                                                                                                                                            | G62.0                                  | Drug-induced polyneuropathy                                      |                                                                                   |                                                     |
|                 |                  |                                                                                                                                                                                                                                                            | G62.8                                  | Other specified polyneuropathies                                 |                                                                                   |                                                     |
|                 |                  |                                                                                                                                                                                                                                                            | G62.89                                 | Other specified polyneuropathies                                 |                                                                                   |                                                     |
|                 |                  |                                                                                                                                                                                                                                                            |                                        |                                                                  |                                                                                   |                                                     |

| Type of Outcome | Outcome                      | Sub-Outcome/Clinical Definition | ICD-10-CM or Data Source as applicable | ICD-10-CM Description                       | Reference for Validated Algorithm and Positive Predictive Value (PPV)/Sensitivity                   | Timing of Ascertainment                 |
|-----------------|------------------------------|---------------------------------|----------------------------------------|---------------------------------------------|-----------------------------------------------------------------------------------------------------|-----------------------------------------|
|                 | Atrial fibrillation          | NA                              | G62.9                                  | Polynuropathy, unspecified                  |                                                                                                     |                                         |
|                 |                              |                                 | I48.0                                  | Paroxysmal atrial fibrillation              | Chamberlain et al, 2022: ICD-10 I48* PPV for 1 code=67.5%. PPV for 1 IP or 2 OP ≥ 1 day apart=71.1% | Start of follow-up to end of follow-up† |
|                 |                              |                                 | I48.11                                 | Longstanding persistent atrial fibrillation |                                                                                                     |                                         |
|                 |                              |                                 | I48.19                                 | Other persistent atrial fibrillation        |                                                                                                     |                                         |
|                 |                              |                                 | I48.20                                 | Chronic atrial fibrillation, unspecified    |                                                                                                     |                                         |
|                 |                              |                                 | I48.91                                 | Unspecified atrial fibrillation             |                                                                                                     |                                         |
|                 | All-cause maternal mortality | NA                              | KPNC EMR                               | NA                                          | NA                                                                                                  | Start of follow-up to end of follow-up† |

Abbreviations: IP, Inpatient; ED, Emergency department; OP, Outpatient; PPV, Positive predictive value; EMR, electronic medical record.

\* symbol following an ICD-10-CM code indicates inclusion of all subcodes under the main category of codes, for example, O15.\* includes all subcodes under O15, i.e., O15.0, O15.1, O15.2, and O15.9.

† For all maternal outcomes: For ABRYSVO™-exposed individuals, the start of exposed follow-up will be from Day 1, i.e., the day following the day of exposure to ABRYSVO™ or Day 0 (i.e., exposure day is Day 0). The end of exposed follow-up will be on Day 42 after exposure. For ABRYSVO™-unexposed individuals, the start of unexposed follow-up will be from 32<sup>0/7</sup> weeks' gestation. The end of unexposed follow-up will be up to a maximum of 42 days after 36<sup>6/7</sup> weeks' gestation, which is the latest possible timing for ABRYSVO™ exposure.

## 17. APPENDIX 2. OPERATIONALIZATION OF NEONATAL/INFANT OUTCOMES

Neonatal/infant outcomes will be identified in the mother's and/or infant's EMR using the proposed codes or data source below. These codes may be further refined in the SAP.

| Outcome                                          | ICD-10-CM or Data Source as applicable                                                                                          | ICD-10-CM Description                   | Reference for Validated Algorithm and Positive Predictive Value (PPV)                                                                                                                                                                                                                                                                                          | Timing of Ascertainment                                 |
|--------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Small for gestational age (SGA)                  | Birth percentile on Fenton scale <0.1 based on birthweight and gestational age as recorded in infant electronic medical records | Not applicable (NA)                     | NA                                                                                                                                                                                                                                                                                                                                                             | At birth                                                |
|                                                  | P05.0*                                                                                                                          | Newborn light for gestational age       | - <a href="#">Watson et al 2021</a> (international data) PPV=70.4% - algorithm not specified (P05)                                                                                                                                                                                                                                                             | At birth (only used if birth percentile is not present) |
|                                                  | P05.1*                                                                                                                          | Newborn small for gestational age       | - <a href="#">Phiri et al 2015</a> : PPV=86.8% for ICD-9 656.X on day of delivery<br>- <a href="#">He 2020</a> : $\geq 1$ maternal or infant ICD-9-CM diagnostic code 656.5x, 764.0x, 764.1x, 764.9x recorded in inpatient or other therapy claims from delivery to delivery + 30 days has a PPV of 92% (95% CI: 82%-97%) in claims (Medicaid)-linked EHR data |                                                         |
| Large for gestational age (LGA)                  | Birth percentile on Fenton scale >0.9 based on birthweight and gestational age as recorded in infant EMR                        | NA                                      | NA                                                                                                                                                                                                                                                                                                                                                             | At birth                                                |
| Low birth weight (LBW)                           | P08.0*                                                                                                                          | Exceptionally large newborn baby        | NA                                                                                                                                                                                                                                                                                                                                                             | At birth (only used if birth percentile is not present) |
|                                                  | P08.1*                                                                                                                          | Other heavy for gestational age newborn | NA                                                                                                                                                                                                                                                                                                                                                             |                                                         |
|                                                  | Birthweight <2,500 g as recorded in infant EMR                                                                                  | NA                                      | NA                                                                                                                                                                                                                                                                                                                                                             | At birth                                                |
| Admission to neonatal intensive care unit (NICU) | NICU admission as recorded in infant EMR                                                                                        | NA                                      | NA                                                                                                                                                                                                                                                                                                                                                             | At birth through day 28 of life                         |

| Outcome                  | ICD-10-CM or Data Source as applicable   | ICD-10-CM Description | Reference for Validated Algorithm and Positive Predictive Value (PPV) | Timing of Ascertainment         |
|--------------------------|------------------------------------------|-----------------------|-----------------------------------------------------------------------|---------------------------------|
| Duration of stay in NICU | NICU admission as recorded in infant EMR | NA                    | NA                                                                    | At birth through day 28 of life |
| Mechanical ventilation   | As recorded in infant EMR                | NA                    | NA                                                                    | At birth through day 28 of life |
| Neonatal death           | KPNC mortality table                     | NA                    | NA                                                                    | At birth through day 28 of life |

Footnote: '\*' symbol following an ICD-10-CM code indicates inclusion of all subcodes under the main category of codes.

## Document Approval Record

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