

Programme of Epidemiological Studies of Lixisenatide and other GLP-1 Receptor Agonists

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I Background and Preamble

It is estimated that 347 million people worldwide have diabetes¹ and that diabetes deaths will increase by two thirds between 2008 and 2030 (WHO Diabetes, Factsheet No 312, September 2012 <http://www.who.int/mediacentre/factsheets/fs312/en/index.html>).

To maintain glycaemic control as diabetes progresses over time, patients with type 2 diabetes mellitus (T2DM) require systematic, individualized and gradually intensified interventions involving different levels of diet, exercise and oral or systemic therapies, alone or in combination. Medicinal treatment generally begins with an oral anti-diabetic agent and as the disease progresses over time, patients may require treatment with insulin.

Glucagon-like peptide 1 receptor agonists (GLP-1 RA) belong to a new class of anti-diabetic therapy that mimic the action of the GLP-1 hormone by stimulating the release of insulin by the pancreas thereby reducing blood glucose levels. They have several advantages as compared to earlier generation medications. In addition to having gluco-regulatory effects, they are not associated with oedema, they have a low incidence of hypoglycaemia and promote weight loss. At the present time, there are two GLP-1 RA approved and on the market: exenatide (in two formulations: Byetta and Bydurean, Amylin Pharmaceuticals, Inc., San Diego, CA, USA) and liraglutide (Victoza, Novo Nordisk, Inc., Copenhagen, Denmark). Even though the efficacy and safety of exenatide and liraglutide have been established through clinical trials, recent reports have raised concerns about the risk of developing acute pancreatitis, pancreatic cancer and thyroid cancer in patients who are take these drugs.

Two protocols for pharmaco-epidemiological studies that address the risk of acute pancreatitis, pancreatic cancer and thyroid cancer have been prepared to parallel the launch of a new diabetes treatment, Lixisenatide – a new GLP-1 receptor agonist recently approved to the European Medicines Agency.

The first study has a retrospective cohort design that aims to estimate incidence rates of these adverse events (acute pancreatitis, pancreatic cancer and thyroid cancer, in particular medullary thyroid cancer) in adult patients with T2DM who are either treated with currently approved GLP-1 receptor agonists (cohort 1) or other anti-diabetics (cohort 2). The study will be carried out in 3 countries: Denmark, Norway and Sweden. Given our knowledge of the biology of cancer in particular, the follow-up time is very short being a maximum of five years use of GLP-1 RAs in patients with diabetes. However, this study is being conducted in view of previous reports of potential excesses of cancer observed over a shorter period of potential exposure.

The second study aims to monitor occurrences of these events of interest among adult T2DM patients treated with Lixisenatide after EMA approval through patient registers in

¹ Definition of diabetes used for the estimates: fasting glucose ≥ 7.0 mmol/L or on medication.

Denmark, Norway and Sweden. This study will enable more relevant clinical parameters to be collected. This study is important given the previous experience of patients using GLP-1 receptor agonists with a short exposure time. It is essential to monitor any possible excess of the three conditions which have been associated with use of drugs in this class, i.e. pancreatic cancer, thyroid cancer, in particular medullary thyroid cancer and acute pancreatitis.

These studies will complement one another with the information found during the Database Study providing key information for inclusion in the design and interpretation of the Register Study.

Two outline protocols have been submitted to the European Medicines Agency (EMA) and have been commented upon by the Rapporteurs: the final versions are attached as Appendix I. The following protocols will continue to be developed taking into consideration these comments. For the purposes of this initial protocol, consideration will be restricted to developing the protocol for the three countries initially suggested: Denmark, Norway and Sweden. Similarly, only the GLP-1 RAs which are currently on the market are considered for the first part of the study, but the protocol can change and adapt if new GLP-1 products are introduced on the market during the study progress.

The protocols for the two studies have been developed according to the guidelines of the European Medicine Agency (*Guideline on good pharmacovigilance practices (GVP). Module VIII – Post-authorisation safety studies. 9 July 2012 (EMA/330405/2012)*). The background and rationale for the two studies share many common features and these have been mentioned once in detail in the protocol of the first study and the second time in summary form in the protocol of the second study. Of note, the first study will allow fine tuning some technical details for the second study, for instance sample size issues and profile of the population at risk and characteristics of diabetic patients prescribed GLP-1s.

When there is a reference to pancreatitis in the text, it can be assumed that it is meant as Acute Pancreatitis unless specifically referred to as Chronic Pancreatitis.

II Database study of GLP-1 Receptor Agonists and Risk of Acute Pancreatitis, Pancreatic Cancer and Thyroid Cancer, in Particular Medullary Thyroid Cancer

Format and Content of Study Protocol

II.1 Title

Database Study of GLP-1 Receptor Agonists and Risk of Acute Pancreatitis, Pancreas Cancer and Thyroid Cancer, in Particular Medullary Thyroid Cancer. Version 02 of December, 2013

II.2 Marketing Authorisation Holder

The marketing authorization holder (MAH) is Sanofi.

II.3 Responsible Parties

Principal Investigator	*Peter Boyle PhD DSc FRSE FFPH FRCPSG FRCP FMedSci
Senior Investigator	*Philippe Autier MD PhD
Study Coordinator	*Maria Bota MSc/MEng
Study Statisticians	*Mathieu Boniol PhD
	*Chris Robertson PhD

Local Investigator, Denmark	Henrik Toft Sørensen MD
Local Investigator, Denmark	Timothy L Lash PhD
Local Investigator, Norway	Lars Vatten MD PhD
Local Investigator, Sweden	Rickard Ljung MD PhD

*A brief *Curriculum Vitae* is attached as Appendix IV.

II.4 Abstract

Title Database study of GLP-1 Receptor Agonists (RAs) and Risk of Acute Pancreatitis, Pancreatic Cancer and Thyroid Cancer, in Particular Medullary Thyroid Cancer [Version 02 of December, 2013]. Principal Investigator Professor Peter Boyle, International Prevention Research Institute, Lyon, France.

Rationale and Background. GLP-1 RA have been a focus of attention since the signalling of certain (rare but serious) adverse effects potentially associated with their use: acute pancreatitis, pancreatic cancer and thyroid cancer, in particular medullary thyroid cancer. With increasing follow-up time available, it is opportune to examine such associations in high-quality prescription databases.

Research Question and Objectives. This study is designed to focus on the risk associated with use of GLP-1 RA and will investigate the primary hypotheses that adult patients prescribed GLP-1 RA: (1) have an increased risk of acute pancreatitis when compared to patients prescribed other types of anti-diabetic drugs; (2) have an increased risk of pancreatic cancer when compared to patients prescribed other types of anti-diabetic drugs; and (3) have an increased risk of thyroid cancer, in particular medullary thyroid cancer when compared to patients prescribed other types of anti-diabetic drugs. Through this investigation, this study will establish the profile of users of GLP-1 RAs and of other anti-diabetic medications.

Study Design A series of retrospective cohort studies will be conducted based on prescription databases in Denmark, Norway, and Sweden, with a view to country-specific analysis and a planned meta-analysis of the three studies.

Population Everyone prescribed an anti-diabetic medication in Denmark, Norway and Sweden since the day on which the first GLP-1 agonist was approved for use.

Variables For each patient, information regarding all anti-diabetic medications prescribed in the study period will be recorded together with basic information such as age and gender. Patients who develop pancreatic and thyroid cancer, in particular medullary thyroid cancer will be identified as well anyone who is diagnosed with acute pancreatitis.

Data Sources Patients will be identified in the National Prescription Database and will be linked to the National Death Indices, National Cancer Registries and National Hospital Discharge schemes to identify cases of cancer, acute pancreatitis and anyone who has died.

Study Size Under conservative assumptions, approximately 37,000 GLP-1 RA treated patients will be eligible for this study by the end of 2011. Assuming an incidence rate of 65.6 per 100,000 p-y for acute pancreatitis, the study will be able to detect (at 5% significance level and 80% power) a minimum risk of 1.53. For an incidence rate of 29.19 per 100,000 p-y for pancreatic cancer and 6.13 for thyroid cancer, the study will

be able to detect a minimum risk of 1.96 for pancreatic cancer and 3.40 for thyroid cancer respectively.

Milestones Key milestones include that data collection will be concluded by mid-2014 and the report will be submitted to the CHMP in December 2014. After receiving the comments of the Rapporteurs, the Report will be finalized in the first quarter of 2015 and an article for a peer-reviewed submitted shortly thereafter.

II.5 Amendments and Updates

This is the initial protocol and any amendments will be placed here in a timely manner.

II.6 Milestones (events in months)

T0	Study preparation starts
T3	Protocol agreed and submitted to CHMP EMA
T6	Development of data collection module
T6	Final agreement on Protocol from CHMP
T8	Preliminary Pilot Testing of data collection and data transfer
T9	IRB/Ethics Approval as required at each site.
T10	Data collection begins in each country
T12	Interim report submitted to CHMP/EMA
T18	Data Collection complete
T21	Data Cleaning and Data Analysis.
T24	Submission of Report to CHMP/EMA
T26	Comments received from Rapporteurs
T27	Finalization of Report
T28	Submission of Article to peer-reviewed journal

II.7 Rationale and Background

Glucagon-like peptide 1 receptor agonist (GLP-1 RA) is a new class of blood glucose lowering drugs indicated in the treatment of type 2 diabetes mellitus (T2DM). It is an agonist molecule to the incretin (gastrointestinal hormone) GLP-1 which stimulates glucose-dependant secretion of insulin. GLP-1 is rapidly inactivated by the dipeptidyl peptidase-1 (DPP-4) (half-life of about 2 minutes), hence the need for a GLP analogue or agonist with greater resistance to DPP-4 degradation. GLP-1 regulates glucose levels by stimulating glucose-dependent insulin secretion and biosynthesis, and by suppressing glucagon secretion, delayed gastric emptying and promoting satiety.

There are currently 2 GLP-1 RA products on the market, one of which (exenatide) is available in two formulations (Byetta and Bydureon):

- Exenatide (also Exendin-4) marketed as Byetta by Amylin Pharmaceuticals, Inc., (San Diego, California, United States of America) was launched in 2005 in the USA and approved in 2006 in the European Union and is administered subcutaneously twice daily; Exenatide marketed as Bydureon by Amylin Pharmaceuticals, Inc., (San Diego, California, United States of America) was approved on the market in 2012 and is administered subcutaneously once weekly.

- Liraglutide, marketed as Victoza by Novo Nordisk, Inc. (Copenhagen, Denmark). Liraglutide was approved by the European Medicines Agency (EMA) in July 2009 and by the United States Food and Drug Administration (FDA) in January 2010. Liraglutide, a once-daily glucagon-like peptide-1 receptor agonist, is approved for use as monotherapy in the USA and Japan (but not in Europe or Canada) and in combination with selected oral agents (all regions) for the treatment of patients with type 2 diabetes. Guidance from local advisory bodies is emerging on the most appropriate place for liraglutide in the treatment pathway. It is apparent from its phase 3 clinical trial programme that liraglutide provides superior glycaemic control compared with that achieved with other antidiabetic agents used early in the treatment pathway (e.g. glimepiride and sitagliptin). Key additional benefits include a low incidence of hypoglycaemia and clinically relevant weight loss, although these benefits may be attenuated by concomitant sulphonylurea treatment and, in the case of hypoglycaemia, reduction of the sulphonylurea dose may be necessary.

Safety concerns

GLP-1 receptor agonists have been demonstrated to have impact on glycaemic control, to be associated with weight loss and to carry a low risk of hypoglycaemia (Bergental et al, 2012; Scott et al, 2012; Nauck et al, 2012; Alves et al, 2012; Gough, 2012; Scott et al, 2012; Shyangdan et al, 2011). However, these drugs have been a focus of attention since they may be associated with the occurrence of certain rare but serious adverse events: acute pancreatitis, pancreatic cancer and thyroid cancer (especially medullary carcinoma of the thyroid).

Acute Pancreatitis

Spontaneous (post-marketing) reports of acute pancreatitis among type 2 diabetes patients on GLP-1 agonists were submitted to the FDA Adverse Event Reporting System [FDA alert: information for healthcare professionals: exenatide (marketed as Byetta). FDA: Postmarket Drug Safety Information for Patients and Providers, available at: <http://www.fda.gov/Drugs/DrugSafety/PostmarketDrugSafetyInformationforPatientsandProviders/ucm124713.htm>]. Since the introduction on the market in 2005 until 8/18/2008, 36 cases of acute pancreatitis were reported in patients taking Byetta. As a result of these spontaneous results the FDA required the manufacturer to include a warning for the risk of pancreatitis on the prescribing information of exenatide.

Also, at the introduction on the market of the new molecule liraglutide (marketed as Victoza), patients were warned about the potential risks of pancreatitis, as there was a 4:1 imbalance

<http://www.fda.gov/Drugs/DrugSafety/PostmarketDrugSafetyInformationforPatientsandProviders/ucm198543.htm>) of the pancreatitis cases in patients using Victoza compared to patients using another diabetes medicine in five clinical trials.

However the preclinical and clinical evidence over the mechanism linking GLP-1 RA and acute pancreatitis is conflicting (Anderson et al, 2010; Elashoff et al, 2011; Drucker et al 2011). Preclinical studies showed equally a negative effect of incretin based drugs on the risk of developing pancreatitis (Matveyenko et al, 2009; Nachani et al, 2010) as well as a null effect or a positive effect (Engel et al, 2010; Tatarliewicz et al, 2010).

The clinical data are equally contradictory. A recent meta-analysis was carried out in order to assess the risk of acute pancreatitis and overall cancer with use of exenatide and liraglutide separately (Alves et al, 2012). The Summary Odds Ratio (SOR) based on 13 studies of exenatide that reported acute pancreatitis outcome was found to be 0.84 [95% CI, 0.58; 1.22]. The 13 studies included 3 cohort studies [Doré et al.2009; Doré et al.2011; Garg et al, 2010; Wenten et al, 2012) and 10 randomized controlled trials out of which 6 reported zero cases of pancreatitis, hence the odds ratio is zero. The overall Summary Odds Ratio based on 12 RCT of liraglutide that report acute pancreatitis outcome was found to be 0.97 [95% CI, 0.21; 4.39], out of which 8 studies reported zero cases of acute pancreatitis. There have been no post-market observational studies for liraglutide and acute pancreatitis so far. These results are weakened by limitations such as the fact that they are based on small studies, underpowered to detect rare adverse effects and of short duration.

A number of other studies have been reported:

- Romley et al (2012) conducted a study of exenatide therapy and the risk of pancreatitis and pancreatic cancer in a privately insured population. This is a retrospective cohort study on type 2 DM patients with employer provided health insurance from 2007 to 2009. Among the 268,561 patients included in the study, 2.6% used exenatide. The hospitalization rate for acute pancreatitis among patients who used at least one exenatide prescription was 1.96 per 1000 patients compared to 2.49 per 1000 patients who never used exenatide (p-value = 0.167).
- MacConell et al (2012) examined the safety and tolerability of exenatide twice daily in patients with type 2 diabetes with an integrated analysis of 5594 patients from 19 placebo-controlled and comparator-controlled clinical trials This is an integrated analysis of 19 RCT carried out by the manufacturer. The incidence rates for pancreatitis were not statistically different between groups.
- Elashoff et al (2011) reported on the disproportion of the FDA-AERS database of reported adverse events showed an increased risk of acute pancreatitis with exenatide: OR = 10.68 (p-value vs control drugs=2 x 10e-16). However, this must be interpreted bearing in mind the known limitations of this database (e.g. <http://www.ncbi.nlm.nih.gov/pubmed/15317031>).

The literature can be summarised by observing that the small number of reported events and other design limitations of the studies currently available does not allow for clear conclusions to be drawn, one way or another, at the present time.

Pancreatic Cancer

Besides observing a high number of pancreatitis cases, Elashoff et al (2011) also reported on pancreatic cancer in patients treated with exenatide [OR=2.95 (p value vs control drugs=9 x 10e-5)]. There are no further clinical studies that address this issue. Experimental data show that GLP-1 agonists increase beta-cell mass in rodents, But human trials do not support the rodent data (Brubaker et al, 2009).

Thyroid Cancer

The rodent calcitonin producing C-cell expresses GLP-1Rs, and sustained GLP-1R activation results in increased calcitonin secretion, C-cell hyperplasia and medullary thyroid cancer, predominantly in rats. In contrast, C cells within the monkey and human thyroid gland exhibit lower levels of GLP-1R expression, and prolonged administration of liraglutide at very high doses does not produce C-cell proliferation in monkeys (Drucker et al, 2011; Bjerre Knudsen et al, 2010).

A review on the association between glucagon-like peptide-1 receptor agonists and thyroid cancer (Chiu et al., 2012) concludes that GLP-1 agonists might increase the risk of thyroid C-cell pathology in rodents, but its risk in humans awaits investigation.

Therefore, the effects of GLP-1 on human cancer development, including pancreas and thyroid cancer, are overall unknown and difficult to be extrapolated from pre-clinical studies. In addition, the small number of cases and the lack of biological plausibility raise doubts between the use of GLP-1 agonists and the occurrence of cancer (pancreatic, thyroid or overall). Therefore longer-term, better designed epidemiological studies are required, in order to assess any risks of pancreas cancer and (medullary) carcinoma of the thyroid associated with GLP-1 RA use.

Design of Investigative Studies

Protocols for two studies have been prepared and are presented below. The rationale behind both studies revolves chiefly around the concept of performing the Registry study in the same populations where the incidence rates of acute pancreatitis, pancreatic cancer and (medullary) thyroid cancer in adult patients with type 2 diabetes treated with GLP-1 agonists (i.e., exenatide and liraglutide) and other anti-diabetics are established.

Very limited background information is available in the literature for the incidence rates of acute pancreatitis, pancreatic cancer and thyroid cancer, in particular medullary thyroid cancer in adult type 2 diabetes patients treated with GLP-1 agonists (i.e., exenatide and liraglutide) and other anti-diabetics. Therefore, the data from such a study will help contextualize these events expected to be observed in the patient registry of lixisenatide.

II.8. Research Question and Objectives

A series of cohort studies will be conducted based on prescription databases in Denmark, Norway, and Sweden, with a view to country-specific analysis and a planned meta-analysis of the three studies.

The primary objectives of this study are to estimate:

1. Incidence rates of acute pancreatitis (ICD10 K85) among adult type 2 diabetes patients treated with GLP-1 receptor agonists (i.e. exenatide and liraglutide), as well as patients treated with other anti-diabetic drugs and to examine the risk of acute pancreatitis in users of GLP-1 receptor agonists compared to users of other anti-diabetic medications;
2. Incidence rates of pancreatic cancer (ICD10 C25) among adult type 2 diabetes patients treated with GLP-1 receptor agonists (i.e. exenatide and liraglutide), as well as patients treated with other anti-diabetic drugs and to examine the risk of pancreatic cancer in users of GLP-1 receptor agonists compared to users of other anti-diabetic medications;
3. Incidence rates of thyroid cancer (ICD10 C73) (especially medullary thyroid cancer) among adult type 2 diabetes patients treated with GLP-1 receptor agonists (i.e. exenatide and liraglutide), as well as patients treated with other anti-diabetic drugs and to examine the odds ratio of medullary thyroid cancer in users of GLP-1 receptor agonists compared to users of other anti-diabetic medications.

Declaration of Study Hypotheses

This study is designed to focus on the risk associated with use of GLP-1 agonists and will investigate the following primary hypotheses:

1. That adult patients prescribed GLP-1 RAs have an increased risk of acute pancreatitis when compared to patients prescribed other types of anti-diabetic drugs;
2. That adult patients prescribed GLP-1 RAs have an increased risk of pancreatic cancer when compared to patients prescribed other types of anti-diabetic drugs;
3. That adult patients prescribed GLP-1 RAs have an increased risk of medullary thyroid cancer when compared to patients prescribed other types of anti-diabetic drugs.

II.9 Research Methods

II.9.1 Study Design

The study will have a retrospective observational (cohort) design using prescription databases as a source of patients prescribed anti-diabetic medications (cohort members) in three countries in northern Europe: Denmark, Norway and Sweden. Each has a database which has been used effectively in studies of this design and also has a National Cancer Registry, a National Death Registry and a Hospital Discharge Registry available for record linkage, as well as other sources of information on confounders which can be linked to the cohort.

In designing this series of studies, there were several key considerations that were identified as important to take into account. Recruitment of centers for the study was based on the availability of a population-based prescription register. This would allow the identification of a cohort of patients treated with anti-diabetic medications. "High-quality" mainly refers to the validity of demographic and clinical information on patients.

However, outcomes, mainly acute pancreatitis, can be subject to misclassification because of the difficulty to establish diagnosis. Furthermore, surveillance and information bias may influence the reliability of information reported in hospital discharges. Surveillance bias would occur as a consequence of doctors being aware that GLP-1 RAs intake may be linked to acute pancreatitis. Surveillance bias of GLP1 agonist users may end up in more abdominal diseases classified as pancreatitis simply because clinicians were more inclined to diagnose "pancreatitis" among patients taking GLP-1 agonist that consulted for abdominal symptoms and who were more likely to do so since abdominal pain has been reported more frequently among users of GL RAs.

The availability of reliable information on anti-diabetic treatments, not only on a cross-sectional basis but also during the whole course of diabetes, was another key requirement. It is expected that a sizable proportion of patients could change their treatment during the analysis period.

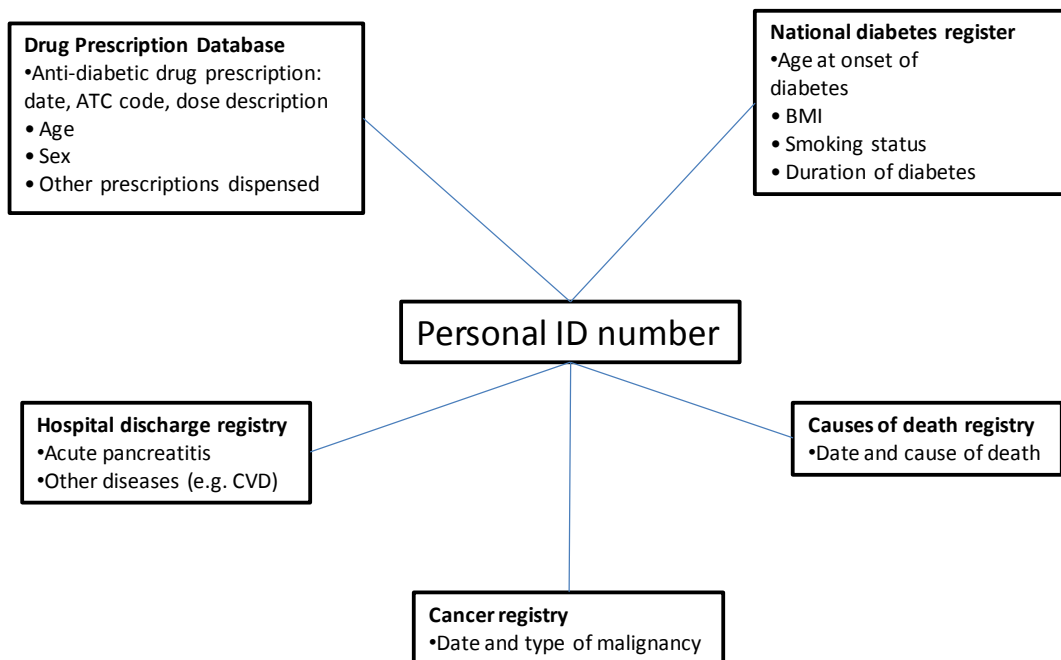
II.9.2 Setting

The study will include Denmark, Norway and Sweden. These countries were been chosen because each has:

- (1) A high-quality prescription registry that is population-based.
- (2) A high-quality cancer registry, which covers the entire population included in the prescription database.
- (3) A national hospital discharge registry, which covers the entire population included in the prescription database
- (4) A national death register, which can be used for record linkage to ascertain the date of death of any cohort member who died.
- (5) A source of information on key confounders (notably BMI), either in the prescription database itself, in a diabetes registry or in other available databases or large prospective studies.

The study population will include adult T2DM patients in Denmark, Norway and Sweden treated with exenatide or liraglutide (cohort 1) and these groups will be compared with patients treated with other anti-diabetics (cohort 2). Patients with previous diagnosis of the outcomes of interest will be excluded.

A unique personal identity number will be used to link together the information from the several population based registries, as shown in Figure 1:



The source of the cohorts will be mainly represented by the drug prescription registries. Patients with diabetes will be identified as having been prescribed at least one prescription for an anti-diabetic drug, i.e. ATC code 'A10' and subcategories.

High completeness of follow-up is expected, as well as high accuracy of data, which will allow capture of the variables of most interest; this is supported by our previous study (glargine and risk of cancer).

A detailed table of characteristics of the cohort included in the analysis will be provided after consultation with the local investigators.

II.9.3 Variables

The study population will include adult T2DM patients in Denmark, Norway and Sweden treated with exenatide or liraglutide (cohort 1) and these groups will be compared with patients treated with other anti-diabetics (cohort 2).

Patients with the following conditions will be excluded from the study:

- 1) Individuals less than 18 years of age at the cohort entry;
- 2) Individuals with a diagnosis of thyroid cancer, pancreatic cancer, or any pancreatitis prior to cohort entry.

Dates

The start of the study, i.e. the date at which follow up begins, will vary over centres and will be the date that GLP-1 RAs (exenatide in both formulations and liraglutide) were first available in the country or the date that the prescription registry has valid data from (whichever came last). From past experience from working on these databases (Boyle et al, 2013) we learnt that all three prescription registries were set up before the EU approval dates for exenatide. Therefore, the study period is defined as starting on 28 November 2006 (the EU approval dates are 28 November 2006 for exenatide and 02 July 2009 for liraglutide).

The end of the study is the date at which the follow up ceases for subjects who are still under observation (i.e. have not died or have not had pancreatitis/pancreatic cancer/thyroid cancer or known to be lost to follow up, perhaps because they have emigrated). [Note that patients with either one of the indicated adverse events will continue to be followed-up to monitor the diagnosis of another adverse event]. This date will be the date to which the hospital discharge registry and the cancer registry data are validated, 31 December 2013 for acute pancreatitis (in the Hospital Discharge Register) and through 31 December 2012 for pancreatic and thyroid cancer (in the National Cancer Registries). These initial dates may be extended in an additional follow-up of the study cohorts at the end of the 5 years; this remains to be re-viewed by the end of the 4th year of study.

All patients who appear in the prescription registries of the countries with a record of prescription for anti-diabetes medication between the start date and end date for the centre are included in the analysis. Any patient without a record of prescription of any type of anti-diabetic medication will be excluded, as well as patients younger than 18 years at their start date. Patients with a cancer diagnosed prior to their start date (3 months after their date of first receiving anti-diabetic medication) will be excluded in the analysis as it is very unlikely that the cancer could be associated with the anti-diabetic treatment. We exclude the first 3 months from the beginning of anti-diabetes treatment because of the possibility that diabetes was caused by (or diagnosed following clinical workup for) cancer (reverse causality). As three months may be considered rather short and arbitrary length of the immortal time period to be excluded, a sensitivity analysis for exclusion of 6 months immortal time will be done. An eligible diabetes prescription is defined as only those occurring three months (or six months) or more before the censoring date (cancer, death and last date in registry). However, the three month (or six months) window will not apply for patients diagnosed with acute pancreatitis where, theoretically, this could be a rapid and acute response to an external stimulus such as therapy.

The starting date for an individual in the study is the date of first prescription of either exenatide or liraglutide for cohort 1 or the date of first prescription of other anti-diabetics (e.g., oral anti-diabetics, insulins) for cohort 2 during the study period.

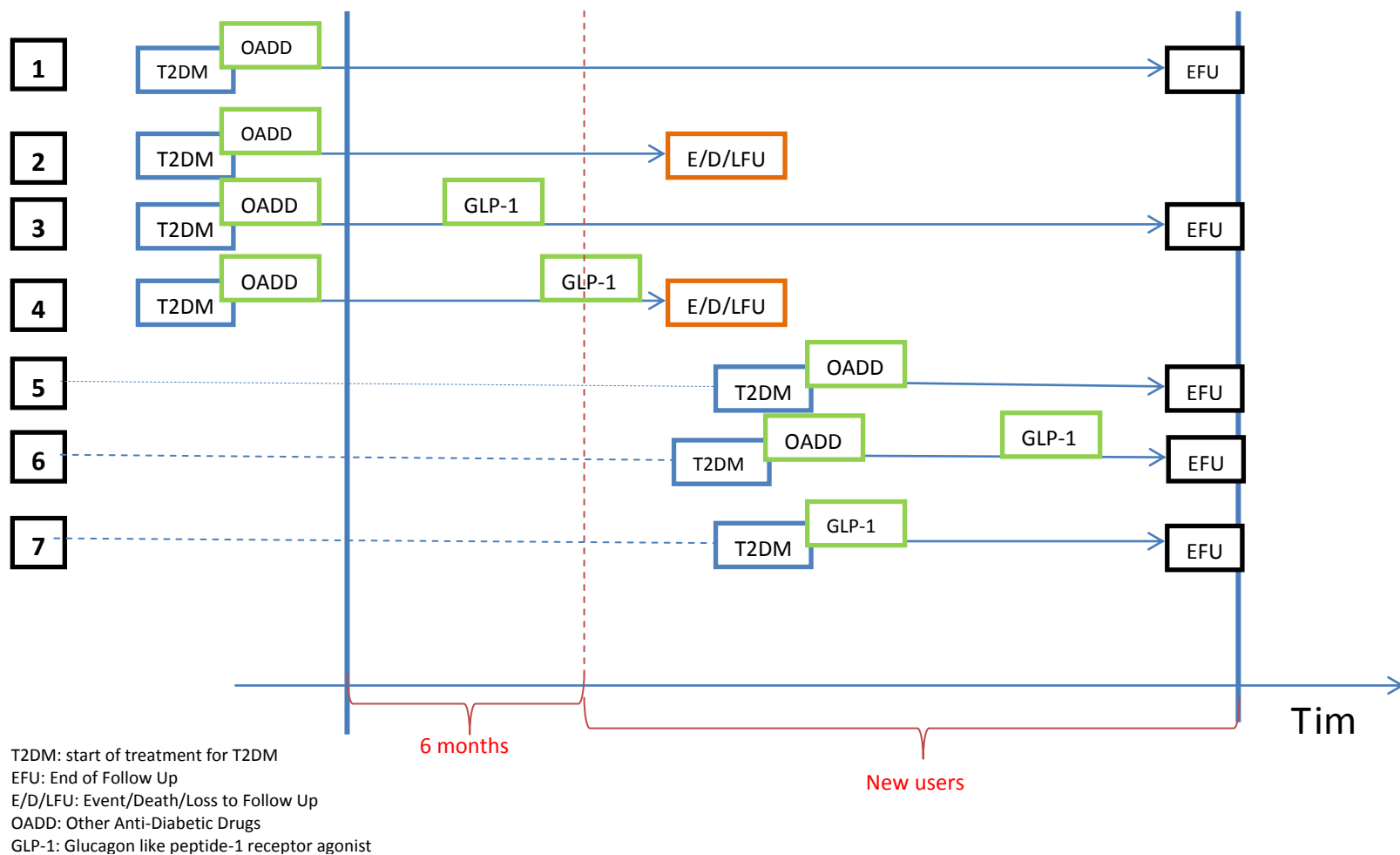
A new user approach will be favoured in order to reduce prevalent user bias and confounding from covariates that could be associated with drug use (Ray, 2003). New users are defined as patients who initiated **either GLP-1 treatment or other anti-diabetic drugs** at least 6 months after the start date of the study (this will include patients who are treatment naive in regards to any anti-diabetic medication although this group will be very few in numbers). The 6 months 'criterion' was defined in previous work on these databases (Boyle et al, 2013). Figure II.9.3.1 shows possible patient trajectories; incident users (with no history of anti-diabetic treatment) are patients 5, 6 and 7 on this diagram. As all prescription databases were set up relatively recently (i.e. 2004-2005), and we will have many patients in the cohort that developed diabetes long before that, the new user approach will deal with patients for whom we have complete data on the history of anti-diabetic medication.

The period of follow-up begins at that time or three months later until a specified event takes place, either (1) date of cancer/or acute pancreatitis diagnosis; (2) date of death (right censoring, only if death occurred before the last date of available data in registry); and, (3) last date of available data in cancer registry.

Figure II.9.3.1: possible patient trajectories

Start of Analysis
(November 2006)

End of Analysis
(31st of December 2012 for cancer analysis)
(31st of December 2013 for pancreatitis analysis)



Outcome

Acute pancreatitis cases will be identified from the hospital discharge registers. The diagnosis of acute pancreatitis is a clinical diagnosis that hinges on the presence of abdominal pain and elevation of two biomarkers, themselves not diagnostic. One potential issue to be faced is that abdominal pain can be an unwanted side effect of the use of GLP-1 medication and this could lead to diagnostic bias.

Cases of all thyroid cancers (especially medullary thyroid cancer) and pancreatic cancer will be identified from the national cancer registers. However, data on the cancer cases for a given year are typically considered incomplete until approximately one year after the year ends. Therefore, it will be possible to use the national cancer registries in these three countries to identify incident cancers occurring until the end of 2012, if the analysis of thyroid cancer is planned to be performed in early 2014.

A potentially major issue surrounds the well-documented under-diagnosis of pancreatic cancer in some Cancer Registries. Kilander et al (2012) recently examined this situation in the Swedish Cancer Registry. From a total of 31,067 cases of pancreatic cancer, 44% were registered in the Hospital Patient Discharge Register but not in the Cancer Registry indicating an overwhelming under-reporting of pancreatic cancer in the Cancer Registry. Thus, diagnoses of pancreatic cancer will be sought in both the Cancer Registry and the Hospital Patient Discharge Registry in each of the countries.

Covariates

The key variables in the study will be obtained from the prescription databases (age, gender, insulin and other diabetes medications and other prescriptions); the hospital discharge registries (date of diagnosis, gallstones and obstruction of the pancreatic duct); the cancer registries (date of diagnosis, cancer site, histology and outcome of cancer); and the national death registers (date and cause of death).

All analyses will be stratified by age and gender and in addition by treatment history.

In order to improve the analysis all efforts will be made to collect additional information on several other potential confounding variables.

Potential confounders for pancreas cancer will include smoking history, alcohol intake, family history of pancreas cancer, a previous diagnosis of Multiple Endocrine Neoplasia (especially MEN1) and chronic pancreatitis.

Potential confounders for acute pancreatitis will include duration of diabetes, presence of gallstones, prior use of anti-diabetic medications, alcohol intake and obstruction of the pancreatic duct.

Potential confounders for thyroid cancer will include duration of diabetes, prior use of anti-diabetics, radiation treatment to the head and neck, iodine intake, hormonal and reproductive treatment, history of goiter or benign thyroid nodules, history of cancer, diagnosis of Multiple Endocrine Neoplasia type 2 (MEN2), and family history of medullary thyroid cancer at the baseline.

The availability of these additional data will differ between the individual countries. A dictionary of compatible covariate information will be compiled at the outset of the study to enable information common to more than one study to be collected and recorded in a manner that will enable the data to be used across studies.

Missing values for these variables will inevitably vary in proportion between variables and possibly between countries. The Biostatistical Advisory Group, a sub-committee of the Scientific Advisory Committee, will recommend a strategy for dealing with missing values taking into account the magnitude of the missing information for any one variable and any variation between countries (see below).

II.9.4 Data Sources

Denmark

The study will draw on health care databases covering the entire Danish population (approximately 5.3 million). The Danish National Health Service provides all inhabitants with free access to general practitioners and hospitals and refunds a variable proportion of prescription medication costs.

The Danish Civil Registration System keeps records on gender, date of birth, change of address, date of emigration and changes in vital status since 1968 (Frank, 2000). The records carry a 10-digit civil registration number (CRN), assigned to every Danish citizen and used in all Danish registers, enabling unambiguous record linkage between them. The CRN will enable the project to establish, for each individual under study, a complete hospital and prescription history since 1995.

The Register of Medicinal Products contains data from 1995 on almost all prescription drugs dispensed at all Danish pharmacies, including patients' CRN, the type of drug according to the anatomical therapeutic chemical classification system, and the date of dispensing the drug.

The Danish National Patient Registry (Andersen et al., 1999) collects data on all hospitalizations from Danish hospitals since 1977, including dates of admission and discharge, procedure(s) performed and up to 20 discharge diagnoses coded by physicians according to the International Classification of Diseases (8th revision [ICD-8] until the end of 1993 and 10th revision [ICD-10] thereafter). Inpatient admissions have always been collected; outpatient, day patient, and emergency room admissions have been collected since 1994.

Denmark has a high-quality National Cancer Registry which has existed since the early 1940s. Coverage and data quality have been shown to be complete from a variety of studies conducted using this resource and many influential epidemiological studies have been conducted and completed using it.

Data on covariates will be obtained from the patient registry and the Register of Medicinal Product Statistics, the Integrated Database for Labour Market Research at Statistics Denmark (Statistics Denmark, 1991) and, for a subset, data from the Laboratory Information System in Aarhus and North Jutland Counties. Additional covariate data will be collected in a subset by linkage with the diabetes national indicator project, the general practitioner's database, and annual cross-sectional studies of health behaviors in the Danish population.

Norway

Norway has a high quality National Population Registry with information on date of birth, gender, date of death, emigration/immigration history etc.

Since 2004, Norway has had a National Prescription Registry. Information is recorded from all prescribed drugs (excluding in-hospital medication), and includes data on the patient (gender, age, place of residence), the prescribing doctor (gender, age, place of residence) and time of prescription (calendar date).

In this study, individual data from the prescription registry will be linked to individual data at the Norwegian Cancer Registry.

In addition, Norway has a National Hospital Discharge scheme that records every period of hospitalization in the country together with diseases coded at discharge. Norway has also a high quality National Cancer Registry with demonstrated complete coverage and data quality.

However, the above mentioned data sources do not include information on other important covariates, therefore, we will supplement the main study with data from a large population based study (CONOR) in Norway that contains comprehensive information on the relevant covariates.

The CONOR Study is a collaboration of four separate cohorts from four regions of Norway, including the Tromsø Study (northern region), the HUNT Study (middle region), the HUSK Study (western region) and the HUBRO Study (Oslo region). Currently, the collaboration is based on core common information on 185,000 participants. Its data contains information on age, sex, height and weight (BMI), waist and hip circumference, smoking habits, prevalent diabetes, and physical activity, in addition to data on a number of laboratory parameters at baseline, including blood pressure and serum lipids (total cholesterol, HDL-cholesterol and triglycerides).

Sweden

The Swedish cohort will comprise patients included in the Prescribed Drug Register, who have been prescribed GLP-1 agonists or other anti-diabetic drugs. By linkage to the regional cancer registries in Sweden as well as hospital discharge registries, information on cancer incidence and pancreatitis will be obtained.

Sweden has a highly efficient National Population and Death Register where record linkage has been done effectively on such studies. This gives coverage of all deaths in Sweden and the ability for record linkage ensures that all members of the cohort who die can be identified. In addition, Sweden has a number of other national registers that may be used to add information on potentially confounding factors.

Overall

The personal identification number will be used to link unambiguously the databases in each country. The ATC coding system (Anatomic Therapeutic Coding) will be used to identify exposure: all patients having been prescribed drugs for the treatment of diabetes (ATC code A10 and subcategories) will be retrieved and linked to the other databases: they will be followed via cancer and hospital discharge registries. The ICD10 codes will be used for outcome assessment: acute pancreatitis (K85), pancreatic cancer (C25) and thyroid cancer (C73), especially medullary thyroid cancer (Thyroid cancer is a distinct entity in the ICD10 and the tumour type can be determined by the ICD-O code).

II.9.5 Study size (Sample size and study power)

A key consideration in designing studies is the requirement for a large enough number of events (pancreatitis, pancreatic cancer and thyroid cancer) to provide adequate statistical power to detect an effect. A conservative approach to estimating statistical power has been adopted, since the cumulative rate of events will be greatly increased as longer follow-up time is available.

Assuming an average prevalence of T2DM of 5% (Carstensen et al, 2008) for a total adult population (20+ years) in the 3 countries (Denmark, Norway and Sweden) of 15,000,000, our study population will reach approximately 750,000 subjects.

In terms of exposure to GLP-1 RAs the literature search resulted into a very heterogeneous range of values. An estimation in the THIN database (based on records from a sample of UK GPs) up to the end of 2010 revealed that about 2% of type 2 diabetes patients were treated by GLP-1 agonists. This is likely to be underestimated, knowing that the UK system is usually slower in adopting new drugs. Several cohort studies in the US gave different estimations of exposure to GLP-1 RA: 17% (Garg et al, 2010) 11% (Doré et al, 2009), 2.6% (Romley et al, 2012), that may overestimate use in

Europe because adoption of new drugs is faster in the USA. Since liraglutide is manufactured and marketed by a Danish pharmaceutical company (Novo Nordisk), the proportion of type 2 diabetes patients treated with liraglutide in the Nordic countries is likely to be higher than that observed in the THIN database. We have therefore assumed that a proportion of 5 to 10% of the diabetic patients are exposed to GLP-1 RAs and the following sample size/power calculations are done for these 2 hypothetical values. Conservatively assuming an average follow up of one year for these patients and 5% of diabetics exposed to GLP-1, then 37,000 person-years (p-y) of total follow-up time will be eligible for this study by the end of 2011. Idem, if 10% of diabetics are exposed to GLP-1, then 74,000 person-years (p-y) of total follow-up time will be eligible for this study by the end of 2011.

The incidence rate of acute pancreatitis was estimated using age-specific data from Sandzen et al. (2009) for the period 1998-2003 among the Swedish population, weighted by the age distribution among the diabetic population (Diabetes in the UK April 2012 available at: <http://www.diabetes.org.uk/Documents/Reports/Diabetes-in-the-UK-2012.pdf>, Carstensen et al., 2008). Age specific incidence rate for pancreatic and thyroid cancer were derived from NORDCAN (www.dep.iarc.fr/nordcan.htm) and also weighted by the age distribution among the diabetic population. The estimated diabetes-age adjusted incidence rates are shown in Table **II.9.5.1**:

Table II.9.5.1: Projected age adjusted incidence rate

Outcome	Diabetes age adjusted incidence rate (per 100,000)
Pancreatitis	65.57
Pancreatic cancer	29.19
Thyroid cancer	6.13

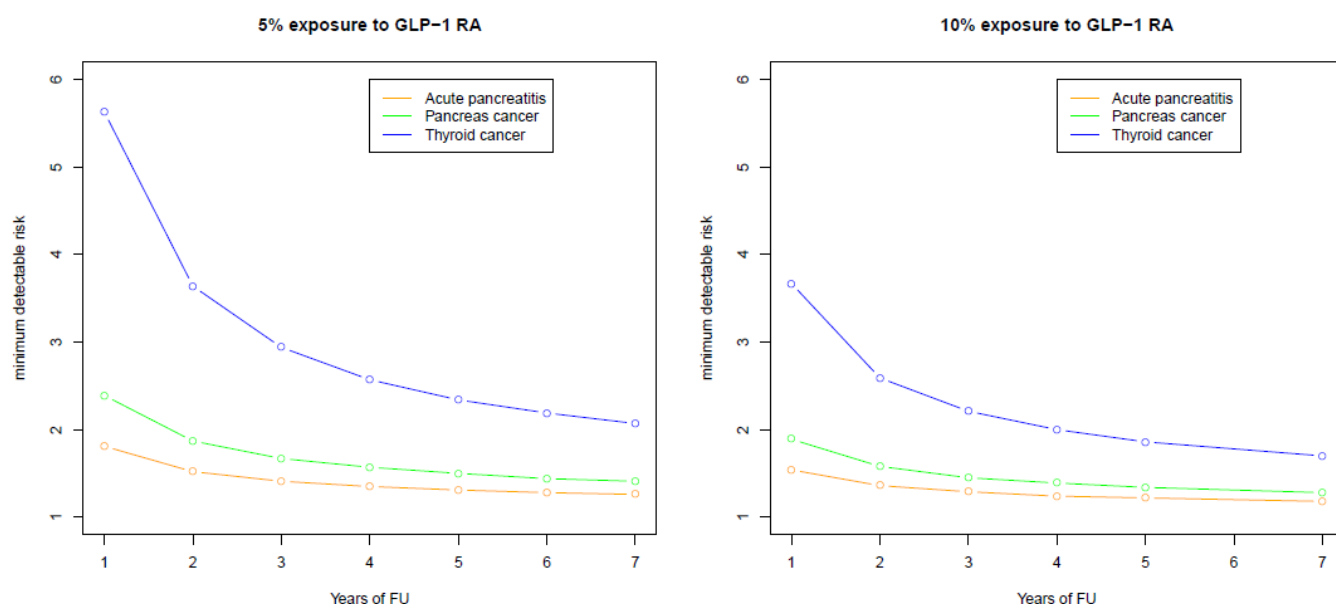
Under these assumptions, the minimal detectable risks (with 90% power and 95% confidence level, model: Poisson distribution) are shown in Table II.9.5.2 (sample size calculations using the methodology of Gu et al. 2008):

Table II.9.5. 2: Minimum detectable risk for one year of follow-up

Exposure to GLP-1 among diabetics	Acute Pancreatitis	Pancreatic cancer	Thyroid cancer
5%	1.80	2.40	5.63
10%	1.54	1.89	3.67

The database study will be completed in 2014. Figure II.9.5.2 shows the evolution of the minimum detectable risk with increasing years of follow-up, for 5% and 10% of exposure to GLP-1 among the diabetic population:

Figure II.9.5.2: Minimum detectable risk



The estimation of sample size and study power for the primary and secondary objectives will be further defined after consultation with local Principal Investigators and external experts.

II.9.6 Data Management

In each centre data will be linked between the different data sources (population registry, prescription registry, hospital discharge registry, cancer registry) through an anonymized unique identification number. Linking the population registry, the prescription registry and the cancer registry has already been tested and proved to be effective through our previous database study (Boyle et al, 2012). However, linking with the hospital discharge registry requires a procedure preventing introduction of information bias in the study.

All patients identified to have had an anti-diabetic treatment in the prescription database (regardless of the type of medication taken) will be linked to the Hospital Discharge Registry. All discharge information will be retrieved for all the diabetic patients (with an

anti-diabetic prescription) and searched for codes or keywords that indicate a pancreatic related disease focussing on acute pancreatitis and pancreatic cancer.

Each national centre will be responsible for the data storage and data management of the individual-level data, following all national and European directives regarding the well being and rights of each study subject. Each centre has an excellent track record of successfully undertaking similar research respecting all moral and legal requirements.

For data analysis, a two stage process will be adopted because it is not possible to share individual level data. In each country an individual level analysis will be carried out using a common statistical methodology. The anonymous results (statistical model coefficients) will be transferred to the coordinating center IPRI in a secured way (password protected). Pooling of the within study effects in a meta-analysis (Thompson et al, 2010), will then be carried out at I-PRI. This same procedure was used successfully in the earlier study. Notably, no identifiable data will be made available outside the country where the data are obtained.

II.9.7 Statistical Analysis

Full details of the statistical analysis will be determined prior to the end of the period of data collection and discussed and approved by the Biostatistics Advisory Committee. This is in a similar manner to the approach adopted in the *Northern European Database Study of Glargine and Cancer* (Boyle et al, 2013). The philosophical approach to the statistical analysis will be in the spirit of this previous study, whose statistical analysis plan is attached as Appendix III.

1. Incidence rates and 95% confidence intervals (CI) of pancreatitis, pancreatic cancer and thyroid cancer among the patients treated with GLP-1 RAs as well as those patients treated with other anti-diabetic medications will be derived. In addition, fitting a Poisson regression model may be considered.
2. Hazard ratios (95% CIs) of pancreatitis, pancreatic cancer and thyroid cancer associated with the treatment of GLP-1 RAs versus other anti-diabetics will be estimated using time-dependent Cox proportional hazards survival analysis. The potential confounding factors listed above will be adjusted for in the analyses (to be further discussed and refined by external experts).

The two types of analyses above (intent-to-treat analysis and an analysis by censoring the follow up time at the end of treatment of either GLP-1 RAs or other anti-diabetics) will be stratified by age, sex, previous anti-diabetic treatments (such as insulin, metformin etc) and other confounding factors in function of their availability.

3. Profile of GLP-1 users as compared to users of other anti-diabetics, for instance: age, sex, previous prescriptions, further covariates when available.

A major strength of the study will be that the Protocol will be followed to the largest extent possible and, notably, the statistical analyses will be restricted to the study hypotheses which have been declared.

II.9.8 Quality Control

The three countries involved in this study have databases which have all been demonstrated to be of the highest quality and completeness. The three countries chosen also have long-established and high-quality National Cancer Registries and Hospital Discharge Registers with diagnostic information. In addition, each of these countries has a National Mortality Database served by long-standing cause of death schemes. The three countries participated in the *Northern European Database Study of Glargine and Cancer* and have a substantial track-record of undertaking cutting-edge pharmaco-epidemiological studies.

With high-quality data available regarding exposure and the endpoints of interest, the study will be further strengthened by identical analyses being carried out in each centre using a common statistical methodology.

IPRI will ensure the coordination of the project through the **Coordination Office**. The Office will comprise the IPRI Principal Investigators and Senior Staff (Peter Boyle, Philippe Autier, Irene Leigh, Mathieu Boniol, Chris Robertson and Maria Bota): Maria Bota shall act as the Project Manager, will be available for daily contact with each of the national studies and responsible for developing the study database and preparing it for statistical analysis. In addition, Masters-level appointee(s) will work within the Office, coordinating study materials and correspondence, as needed.

The **Scientific Committee** for the study will comprise two representatives of IPRI (Peter Boyle, and Philippe Autier) and two representatives of each of the national studies: Henrik Toft Sørensen and Timothy Lash (in Denmark), Lars Vatten and Bjørn Olav Åsvold (in Norway), Rickard Ljung and Anders Ekblom (in Sweden). This group will be responsible for preparing the operational protocol for the study which shall then be passed to the Scientific Committee for approval. The Scientific Committee will ensure the conduct of the study according to the protocol in each of the participating countries, it will be responsible for the statistical analysis, the interpretation of the results and the preparation of the reports. The ultimate responsibility for the study lies with the Scientific Committee. It will meet regularly throughout the study period.

An independent, external **Scientific Steering Committee** will be appointed to oversee the study and advise on treatment, methodological and biostatistical issues. This group will meet regularly throughout the duration of the study and incorporate a **Biostatistical Advisory Group**, to advise on all aspects of the design and data analysis.

This Group will comprise Professor Geremia Bolli (University of Perugia, Italy), Professor Hertzell Gerstein (McMaster University, Hamilton, Canada), Professor Derek LeRoith (Mount Sinai, New York, United States of America), Professor Stuart J Pocock (University College London, UK); Professor Ian Ford (Robertson Centre for Biostatistics, University of Glasgow, UK) and Professor Theodore Holford (Department of Biostatistics, Yale University, USA).

II.9.9 Limitations of research methods

Administrative databases such as those employed in this study suffer from several key limitations including that the reason why a particular treatment was chosen is unknown and the reasons why therapy changed is also unknown. This contributes to the situation of confounding by indication, which can be a major source of concern in such studies leaving findings and conclusions open to a certain level of uncertainty. The Investigators are experienced epidemiologists who have worked extensively with such databases and can maximise the information which can be extracted from such material without over-interpreting what is possible.

Adjustment for confounding will not be available for the entire cohorts established but will only be available for a proportion of patients in the cohort.

II.10 Protection of Human Subjects

Each national centre will be responsible for following all national and European directives regarding the well-being and rights of each study subject. Each centre has an excellent track record of successfully undertaking similar research respecting all moral and legal requirements. At the central office (iPRI, Lyon) no identifiable data will be received from the individual centres.

All approvals necessary to undertake this study will be obtained in each country. In addition, the study protocol and the national approvals will be submitted to the IPRI Ethics Committee who will give tertiary ethical approval.

II.11 Management and Reporting of Adverse events/ reactions

Reporting of adverse events or adverse reactions on individual patients is not appropriate to this form of study where there is no contact between the investigators and the patients.

II.12 Plans for disseminating and communicating study results

At the end of the study, a full Report will be prepared and the MAH will submit this to the CHMP of the EMA. Once the comments of the Rapporteurs have been received, any modifications necessary will be made to the Report which will then be finalised. A manuscript presenting the results of the study will be prepared for publication in a peer-reviewed scientific journal and the findings presented at major scientific meetings of relevance to the subject matter e.g. at the Annual meeting of the European Association for the Study of Diabetes (EASD), at the Annual Meeting of the American Diabetes Association (ADA) and the Annual meeting of the American Society for Clinical Oncology (ASCO).

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III Patient Registry of Lixisenatide Use in Adult Type 2 Diabetes

Format and Content of Study Protocol

III.1 Title

Patient Registry of Lixisenatide Use in Adult Type 2 Diabetes

III.2 Marketing Authorization Holder

The marketing authorization holder (MAH) is Sanofi.

III.3 Responsible Parties

Principal Investigator	*Peter Boyle PhD DSc FRSE FFPH FRCPSG FRCP FMedSci
Senior Investigator	*Philippe Autier MD PhD
Study Coordinator	*Maria Bota MSc/MEng
Study Statisticians	*Mathieu Boniol PhD
	*Chris Robertson PhD

Local Investigator, Denmark	Henrik Toft Sørensen MD
Local Investigator, Denmark	Timothy L Lash PhD
Local Investigator, Norway	Lars Vatten MD PhD
Local Investigator, Sweden	Rickard Ljung MD PhD

*A brief *Curriculum Vitae* is attached as Appendix IV.

Title Patient Registry of Lixisenatide Use in Adult Type 2 Diabetes

Rationale and Background. GLP-1 RAs have been a focus of attention since the signalling of certain (rare but serious) adverse effects reported with their use: pancreatitis, pancreatic cancer and thyroid cancer (especially medullary carcinoma). A new GLP-1 RA (i.e. lixisenatide) treatment for type 2 diabetes will soon be available on the market. An active surveillance approach is more useful for monitoring products after launch by providing a system for reporting these adverse effects and by collecting information on the exposed population.

Research Question and Objectives. In the context of pharmacovigilance activity of the EU RMP (European Union Risk Management Plan), it has been agreed with the European Medicines Agency (EMA) to develop a registry to monitor the occurrences of events of interest including acute pancreatitis, pancreatic cancer and thyroid cancer, especially medullary carcinoma of the thyroid, among adult type 2 diabetes patients treated with lixisenatide.

Study Design This will take the form of a Patient Registry involving patients with lixisenatide in Denmark, Norway and Sweden.

Population Diabetic patients prescribed with lixisenatide in Denmark, Norway and Sweden since the day on which lixisenatide was approved for use.

Variables For each patient, information regarding all anti-diabetic medications prescribed in the study period will be recorded together with basic information such as age and gender. Patients who develop cancer will be identified as will anyone who is diagnosed with pancreatitis, and their complete clinical information will be retrieved and collected from existing national registers and databases annually: smoking history, a previous diagnosis of Multiple Endocrine Neoplasia (especially MEN1), chronic pancreatitis, duration of diabetes, gallstones, prior use of other anti-diabetics, alcohol intake, obstruction of the pancreatic duct, radiation treatment to the head and neck, iodine intake, hormonal and reproductive treatment, diagnosis of Multiple Endocrine Neoplasia type 2 (MEN2), history of goitre or benign thyroid nodules, history of cancer, and family history of medullary thyroid cancer.

Data Sources Patients will be identified in the National Prescription Database. They will be linked to the National Death Indices, National Cancer Registries and National Hospital Discharge schemes to identify cases of cancer, pancreatitis and anyone who has died. Clinical data for all patients with the outcome of interest will also be retrieved from National Hospital Discharge schemes. Additional data will be collected from other (country specific) available data sources.

Study Size For a 1% exposure to lixisenatide among diabetic patients, thus 38 expected cases of pancreatitis, the power of the study in order to detect an observed vs

expected ratio of pancreatitis of 1.5 will be of 83% (significance level=0.05). Furthermore, the study will be able to detect an observed vs expected ratio of pancreatic cancer of 1.5 with 59% power (significance level=0.05), and an observed vs expected ratio of 2 with 92% power (significance level=0.05). However, for 1% exposure to lixisenatide, the study is underpowered to detect these same ratios of thyroid cancer, it will be able to detect with power of 92% an observed vs expected ratio of 2 (significance level=0.05) for 5% exposure to lixisenatide among the diabetic population.

Data analysis Descriptive analysis will be performed and total follow-up time for lixisenatide users will be calculated. For pancreatitis, pancreatic cancer and thyroid cancer, the expected number of cases will be estimated annually after launch using the background rates obtained from the retrospective databases study. If the observed number of cases for the events of interest, respectively, is significantly higher than the expected number of cases, further investigation may be warranted following discussion with the regulatory authorities.

Milestones The registry will be initiated after the launch of lixisenatide and preparations will begin with immediate effect to ensure that the systems are in place prior to launch. Status reports will be submitted to the Agency annually, together with the RMP update as planned. Final report will be submitted to the Agency at the end of the registry.

III.5 Amendments and Updates

This is the initial protocol and any amendments will be placed here in a timely manner.

III.6 Milestones (events in months)

The registry will be initiated after the launch of lixisenatide and preparations will begin with immediate effect to ensure that the systems are in place prior to launch. Status reports will be submitted to the Agency annually, together with the RMP update as planned. Final report will be submitted to the Agency at the end of the registry.

However, if a sufficient number of participants are captured for any outcome(s) of interest earlier before the end of registry, the final data analysis for the particular outcome(s) will be started immediately and the study report will be made available within 12 months.

Start of preparatory phase	T0	Development of draft Protocol
	T0+1	Meeting with Sanofi Global Pharmacovigilance and Epidemiology, Regulatory, and Medical Affairs
	T0+1	Establishment of Scientific and Steering Committee
	T0+2	Meeting of local Principal Investigators to refine protocol
	T0+3	Protocol agreed and submitted to CHMP EMA
	T0+6	Development of data collection module
	T0+7	Synthesis of background information on disease rates in populations
	T0+8	Preliminary Pilot Testing of data collection and data transfer
	T0+9	Final agreement on Protocol from CHMP
	T0+9	IRB/Ethics Approval
	T0+10	Registry ready to launch
Start of data collection	Date of market authorization for Lixisenatide – T	
End of data collection	2018	
Study progress reports	Yearly	
Interim reports	Yearly	
Final study report	2017 for Acute pancreatitis 2019 for pancreatic and thyroid cancer	

III.7 Rationale and Background

GLP-1 RAs have been a focus of attention since the signalling of certain (rare but serious) adverse effects reported with their use: pancreatitis, pancreatic cancer and thyroid cancer (especially medullary carcinoma, a rare tumour of the thyroid gland).

Glucagon-like peptide 1 receptor agonist (GLP-1 RA) is a new class of blood glucose lowering drugs indicated in the treatment of type 2 diabetes mellitus (T2DM). It is an agonist molecule to the incretin (gastrointestinal hormone) GLP-1 which stimulates glucose-dependant secretion of insulin. GLP-1 is rapidly inactivated by the dipeptidyl peptidase-1 (DPP-4) (half life of about 2 minutes), hence the need for a GLP analogue or agonist with greater resistance to DPP-4 degradation. GLP-1 regulates glucose levels by stimulating glucose-dependent insulin secretion and biosynthesis, and by suppressing glucagon secretion, delayed gastric emptying and promoting satiety.

There are currently 2 GLP-1 RA products on the market, one of which (exenatide) is available in two formulations (Byetta and Bydureon):

- Exenatide (also Exendin-4) marketed as Byetta by Amylin Pharmaceuticals, Inc., (San Diego, California, United States of America) was launched in 2005 in the USA and approved in 2006 in the European Union and is administered subcutaneously twice daily; Exenatide marketed as Bydureon by Amylin Pharmaceuticals, Inc., (San Diego, California, United States of America) was approved on the market in 2012 and is administered subcutaneously once weekly.
- Liraglutide, marketed as Victoza by Novo Nordisk, Inc. (Copenhagen, Denmark). Liraglutide was approved by the European Medicines Agency (EMA) in July 2009 and by the United States Food and Drug Administration (FDA) in January 2010. Liraglutide, a once-daily glucagon-like peptide-1 receptor agonist, is approved for use as monotherapy in the USA and Japan (but not in Europe or Canada) and in combination with selected oral agents (all regions) for the treatment of patients with type 2 diabetes. Guidance from local advisory bodies is emerging on the most appropriate place for liraglutide in the treatment pathway. It is apparent from its phase 3 clinical trial programme that liraglutide provides superior glycaemic control compared with that achieved with other antidiabetic agents used early in the treatment pathway (e.g. glimepiride and sitagliptin). Key additional benefits include a low incidence of hypoglycaemia and clinically relevant weight loss, although these benefits may be ameliorated by concomitant sulphonylurea treatment and, in the case of hypoglycaemia, reduction of the sulphonylurea dose may be necessary.

Safety concerns

GLP-1 receptor agonists have been demonstrated to have impact on glycaemic control, to be associated with weight loss and to carry a low risk of hypoglycaemia (Bergental

et al, 2012; Scott et al, 2012; Nauck et al, 2012; Alves et al, 2012; Gough, 2012; Scott et al, 2012; Shyangdan et al, 2011). However, these drugs have been a focus of attention since they may be associated with the occurrence of certain (rare but serious) adverse: acute pancreatitis, pancreatic cancer and thyroid cancer (especially medullary carcinoma of the thyroid).

Acute Pancreatitis

Spontaneous (post-marketing) reports of acute pancreatitis among type 2 diabetes patients on GLP-1 agonists were submitted to the FDA Adverse Event Reporting System [FDA alert: information for healthcare professionals: exenatide (marketed as Byetta). FDA: Postmarket Drug Safety Information for Patients and Providers, available at: <http://www.fda.gov/Drugs/DrugSafety/PostmarketDrugSafetyInformationforPatientsandProviders/ucm124713.htm>]. Since the introduction on the market in 2005 until 8/18/2008, 36 cases of acute pancreatitis were reported in patients taking Byetta. As a result of these spontaneous results the FDA required the manufacturer to include a warning for the risk of pancreatitis on the prescribing information of exenatide.

Also, at the introduction on the market of the new molecule liraglutide (marketed as Victoza), patients were warned about the potential risks of pancreatitis, as there was a 4:1 imbalance of the pancreatitis cases in patients using Victoza compared to patients using another diabetes medicine in 5 clinical trials [source: <http://www.fda.gov/Drugs/DrugSafety/PostmarketDrugSafetyInformationforPatientsandProviders/ucm198543.htm>]

However the preclinical and clinical evidence over the mechanism linking GLP-1 RA and acute pancreatitis is conflicting (Anderson et al, 2010; Elashoff et al, 2011; Drucker et al 2011). Preclinical studies showed equally a negative effect of incretin based drugs on the risk of developing pancreatitis (Matveyenko et al, 2009; Nachani et al, 2010) as well as a null effect or a positive effect (Engel et al, 2010; Tatarliewicz et al, 2010).

The clinical data are equally contradictory. A recent meta-analysis was carried out in order to assess the risk of acute pancreatitis and overall cancer with use of exenatide and liraglutide separately (Alves et al, 2012). The Summary Odds Ratio (SOR) based on 13 studies of exenatide that reported acute pancreatitis outcome was found to be 0.84 [95% CI, 0.58; 1.22]. The 13 studies included 3 cohort studies [Doré et al.2009; Doré et al.2011; Garg et al, 2010; Wenten et al, 2012) and 10 randomized controlled trials out of which 6 reported zero cases of pancreatitis, hence the odds ratio was zero. The overall Summary Odds Ratio based on 12 RCT of liraglutide that report acute pancreatitis outcome was found to be 0.97 [95% CI, 0.21; 4.39], out of which 8 studies reported zero cases of acute pancreatitis. There have been no post-market observational studies for liraglutide and acute pancreatitis so far. These results are weakened by limitations such as the fact that they are based on small studies, underpowered to detect rare adverse effects and of short duration.

A number of other studies have been reported:

- Romley et al (2012) conducted a study of exenatide therapy and the risk of pancreatitis and pancreatic cancer in a privately insured population. This is a retrospective cohort study on type 2 DM patients with employer provided health insurance from 2007 to 2009. Among the 268,561 patients included in the study, only 2.6% used exenatide. The hospitalization rate for acute pancreatitis among patients who used at least one exenatide prescription was 1.96 per 1000 patients compared to 2.49 per 1000 patients who never used exenatide (p-value = 0.167).
- MacConell et al (2012) examined the safety and tolerability of exenatide twice daily in patients with type 2 diabetes with an integrated analysis of 5594 patients from 19 placebo-controlled and comparator-controlled clinical trials. This is an integrated analysis of 19 RCT carried out by the manufacturer. The incidence rates for pancreatitis were not statistically different between groups.
- Elashoff et al (2011) reported on the disproportion of the FDA-AERS database of reported adverse events showed an increased risk of acute pancreatitis with exenatide: OR = 10.68 (p-value vs control drugs = 2×10^{-16}). However, this must be interpreted bearing in mind the known limitations of this database (e.g. <http://www.ncbi.nlm.nih.gov/pubmed/15317031>).

The literature can be summarised by observing that the small number of reported events and other design limitations of the studies currently available does not allow for clear conclusions to be drawn, one way or another, at the present time.

Pancreatic Cancer

Besides observing a high number of pancreatitis cases, Elashoff et al (2011) also reported on pancreatic cancer in patients treated with exenatide [OR=2.95 (p value vs control drugs = 9×10^{-5})]. There are no further clinical studies that address this issue. Experimental data show that GLP-1 agonists increase beta-cell mass in rodents, But human trials do not support the rodent data (Brubaker et al, 2009)..

Thyroid Cancer

The rodent calcitonin producing C-cell expresses GLP-1Rs, and sustained GLP-1R activation results in increased calcitonin secretion, C-cell hyperplasia and medullary thyroid cancer, predominantly in rats. In contrast, C cells within the monkey and human thyroid gland exhibit lower levels of GLP-1R expression, and prolonged administration of liraglutide at very high doses does not produce C-cell proliferation in monkeys (Drucker et al, 2011; Bjerre Knudsen et al, 2010).

A review on the association between glucagon-like peptide-1 receptor agonists and thyroid cancer (Chiu et al., 2012) concludes that GLP-1 agonists might increase the risk of thyroid C-cell pathology in rodents, but its risk in humans awaits confirmation.

Therefore, the effects of GLP-1 on human cancer development are overall unknown and difficult to be extrapolated from pre-clinical studies. In addition, the small number of cases and the lack of biological plausibility raise doubts between the use of GLP-1 agonists and the occurrence of cancer (pancreatic, thyroid or overall). Therefore longer-term, better designed epidemiological studies are required, in order to assess any risks associated with GLP-1 RA use.

Design of Investigative Study

Two studies have been prepared and the patient registry is presented below. The rationale behind both studies revolves chiefly around the concept of performing the Registry study in the same populations where the incidence rates of acute pancreatitis, pancreatic cancer and thyroid cancer, in particular medullary thyroid cancer in adult patients with type 2 diabetes treated with GLP-1 agonists (i.e., exenatide and liraglutide) and other anti-diabetics are established.

Very limited background information is available in the literature for the incidence rates of acute pancreatitis, pancreatic cancer and (medullary) thyroid cancer in adult type 2 diabetes patients treated with GLP-1 agonists (i.e., exenatide and liraglutide) and other anti-diabetics. Therefore, the data from such a study will help contextualize these events expected to be observed in the patient registry of lixisenatide.

“On 15 November 2012, the Committee for Medicinal Products for Human Use (CHMP) adopted a positive opinion, recommending the granting of a marketing authorisation for the medicinal product Lyxumia. The active substance of Lyxumia is lixisenatide, a glucagon-like-peptide-1 (GLP-1) analogue medicinal product. Like native GLP-1, lixisenatide stimulates insulin release from the pancreatic islets, suppresses glucagon secretion, delays gastric emptying and reduces body weight. A pharmacovigilance plan for Lyxumia will be implemented as part of the marketing authorisation.” (from EMA - Lyxumia).

The pharmacovigilance plan includes setting up a patient registry of type 2 diabetic patients taking lixisenatide. This would represent a proactive risk assessment in the post approval setting that allows for prospective follow-up and monitoring of occurrences of any of the outcomes of interest, i.e. pancreatitis, pancreatic cancer and thyroid cancer (especially medullary carcinoma of the thyroid).

The registry population will be representative of the population actually using the product since it should include all patients regardless of age, comorbidities or concurrent treatments. Follow up duration will be long enough to encompass delayed risks, consequences of long term use and effects of various combinations and alternations of treatments if any.

III.8 Research Question and Objectives

Study objectives

In the context of pharmacovigilance activity of the EU RMP (European Union Risk Management Plan), it has been agreed with the European Medicines Agency (EMA) to develop a Patient Registry of patients with diabetes exposed to lixisenatide.

The primary objectives of this study are to monitor the occurrences of:

1. acute pancreatitis,
2. pancreatic cancer
3. thyroid cancer, especially medullary carcinoma of the thyroid,

among adult type 2 diabetes patients treated with lixisenatide and to compare their risks with that of users of (1) other GLP-1 receptor agonists from the Database Study ; (2) other diabetic medications from the Database Study; and (3) the general population. The assembled cohort will be constantly monitored for these events to detect and emerging signal as quickly as possible.

Declaration of Study Hypotheses

This study is designed to focus on the risk associated with use of lixisenatide and will investigate the following primary hypotheses:

1. That adult patients prescribed lixisenatide have an increased risk of acute pancreatitis when compared to the expected risk calculated for the other GLP-1 RA users;
2. That adult patients prescribed lixisenatide have an increased risk of pancreatic cancer when compared to the expected risk calculated for the other GLP-1 RA users;
3. That adult patients prescribed lixisenatide have an increased risk of thyroid cancer (especially medullary carcinoma of the thyroid) when compared to the expected risk calculated for the other GLP-1 RA users;

Comparison of the incidence of these three conditions among users of lixisenatide and users of other GLP-1 receptor agonists will be available from the companion study among databases. This will provide an important background when interpreting any Odds Ratio found.

Secondary Hypotheses

4. That adult patients prescribed lixisenatide have an increased risk of pancreatitis when compared to the expected risk calculated for other diabetic medications (which will also be divided into groups of oral medications (an indicator of less severe diabetes) and insulin (an indicator of more severe diabetes));
5. That adult patients prescribed lixisenatide have an increased risk of pancreatic cancer when compared to the expected risk calculated for other diabetic medications (which will also be divided into groups of oral medications (an indicator of less severe diabetes) and insulin (an indicator of more severe diabetes));
6. That adult patients prescribed lixisenatide have an increased risk of thyroid cancer (especially medullary carcinoma of the thyroid) when compared to the expected risk calculated for other diabetic medications (which will also be divided into groups of oral medications (an indicator of less severe diabetes) and insulin (an indicator of more severe diabetes));

What is requested is to monitor the occurrence of pancreatitis, pancreas cancer and thyroid cancer in patients with diabetes receiving lixisenatide and to identify any potential signal indicating an increased level of pancreatitis, pancreas cancer and thyroid cancer as reliably and as quickly as possible. Comparisons need to be made with the background rate in patients with diabetes and also the general population before conclusions can be drawn. [Patients with diabetes have a background increased risk of both pancreatitis and pancreas cancer].

The comparison between risk of outcome events with different treatments will require to be handled very carefully. The only way to make such comparisons in an unbiased manner would be via a randomised clinical trial. In the observational studies proposed herein there are other factors, such as patient characteristics and physician choice, which may influence treatment choice. The products in question will not be distributed to patients in a randomised manner.

Importantly, comparison of risk of the endpoints (Pancreatitis, Pancreas Cancer, Thyroid cancer) in this setting is fraught with hazard particularly when comparing patients receiving lixisenatide with the use of all other anti-diabetic medications. This latter group will comprise a group of patients with a wide range of treatments associated with diabetes of different durations and different levels of severity, from less severe (e.g. patients prescribed metformin) to more severe (e.g. patients with basal and prandial insulins). Care will be taken to separate this group into patients with oral anti-diabetic medications and those requiring insulin: at least this will provide two comparison groups at different levels of diabetes severity.

A comparison of cases observed and the numbers observed given the rates in the general population will also be made although there are quite severe issues regarding comparability of the groups which make interpretation complicated. The observation periods are not contemporaneous which introduces a potential source of confounding and/or information bias arising from potential differential diagnostic accuracy in the two time periods. This will be addressed in the statistical analysis and interpretation.

III.9 Research Methods

III.9.1 Study design

This will take the form of a Patient Registry involving patients treated with lixisenatide in Denmark, Norway and Sweden. Additional sources of data will be sought among leading diabetes centres to identify more detailed clinical information. The study design will be the same in all 3 countries, but the data management procedure will differ between the centres, therefore some 'technical' details of the study implementations will be properly defined after consultation with each separate centre.

Prior to the full Registry study commencing, it will be essential to determine the most efficient manner in each country to develop the Register and to obtain the follow-up information. During this phase, it will be possible to refine the study design and, particularly, the methodology employed in each country.

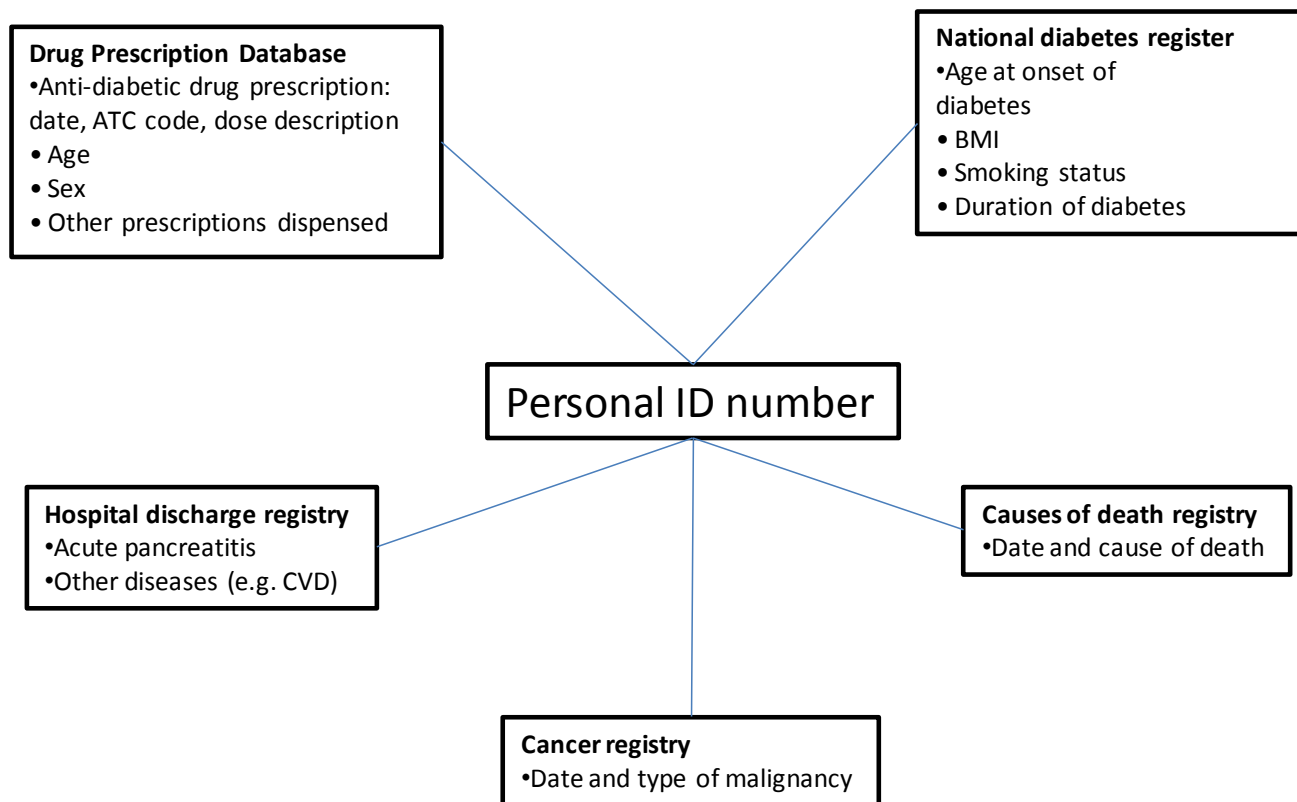
III.9.2 Setting

The study will be based in Denmark, Norway and Sweden. These countries have been chosen because each has:

1. A high-quality prescription registry that is population-based.
2. A high-quality cancer registry, which covers the entire population included in the prescription database.
3. A national hospital discharge registry, which covers the entire population included in the prescription database
4. A national death register, which can be used for record linkage to ascertain the date of death of any patient in the patient registry who died.
5. A source of information on key confounders (notably BMI), either in the prescription database itself, in a diabetes registry or in other available databases or large prospective studies.

A unique personal identity number will be used to link together the information from the several population based registries, as shown in the figure below:

Figure III.9.2



The source of the cohorts will be mainly represented by the drug prescription registries.

The study population will include patients with type 2 diabetes treated with lixisenatide after launch. The study period will start from the lixisenatide launch and last for 5 years.

The patients will be identified as having been prescribed at least one prescription for the GLP-1 RA lixisenatide.

High completeness of follow-up is expected, as well as high accuracy of data, which will allow capture of the variables of most interest.

The study entry date will be defined as the date of the first prescription of lixisenatide after launch. The follow-up will end at the earliest of the following: 1) death or 2) the end of the register.

Data from national registers and databases in Denmark, Norway and Sweden will be used as the primary data source to identify lixisenatide treated patients for this registry. In Denmark, Norway and Sweden, national prescription databases have been established and are linkable to other national registers and databases (e.g., National Cancer Registry, Cause of Death Registry, and Hospital Discharge Registry) via National Registration Identification number.

A detailed table of characteristics of the cohort included in the analysis will be provided after consultation with the local investigators.

III.9.3 Variables (Outcome, Exposure, Risk factors, Confounding variables)

For each patient, information regarding all anti-diabetic medications prescribed in the study period will be recorded together with basic information such as age and gender.

Patients who develop pancreatic cancer and thyroid cancer will be identified as well anyone who is diagnosed with pancreatitis, and their complete clinical information will be retrieved and collected from existing national registers and databases annually: smoking history, a previous diagnosis of Multiple Endocrine Neoplasia (especially MEN1), chronic pancreatitis, duration of diabetes, gallstones, prior use of other anti-diabetics, alcohol intake, obstruction of the pancreatic duct, radiation treatment to the head and neck, iodine intake, hormonal and reproductive treatment, diagnosis of Multiple Endocrine Neoplasia type 2 (MEN2), history of goitre or benign thyroid nodules, history of cancer, and family history of medullary thyroid cancer.

Outcomes of interest

Acute pancreatitis cases will be identified from the hospital discharge registers. Cases of thyroid cancer and pancreatic cancer will be identified from the national cancer registers. However, data on the cancer cases for a given year are typically considered incomplete until approximately one year after the year ends. For example, it will be possible to use the national cancer registries to identify incident cancers occurring until the end of 2013, if the analysis of thyroid cancer is planned to be performed in early 2015.

Exposure assessment and follow-up time

Patients with one or more prescriptions of lixisenatide will be identified from the national prescription registers and included in the register.

For acute pancreatitis, the exposure duration on lixisenatide will begin accumulating on the first day of lixisenatide prescription and continue with the subsequent continuous use of lixisenatide until:

- 1) the end of the lixisenatide treatment (in addition to a grace period of up to 30 days, to account for non-adherence),
- 2) the occurrence of acute pancreatitis, or;
- 3) the end of registry, whichever comes first.

For thyroid cancer and pancreatic cancer, the exposure duration will start from the first day of lixisenatide prescription and continue until either (1) the occurrence of thyroid cancer or pancreatic cancer, or (2) the end of registry, whichever comes first.

III.9.4 Data Sources

Patient registry data sources are the same as for the data base study (Section II.9.4)

Patients will be identified in the National Prescription Database. They will be linked to the National Death Indices, National Cancer Registries and National Hospital Discharge schemes to identify cases of cancer, pancreatitis and anyone who has died. Clinical data for all patients with the outcome of interest will also be retrieved from National Hospital Discharge schemes. Additional data will be collected from other (country specific) available data sources.

The personal identification number will be used to link unambiguously the databases in each country. The ATC coding system (Anatomic Therapeutic Coding) will be used to identify exposure: all patients having been prescribed drugs for the treatment of diabetes (ATC code A10 and subcategories) will be retrieved and linked to the other databases: they will be followed via cancer and hospital discharge registries. The ICD10 codes will be used for outcome assessment: acute pancreatitis (K85), pancreatic cancer (C25) and thyroid cancer (C73), especially medullary thyroid cancer.

III.9.5 Study Size

All available data following the launch of lixisenatide will be analyzed for the final analyses. The data from the three participating countries will be combined together for the analysis. The estimation of sample size and study power for the objectives of this study will be further defined after consultation with local Principal Investigators and external experts.

According to estimates provided by the International Diabetes Federation for 2013, there were 1,049,810 prevalent cases of diabetes among adults aged 20-79 in the three countries combined (346,730 in Denmark; 209,870 in Norway; and 493,210 in Sweden). In addition, assuming a crude incidence rate of diabetes of 364 per 100,000 person-years (calculated using data for 1995-2006, from Carstensen et al, 2008), then for a total adult population of 15,000,000 persons, approximately 55,000 new cases of diabetes will be diagnosed every year in the three countries combined. This provides an estimate of the numbers of new cases of diabetes that are potentially eligible for the study. Not all of these patients will be candidates for treatment with lixisenatide for a variety of reasons. However, various scenarios about the prevalence of patients prescribed lixisenatide are made in order to estimate the statistical power of the Registry study. These estimates are presented in Table III.9.5.1 and Table III.9.5.2.

Using these hypothetical numbers, the number of patient-years accumulated over a period of 5 years of registry were calculated as follows:

1st year of registry:

$$\text{no_records_yr1} = \% \text{lix} \times \text{prevalence_diabetes} = \% \text{lix} \times 1,049,810$$

2nd year of registry:

$$\begin{aligned} \text{no_records_yr2} &= \text{no_records_yr1} + \text{new_records_yr2} \\ &= \text{no_records_yr1} + \% \text{lix} \times \text{new_cases_diabetes} \\ &= \text{no_records_yr1} + \% \text{lix} \times 55,000 \end{aligned}$$

3rd year of registry:

$$\begin{aligned} \text{no_records_yr3} &= \text{no_records_yr2} + \text{new_records_yr3} \\ &= \text{no_records_yr1} + 2 \times \% \text{lix} \times \text{new_cases_diabetes} \end{aligned}$$

etc...

Thus the number of person-years accumulated over 5 years is:

$$\begin{aligned} \text{Person-years_over_5_years} &= \text{no_records_yr1} + \text{no_records_yr2} + \dots + \text{no_records_yr5} \\ &= 5 \times (\% \text{lix} \times \text{prevalence_diabetes}) + 10 \times (\% \text{lix} \times \text{new_cases_diabetes}) \end{aligned}$$

The number of expected cases of pancreatitis were calculated using the incidence rate shown above (65.57 per 100,000 person-years). The power of the study was then calculated for the expected number of cases as a mean of Poisson law (Breslow and Day, 1987) and a range of expected vs observed ratios. The results are shown in Table III.9.5.1.

Table III.9.5.1: Estimates of statistical power for Registry Study for pancreatitis under different scenarios for prevalence of prescription of lixisenatide.

Pancreatitis (incidence rate=65.57/100,000 pyrs)					
% exposure to lixisenatide	Pyrs accumulated over 5 years	No of expected cases	Power in order to detect obs/exp+=1.2	Power in order to detect obs/exp+=1.5	Power in order to detect obs/exp+=2
0.10%	5799.05	3.8	0.10*	0.17	0.39
0.20%	11598.1	7.6	0.13*	0.26	0.64
0.50%	28995.25	19.0	0.18	0.60	0.97
0.80%	46392.4	30.4	0.27	0.79	1.00
1.00%	57990.5	38.0	0.29	0.83	1.00
1.50%	86985.75	57.0	0.37	0.93	1.00
2%	115981	76.0	0.47	0.98	1.00
5%	289952.5	190.1	0.38*	1.00	1.00
10%	579905	380.2	0.60*	1.00	1.00
*Calculated using normal approximation					
+obs/exp stands for 'observed vs expected ratio'					

These numbers are certainly underestimated, as the incidence of diabetes has been steadily increasing worldwide. Even so, for a 1% exposure to lixisenatide among diabetic patients, thus 38 expected cases of pancreatitis, the power of the study in order to

detect an observed vs expected ratio of pancreatitis of 1.5 will be of 83% (significance level=0.05).

Table III.9.5.2 shows the power calculation for the Patient Registry Study and cancer (pancreatic cancer and thyroid cancer). For 1% of exposure to lixisenatide among the diabetic population the study will be able to detect an observed vs expected ratio of pancreatic cancer of 1.5 with 59% power (significance level=0.05), and an observed vs expected ratio of 2 with 92% power (significance level=0.05). For 1% exposure to lixisenatide, the study is underpowered to detect these same ratios of thyroid cancer, it will be able to detect with power of 92% an observed vs expected ratio of 2 (significance level=0.05) for 5% exposure to lixisenatide among the diabetic population.

Table III.9.5.2 : Estimates of statistical power for Registry Study for pancreas cancer and thyroid cancer under different scenarios for prevalence of prescription of lixisenatide.

Pancreatic cancer (incidence rate=29.19/100,000 pyrs)				
% exposure to lixisenatide	Pyrs accumulated over 5 years	No of expected cases	Power in order to detect obs/exp+=1.5	Power in order to detect obs/exp+=2
0.10%	5799.05	1.7	0.07	0.14
0.20%	11598.1	3.4	0.17	0.39
0.50%	28995.25	8.5	0.32	0.73
0.80%	46392.4	13.5	0.48	0.90
1.00%	57990.5	16.9	0.59	0.92
1.50%	86985.75	25.4	0.74	>0.98
2%	115981	33.9	0.79	1.00
5%	289952.5	84.6	0.99	1.00
10%	579905	169.3	1.00	1.00
Thyroid cancer (incidence rate=6.13/100,000 pyrs)				
% exposure to lixisenatide	Pyrs accumulated over 5 years	No of expected cases	Power in order to detect obs/exp+=1.5	Power in order to detect obs/exp+=2
0.10%	5799.05	0.4	<0.07	<0.14
0.20%	11598.1	0.7	<0.07	<0.14
0.50%	28995.25	1.8	0.07	0.14
0.80%	46392.4	2.8	0.08	0.21
1.00%	57990.5	3.6	0.17	0.39
1.50%	86985.75	5.3	0.22	0.54
2%	115981	7.1	0.26	0.64
5%	289952.5	17.8	0.59	0.92
10%	579905	35.5	0.83	1.00

+obs/exp stands for 'observed vs expected ratio'

Thus, a prevalence of 0.08% of patients with diabetes prescribed lixisenatide would give the study an 80% power to detect a risk of 1.5. Similar calculations reveal that for pancreas cancer the corresponding prevalence of lixisenatide prescription to give 80% power would be 2% and for thyroid cancer 9%.

III.9.6 Data Management

Once the study population well defined via prescription registries, it will be essential to collect the follow-up data efficiently.

Adequate training of the staff will be essential in order to correctly recognize and report all events of interest. Systematic collection of follow-up data, routine follow-up of all registry patients for the key adverse effects previously identified, as well as all other information.

In each centre data will be linked between the different data sources (population registry, prescription registry, hospital discharge registry, cancer registry) through an anonymized unique identification number. Linking the population registry, the prescription registry and the cancer registry has already been tested and proved to be effective through our previous database study (Boyle et al, 2013). However, linking with the hospital discharge registry requires a procedure preventing introduction of information bias in the study.

All patients identified to have had an anti-diabetic treatment in the prescription database (regardless of the type of medication taken) will be linked to the Hospital Discharge Registry. All discharge information will be retrieved for all the diabetic patients (with an anti-diabetic prescription) and searched for codes or keywords that indicate a pancreas related disease. For the purpose of this task, in each country a Medical Adjudication Committee (MAC) will be assembled, composed of at least two internists, who will be blinded as to treatments taken by patients. The MAC will examine all charts of potential pancreatitis patients receiving lixisenatide and also patients receiving other GLP-1 receptor agonists. They will be responsible for:

- Establishing a disease definition for acute pancreatitis²;
- Identify codes or keywords in hospital discharge records possibly associated with pancreatitis;
- Contact hospitals (through a standardized questionnaire) for getting full information on the data the diagnosis of pancreatitis was based on
- Review data for establishing whether pancreatitis was present.

After that the MAC assessment will be entered in the study database, the analyses in function of the treatment type (lixisenatide, other GLP-1 RAs or other anti-diabetic drugs) will be made following a review by the MAC of some patients not thought to have pancreatitis (to confirm the absence of the disease).

² An external blinded committee (Pancreatic Safety Assessment Committee or PSAC) has been appointed to review all pancreatic adverse events occurring in clinical trials of lixisenatide. The PSAC Charter has not been finalized, yet. However, the criteria for adjudication of acute pancreatitis will be adapted from those proposed by Banks, Freeman, and the Practice Parameters Committee of the American College of Gastroenterology (Am J. of Gastroenterology 2006;101:2379-2400) and Frossard et al. (Lancet 2008;371:143-52.).

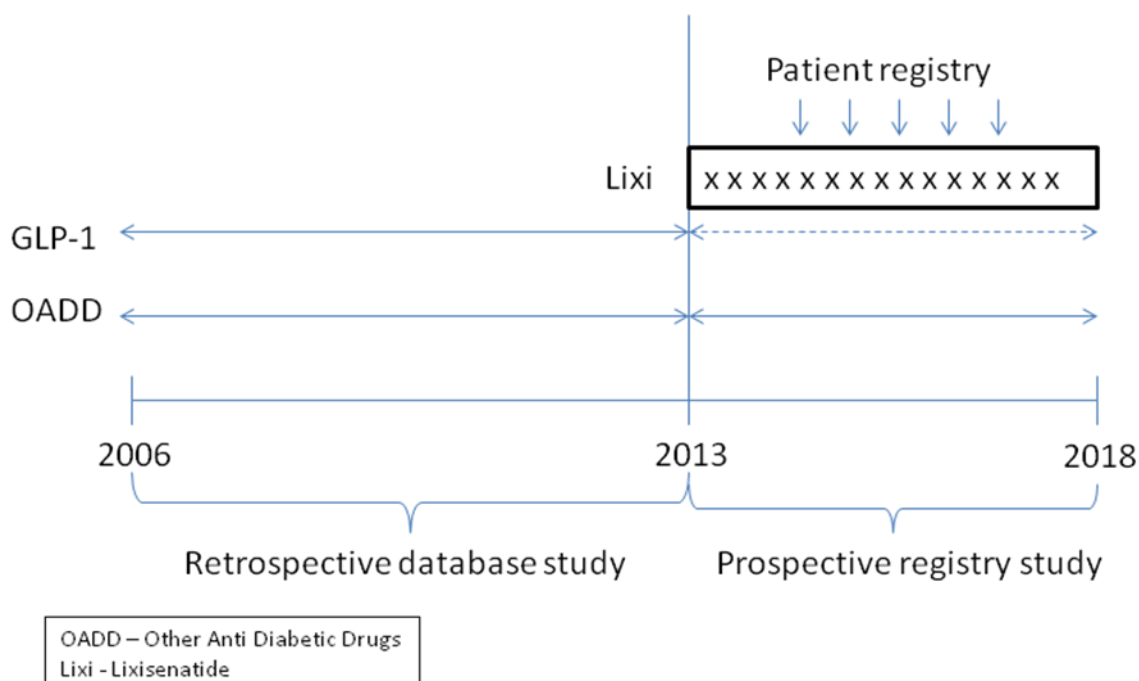
Similarly to the Database Study, each national centre will be responsible for the data storage and data management of the individual-level data, following all national and European directives regarding the well being and rights of each study subject. Each centre has an excellent track record of successfully undertaking similar research respecting all moral and legal requirements.

Only de-identified data will be stored at IPRI, and this will be done in a secure manner: data will password protected, and stored on local machines.

III.9.7 Data Analysis

Descriptive analysis will be performed and total follow-up time for lixisenatide users will be calculated.

Figure II.9.7.3: General study overview



For acute pancreatitis, pancreatic cancer and thyroid cancer, the expected number of cases will be estimated annually after launch using the background rates obtained from the retrospective databases study.

If the observed number of cases for the events of interest, respectively, is significantly higher than the expected number of cases, further investigation may be warranted following discussion with the regulatory authorities.

III.9.8 Quality Control

An independent **Scientific Steering Committee** will be appointed to oversee the study and advise on treatment, methodological and biostatistical issues. This group will meet regularly throughout the duration of the study and incorporate a **Biostatistical Advisory Group**, to advise on all aspects of the design and data analysis.

The **Scientific Steering Committee** will comprise:

Professor Geremia Bolli
University of Perugia
Perugia, Italy

Professor Stuart Pocock
University College London
London, United Kingdom

Professor Ian Ford
Robertson centre for Biostatistics
University of Glasgow,
Glasgow, United Kingdom

Professor Theodore Holford
Department of Biostatistics
Yale University School of Public Health
New Haven, United States of America

Professor Hertzell Gerstein
McMaster University,
Hamilton, Canada

Professor Derek LeRoith
Mount Sinai Hospital
New York, United States of America.

A Medical Adjudication Committee (MAC), comprising of at least 2 medical internists in each country will be appointed. Their role will be to: 1) Establish the case definition for acute pancreatitis; 2) identify codes and keywords related to pancreatitis 3) Communicate with hospitals for data on diagnosis; 4) Verify the diagnosis on the basis of information transmitted by hospitals.

III.9.9 Limitations of Research methods

Several issues relating to confounding should be overcome by the prospective registry design. Although not a randomised study, this registry study will enable significant progress to be made over and above a classical database study. In particular, there will be the opportunity to have better information about the basis of the diagnoses of interest and also access to information about the patient's diabetic history and treatment, issues such as why a particular treatment choice was made and why any change was made to treatment will be accessible. The study will also allow methodological investigations of differences between the retrospective database studies being conducted in the same populations and the prospective Register study.

III.10 Protection of Human Subjects

Each national centre will be responsible for following all national and European directives regarding the well-being and rights of each study subject. Each centre has an excellent track record of successfully undertaking similar research respecting all moral and legal requirements. At the central office (iPRI, Lyon) no identifiable data will be received from the individual centres.

III.11 Management and Reporting of adverse events/reactions

All approvals necessary to undertake this study will be obtained in each country. In addition, the study protocol and the national approvals will be submitted to the IPRI Ethics Committee who will give tertiary ethical approval.

Reporting of adverse events or adverse reactions on individual patients is not appropriate to this form of study where there is no contact between the investigators and the patients.

III.12 Plans for disseminating and communicating study results

At the end of the study, a full Report will be prepared and the MAH will submit this to the CHMP of the EMA. Once the comments of the Rapporteurs have been received, any modifications necessary will be made to the Report which will then be finalised. A manuscript presenting the results of the study will be prepared for publication in a peer-reviewed scientific journal and the findings presented at major scientific meetings of relevance to the subject matter e.g. at the Annual meeting of the European Association for the Study of Diabetes (EASD), at the Annual Meeting of the American Diabetes Association (ADA) and the Annual meeting of the American Society for Clinical Oncology (ASCO).

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Appendix I

Lixisenatide

Final Study Synopsis for the database post-approval in EU -10042011

Study Synopsis for a Retrospective Database Study

Title:	Incidence Rates of Acute Pancreatitis, Pancreatic Cancer and Thyroid Cancer, Notably Medullary Carcinoma of the Thyroid, in Adult Patients with Type 2 Diabetes Mellitus Treated with GLP-1 Receptor Agonists and Other Anti-diabetics in Sweden, Denmark and Norway.
Background:	Limited background information is available in the literature for the incidence rates of acute pancreatitis, pancreatic cancer and thyroid cancer, in particular medullary thyroid carcinoma (MTC), in adult patients with type 2 diabetes mellitus (T2DM) treated with glucagon-like peptide-1 (GLP-1) receptor agonists (i.e., exenatide, liraglutide) and other anti-diabetics (e.g., oral anti-diabetics, insulins). Results from such a study will help contextualize the data potentially observed in the patient registry of Lixisenatide.
Objectives:	Primary objective: To estimate the incidence rates of acute pancreatitis, pancreatic cancer and thyroid cancer, in particular MTC, among adult T2DM patients treated with GLP-1 receptor agonists as well as the ones treated with other anti-diabetics. Secondary objective: To evaluate the potential association between acute pancreatitis, pancreatic cancer and thyroid cancer, in particular MTC, and the use of GLP-1 receptor agonists versus other anti-diabetics among adult T2DM patients.
Study design:	Retrospective cohort study using existing databases and registers in Sweden, Denmark and Norway.

**Study
population:**

Study population will include adult T2DM patients treated with exenatide or liraglutide (cohort 1) versus the ones treated with other anti-diabetics (cohort 2). Patients with the following conditions will be excluded from the study: 1) individuals less than 18 years of age at the cohort entry; 2) individuals with a diagnosis of thyroid cancer, pancreatic cancer, or any pancreatitis prior to cohort entry.

The study period is defined as 28 November 2006 through 31 December 2012 for acute pancreatitis and through 31 December 2012 for pancreatic and thyroid cancer (the EU approval dates are 28 November 2006 for exenatide and 02 July 2009 for liraglutide). Cohort entry date will be defined as the date of the first prescription of either exenatide or liraglutide for cohort 1 or the data of first prescription of other anti-diabetics (e.g., oral anti-diabetics, insulins) for cohort 2 during the study period.

The follow-up will end at the earliest of the following: 1) diagnosis of acute pancreatitis, pancreatic cancer and thyroid cancer, respectively, for each event of interest, 2) death, or 3) the end of the study as mentioned above.

Data from national registers and databases in Sweden, Denmark, and Norway will serve as the data source for this study. In three Nordic countries, national prescription databases have been established and are linkable to other national registers and databases (e.g., National Cancer Registry, Cause of Death Registry, and Hospital Discharge Registry) via National Registration Identification Numbers. Therefore, in this study, the patients treated with GLP-1 receptor agonists and other anti-diabetics will be identified in the prescription databases. The relevant data will be retrieved and linked with other databases and registries. All the relevant data from these three countries will be analyzed together in the final analysis.

Exposure measurement:

The exposure duration of GLP-1 receptor agonists will begin accumulating on the first day of GLP-1 receptor agonist prescription and continue with the subsequent continuous use of GLP-1 receptor agonists until: 1) the end of the GLP-1 receptor agonist treatment (in addition to a grace period of up to 30 days, to account for non-adherence), 2) the occurrence of the outcome, or 3) the end of study period, whichever comes first.

The same will be applied to other anti-diabetics for the measurement of exposure duration.

More details on exposure measurement will be defined based on the further discussion with external experts.

Outcomes of interest:

Acute pancreatitis cases will be identified from the hospital discharge registers.

Cases of thyroid cancer and pancreatic cancer will be identified from the national cancer registers. However, data on the cancer cases for a given year are typically considered incomplete until approximately one year after the year ends. Therefore, if the analysis of pancreatic and thyroid cancer is planned to be performed in early 2014, the complete data for incident cancer cases occurred by the end of 2012 can be obtained from the national cancer registers in these four countries.

Covariates:

Potential confounders for acute pancreatitis will include age, gender, duration of T2DM, gallstones, prior use of anti-diabetics, alcohol intake, obstruction of the pancreatic duct, and post-endoscopic retrograde cholangiopancreatography (ERCP) status at the baseline.

Potential confounders for thyroid cancer will include age, gender, duration of T2DM, prior use of anti-diabetics, radiation treatment to the head and neck, iodine intake, hormonal and reproductive treatment, history of goiter or benign thyroid nodules, and family history of thyroid cancer or multiple endocrine neoplasia at the baseline.

Potential confounders for pancreatic cancer will include age, gender, race, duration of T2DM, prior use of anti-diabetics, alcohol intake, smoking, chronic pancreatitis, cholecystectomy, family history of pancreatic cancer, obesity, cirrhosis of the liver, and H. pylori infection at the baseline.

In addition, as much data on confounders that can be identified will be abstracted and used in the final analysis.

**Sample size
and study
power**

A preliminary estimation in UK-The Health Improvement Network (THIN) database (up to the end of 2010) revealed that about 2% of T2DM patients were treated by GLP-1 receptor agonists (i.e., exenatide or liraglutide). It is likely that the proportion of T2DM patients treated by either exenatide or liraglutide in Nordic countries is similar to that observed in the THIN database.

If assuming 2% of the T2DM patients will be treated with GLP-1 receptor agonists in Sweden, Denmark and Norway, approximately 15,000 GLP-1 receptor agonists treated patients will be eligible for this study by the end of 2012. Conservatively, assuming an average follow up of one year for these patients, 15,000 person-years (p-y) of total follow-up time will be eligible for this study by the end of 2012.

**Sample size
and study
power**

Assuming an incidence rate of 4.2 per 1,000 p-y for acute pancreatitis (1), a study with 15,000 p-y of follow-up time will provide a precision of $\pm 1.0/1,000$ (3.2 - 5.2 per 1,000 p-y). Assuming an incidence rate of 1.1 per 10,000 p-y for thyroid cancer (<http://seer.cancer.gov/>), a study with 15,000 p-y of follow-up time will provide a precision of $\pm 2/10,000$ (0.0 – 3.0 per 10,000 p-y). Assuming an incidence rate of 1.2 per 10,000 p-y for pancreatic cancer (<http://seer.cancer.gov/>), a study with 15,000 p-y of follow-up time will provide a precision of $\pm 2/10,000$ (0.0 – 3.0 per 10,000 p-y).

The estimation of sample size and study power for the secondary objective will be further defined after consultation with external experts and provided in the study protocol.

Statistical analyses:

1, Incidence rates and 95% confidence intervals (CI) of acute pancreatitis, pancreatic cancer and thyroid cancer among the patients treated with GLP-1 receptor agonists as well as those patients treated with other anti-diabetics will be derived.

2, Hazard ratios (95% CIs) of acute pancreatitis, pancreatic cancer and thyroid cancer associated with the treatment of GLP-1 receptor agonists versus other anti-diabetics will be estimated using time-dependent Cox proportional hazards survival analysis. The potential confounding factors listed above will be adjusted in the analyses (to be further discussed and refined by external experts).

Two types of analyses are planned for this study, including an intent- to-treat like analysis and an analysis by censoring the follow up time at the end of treatment of either GLP-1 receptor agonists or other anti-diabetics.

The database will be established in such a manner that it can be updated on a continual (annual) basis to provide increasingly precise estimates of the incidence rates and hazard ratios described above.

Timelines:

The analysis for acute pancreatitis will be performed after the launch of lixisenatide. In order to obtain the complete data for the cancer cases reported into the national cancer registries in 2012 (for the reason described in above Section “Outcomes of Interest”), the analysis for pancreatic and thyroid cancer, in particular MTC, will be performed in 2014. The detailed timelines will be defined in the study protocol.

References:

1. Noel RA, Braun DK, Patterson RE, Bloomgren GL. Increased risk of acute pancreatitis and biliary disease observed in patients with type 2 diabetes: a retrospective cohort study. *Diabetes Care* 2009;32:834-8

Appendix II

Curriculum Vitae of Principal Investigators

Peter Boyle, Maria Bota, Mathieu Boniol, Philippe Autier, Chris Robertson

CV Peter Boyle

CURRICULUM VITAE

Peter Boyle

BSc PhD DSc (Med) DSc (*hc*)
FRSE FFPH FRCPS (Glas) FRCP (Edin) FMedSci

Knight's Cross of Order of Merit of Republic of Poland

Fellow, National Academy of Scotland
Fellow, Academy of Medical Science (United Kingdom)
Honorary Member, Hungarian Academy of Science

January, 2013

CURRICULUM VITAE

Name: Peter Boyle
Place of Birth: Glasgow
Nationality: British
Civil Status: Married with three grown-up children.
Languages English (native)
 French (read, write and speak well)
 Italian (read well, speak well and write minimally)

Education and Qualifications:

1974 B.Sc. Statistics, University of Glasgow
 1985 Ph.D. Faculty of Medicine, University of Glasgow
 2000 FRSE Fellow of Royal Society of Edinburgh
 2003 FFPH Fellow, Faculty of Public Health Medicine (United Kingdom)
 2003 FRCPS(Glas) Fellow, Royal College of Physicians and Surgeons of Glasgow
 2006 FMedSci Fellow, Academy Medical Sciences
 2006 DSc (Med) Faculty of Medicine, University of Glasgow
 2006 DSc (*honoris causi*) Faculty of Science, University of Aberdeen.
 2007 FRCP (Edin) Fellow, Royal College of Physicians of Edinburgh
 2012 LID (*honoris causi*), University of Dundee.

Academic Appointments:

1974-77 *Statistician*, University Department of Medicine, Glasgow. , UK
 1977-80 *Statistician*, West of Scotland Cancer Surveillance Unit, Glasgow, UK
 1980-84 *Senior Statistician*, West of Scotland Cancer Surveillance Unit, Glasgow, UK.
 1984-1987 *Instructor and Assistant Professor*, Departments of Biostatistics and Epidemiology, Harvard School of Public Health, Boston, USA
 1984-87 *Instructor and Assistant Professor*, Division of Biostatistics and Epidemiology, Dana-Farber Cancer Institute, Boston, USA
 1986-89 *Senior Scientist*, SEARCH Programme, Unit of Analytical Epidemiology, International Agency for Research on Cancer, Lyon, France
 1990-91 *Head*, SEARCH Programme, Unit of Analytical Epidemiology, International Agency for Research on Cancer, Lyon, France
 1991- *Chairman*, Department of Epidemiology and Biostatistics, and Director, Division of Cancer Control, European Institute of Oncology, Milan, Italy.
 2004-2008 Director, International Agency for Research on Cancer, Lyon, France.
 2009- President, International Prevention Research Institute (Lyon, France)
 1996 - 2005 *Professor of Cancer Epidemiology*, The University of Birmingham, UK

1998 - Visiting Professor, University of Glasgow, United Kingdom
 1996-2004 *Professor*, Postgraduate School of Pathology, University of Milan, Italy
 2003- Professor of Cancer Prevention and Control, University of Oxford, UK.
 1996-2003 *Director*, World Health Organisation Collaborative Centre for Statistical Modelling in Epidemiology of Chronic Diseases.
 2009- Honorary Professor, University of Dundee, United Kingdom
 2009- Professor Adjunct, Yale University, New Haven, USA

Employment History

1974-1977. I was appointed Research Assistant (Statistician) in the Department of Medicine in the University of Glasgow in 1974. My work involved interaction with clinicians and other researchers on statistical aspects of their research work.

1977-1980. In 1977, I was appointed Statistician at the West of Scotland Cancer Surveillance Unit located at Ruchill Hospital in Glasgow. The work involved providing statistical support to the West of Scotland Cancer Registry, to the newly formed West of Scotland Oncological Association and to clinicians and other scientists engaged in cancer research and clinical trials.

1980-1984. I was promoted to Senior Statistician in the West of Scotland Cancer Surveillance Unit. The areas of responsibility remained the same but there was an additional level of responsibility. I supervised the work of the registry clerical and administrative staff (6 wte positions) and one junior statistician. During this period of employment, I had a sabbatical when I was awarded an IARC Research Training Fellowship to spend one year at the International Agency for Research on Cancer (Lyon, France) and four months at Harvard School of Public Health (Boston, Massachusetts).

1984-1987. I was appointed initially as Instructor and then as Assistant Professor in the Departments of Epidemiology and Biostatistics at Harvard School of Public Health (Boston, Massachusetts). I had a simultaneously appointment as Instructor and then Assistant Professor in the Department of Biostatistics and Epidemiology at the Dana-Farber Cancer Institute (Boston, Massachusetts). I provided epidemiological support at the Dana-Farber and was involved in research and teaching activities at the School of Public of Health. I supervised my first two doctoral students. I was given a initial leave of absence to take up a position at the International Agency for Research on Cancer (Lyon, France). I left to take up a higher position and to work in an international environment.

1986-1990. I was appointed as Senior Scientist to lead the SEARCH (Surveillance of Environmental Aspects Related to Cancer in Humans) programme of the International Agency for Research on Cancer (IARC). This is part of the World Health Organisation and based in Lyon, France. I had a staff of 6 scientists and an in-house

research budget of 350,000 dollars per annum. The programme attracted research funding for participating research teams in the participating countries.

1990-1991. I was promoted Head, SEARCH Programme at the IARC. The duties remained the same but the programme had expanded and there were more studies to supervise and coordinate. I left to take up a challenging job as the first employee of the newly created European Institute of Oncology.

1991-2003. I was appointed as the Director of the Division of Epidemiology and Biostatistics at the European Institute of Oncology. This was a newly created Institute which opened its hospital operation in 1994 (with 260 beds). I headed a Research Group which initially comprised three Senior Scientists, six technical assistants and two secretaries. The initial core funding for the research programme was approximately 1 million Euros. This built up to an international programme with five Senior Scientists, ten PhD/MSc assistants, five post-docs, 3-5 PhD students, an administrative assistant and two personal assistants. The final core budget was approximately 2 million Euros and we had over 2 million Euros in Research Grants when I left. I left on being elected Director of the IARC by the Member States.

2004-2008. I was elected Director of the International Agency for Research on Cancer (World Health Organisation) at the meeting of the Governing Council in May 2003 and took up post on 1st January 2004. This one of eight elected positions within the World Health Organisation and I was the first United Kingdom citizen to hold such a position within WHO. I was responsible for 350 personnel and the biennial budget was grown from 35.8 million dollars (for 2002-2003) to 44.7 million dollars (for 2008-2009). External research funding grew from an average of 10 million US dollars per annum before my arrival to an average of 23 million dollars per annum in the first four years (such funding was 34 million dollars in 2007). Five new participating States were introduced, enlarging the Agency from 16 to 21 members.

2009 to present. After completion of my mandate at IARC, I created the International Prevention Research Institute which is initially based in Lyon. This is a new concept in biomedical research combining a thorough, high-level, academic approach coupled with a practical focus on providing clear information about evidence-based clinical, epidemiological and prevention strategies. IPRI serves as an independent authoritative source of advice on critical risk issues and as a high-level training centre in all aspects of Prevention research. An academic partnership has been created with the University of Dundee and IPRI-Dundee has been formally established. IPRI comprises 8 partners initially and has a budget estimated to be 6-8 million Euros in 2010. With an outstanding faculty and international expertise, our mission is to bring the available excellence in scientific method to clarify critical issues in disease determination and prevention in order to contribute to the improvement in health of populations worldwide.

Major Research Contributions

I have had a productive research career in Epidemiology and I am a recognised leader in the global cancer control movement. In the latter role I have proved to be an efficient catalyst for important international collaborations particularly in low- and medium-income countries and, in particular, providing a forum for collaboration among National Cancer Institutes. In my personal research, I have been active in several areas and we will highlight three below where my work has made a difference to disease prevention and clinical management.

In the area of **Tobacco Control**, I was a Member of the European Cancer Advisory Board. In this role I was able to develop the proposal for a European Union Tobacco Directive with its scientific justification. I worked closely as scientific advisor to the Commissioner, Mr David Byrne, and to the European Commission on the *European Tobacco Contents Directive* which was voted into law by the European Parliament in 2002. This European-wide law restricted the tar, nicotine and CO₂ contents of cigarettes which could be sold in Europe, which banned the use of descriptors such as *Light* and *Low* and legislated for stronger health warnings and graphic pictures on packets of cigarettes sold throughout Europe. This was the first European law on Tobacco and paved the way for subsequent anti-tobacco legislation in the European Union and its Member States. Notably, I worked closely with several National Governments on the introduction of bans on smoking in bars, restaurants and public places.

I was the driving force behind the development of the four versions of the ***European Code Against Cancer***, in 1988, 1993, 2003 and 2009. This process involved a Europe wide consultation from experts from a wide variety of fields and developed a series of recommendations to the general public to reduce the incidence and mortality of cancer. The *European Code Against Cancer* (the first and second version) was the basis of the *Europe Against Cancer Programme* target to reduce the number of cancer deaths in the European Union between 1985 and 2000. Although mortality rates were rising when the plan was launched in 1985, a 9.5% reduction in the number of cancer deaths expected in 2000 was achieved, preventing 98,000 cancer deaths each year.

In my work in **Urological Disease**, I have applied an evidence-based approach to modifying the impact on treatment choices and outcome. My meta-analysis of the Phase III clinical trials of *finasteride* demonstrated that this drug was effective only in men with enlarged prostates. I was also able to demonstrate that finasteride reduced the risk of Acute Urinary Retention. I performed subsequent meta-analysis which demonstrated the effectiveness of *terazosin* and *permixon*, and the efficacy of Trans Urethral Needle Ablation (TUNA) in Benign Prostatic Hyperplasia. These findings have influenced clinical practice in benign prostatic hyperplasia in helping clarify what is the right treatment for a particular patient and this is reflected currently in international treatment guidelines. In addition, my work in Urology also included a pooled analysis of the world's three leading Dialysis Registries, involving over 900,000 patients, dialysed but not transplanted, followed up for cancer; the demonstration that testicular cancer mortality rates were reduced following the

implementation of effective therapy which, unfortunately, was not the case in countries of Central and Eastern Europe.

My research work has greatly influenced treatment outcomes and, together with my work in cancer control, has undoubtedly led to the prevention of many deaths.

External Appointments

- 1987-1996- Visiting Lecturer, Harvard School of Public Health, Boston.
- 1994- Honorary Lecturer, University Department of Surgery and Department of Epidemiology and Community Medicine, University College Dublin, Ireland.
- 1994- Honorary Senior Lecturer, Eastman Institute of Dental Health Science, London.
- 1996-2002 Adjunct Professor, Department of Epidemiology and Family Medicine, University of Pittsburgh, Pittsburgh, United States.
- 1999-2003 Honorary Professor, National School of Public Health Carlos III, Madrid, Spain
- 1999-2003 Scientific Advisor in Prevention and Control, ICRF, London
- 1999-2002 Chairman, Prevention and Control, ICRF, London.
- 1999-2002 Adjunct Member, Memorial Sloan Kettering Cancer Institute, New York.
- 2003- Director Emeritus, European Institute of Oncology (Milan, Italy)
- 2007 - Advisor, National Cancer Center of Korea
- 2009- Affiliate, R. Samuel McLaughlin Centre for Population Health Risk Assessment, University of Ottawa, Canada.
- 2009- External Member, University of Otago, Dunedin, New Zealand.

Professional Qualifications

- 1974 *Fellow*, Royal Statistical Society
- 1979 *Fellow*, Institute of Statisticians
- 1993 *Chartered Statistician*, Royal Statistical Society

Honours, and Awards Won in Competition:

- 1981 *Travel Fellowship*, British Association for Research on Cancer
- 1981 *International Cancer Research Technology Transfer (ICRETT) Fellowship* (UICC)
- 1981 *Cancer Research Training Fellowship*, International Agency for Research on Cancer
- 1981 *Training Award* Greater Glasgow Health Board
- 1981 *Travel Fellowship*, Churchill Scholarship Trust for Scotland

- 1982 *Research Training Fellowship* International Agency for Research on Cancer (declined)
- 1994 *Premio La Madonnina* - City of Milan, Italy
- 1995 *Addlemen Visiting Professor*, University of Toronto, Canada.
- 1997 *Visiting Professor*, Institute of Urology, Moscow State University, Moscow, Russia
- 1998- *Visiting Professor*, Department of Urology, University of Innsbruck, Innsbruck, Austria.
- 1996 Honorary Member, Argentine Medical Association.
- 2000 *Knight's Cross of Order of Merit* of Republic of Poland
- 2000 *Fellow of Royal Society of Edinburgh*.
- 2002 *Award from President of Israel for Lifetime Contribution to Cancer Research, on Occasion of 50th Anniversary of Israel Cancer Association, Jerusalem, 2002.*
- 2003 Honorary Member, Hungarian Cancer Society.
- 2003 Honorary Member, European Society for Therapeutic Radiology and Oncology (ESTRO)
- 2006 Award of Honorary Doctor of Science by University of Aberdeen.
- 2006 Elected Fellow of Academy of Medical Science.
- 2006 Elected Fellow of Royal College of Physicians of Edinburgh.
- 2006 Elected Full member of ESMO (first non-Medical Oncologist elected to Full Membership).
- 2008 Gold Award of the Health Promotion Foundation of Poland.
- 2010 Elected Honorary Member of Hungarian Academy of Science.
- 2012 Award of Honorary Doctor of Laws by University of Dundee.

Invited Eponymous Lectures

- Royal College of Physicians of Canada Lecturer*, Canadian Urological Association, Canada (1998)
- Europa Donna Lecture*, Second European Breast Cancer Conference, Barcelona, Spain (2001)
- Adelman Lecture*, Princess Margaret Hospital, Toronto, Canada (2000)
- Aneurin Bevin NHS Lecture*, British Association of Cancer Research, Leeds (2002)
- Kodak Lecture*, British Association for Mammographic Screening, Edinburgh (2002)
- Giullian Lecture*, Italian Society of Urology, Venice (2002)
- Millins Symposium Lecture*, Royal College of Surgeons of Ireland, Dublin, Ireland (2002)
- BJU Int lecture*, British Association of Urological Surgeons, Harrogate, UK (2003)
- Davidson Lecture*, Royal College of Physicians of Edinburgh (Aberdeen, 2008)
- Annual Acta Oncologica Lecture* 2008, Danish Oncological Society (Vejle, 2008)

Plenary Lectures at international meetings including the *American Society for Clinical Oncology*, the *American Association for Cancer Research*; the *European Association of Urology*, the *European Respiratory Society*, the *European Breast Cancer Meeting*, the *European Association for Cancer Research*, the *European Society for Mastology*,

the *European Cardiology Association*, the *European Society for Medical Oncology*, the *European Cancer and Clinical Oncology meeting*, the *European Society for Radiology and Therapeutics in Oncology*, *Canadian Urological Association*, *Asian Urological Association*, *Princess Takamatsu Symposium*, *Singapore Medical Association*, *Malaysian Urological Association*, *Australasian Urological Association*, *Yugoslavian Urological Association*, *Greek Urological Association*, *Danish Oncological Society*, *Polish Urological Society*, *Israel Cancer Society*, *Luxembourg Cancer Society*, *Hong Kong Medical Society*, *Chinese Association of Urology*, *Cancer Prevention Association of Thailand*, *Hungarian Oncological Society*, *Portuguese Urological Association*, *Italian Association for Clinical Oncology*, *German Association for Medical Documentation*, *International Union Against Cancer*, *Finnish Cancer Society*, *Luxembourg Medical Society*, *South African Urological Association*, *Portuguese Oncological Society*, *British Association for Cancer Research*, *Hungarian Urological Association* and *Czechoslovakian Urological Association*.

Invited lectures at Scientific Meetings organised in Portugal, Spain, Italy, France, Germany, Austria, Belgium, Netherlands, United Kingdom, Ireland, Greece, Finland, Norway, Sweden, Denmark, Luxembourg, Slovenia, Slovakia, Czech Republic, Switzerland, Yugoslavia, Hungary, Poland, Lithuania, Russian Federation, United States, Cuba, Canada, Brazil, Argentine, Singapore, Malaya, Korea, China, Hong Kong, Japan, India, Sri Lanka, Thailand, Israel, Abu Dhabi, Australia, Jordan and New Zealand.

Major Committee Assignments

1986-92	Secretary, Epidemiology and Prevention Branch, EORTC, Brussels
1988-92	Scientific Advisory Committee (Breur Committee), EORTC, Brussels
1989-97	Chairman, Epidemiology and Prevention Branch, European Society of Mastology
1989-03	International Prostate Health Council
1990-91	Professorial Appointments Committee, Faculty of Medicine, University of Lausanne
1991-97	Treasurer and Member of Executive Board, European Society of Mastology
1992-97	Chairman, Epidemiology and Prevention Branch, EORTC.
1992-96	Secretary, Scientific Advisory Committee (Breur Committee), EORTC.
1992-99	Scientific Advisory Board, European School of Oncology, Milan
1993	Scientific Committee, ECCO8 Meeting (1996)
1994-95	Chairman, Committee to revise <i>European Code Against Cancer</i> .
1995-96	Chairman, Committee to prepare <i>European Tobacco Control</i> recommendations.
1996-	Member, Cancer Advisory Board of European Commission.
1997-	Chairman, Scientific Committee, European Commission Tobacco Fund.
1998	Scientific Committee, Institut Gustav-Roussy (Villejuif, France)



1998-4	Chairman, European Commission Expert Committee Cancer Plan, 2000-
1998-2002	Scientific Advisory Committee, ICRF, London
1999-2002	Chairman, Scientific Evaluation Committee, European Tobacco Fund, EC.
2000-2003	Scientific Committee, Center for Cancer Detection and Prevention, St Gallen.
2001-2003	Italian Representative, European Commission Advisory Committee on Tobacco.
2000-01	Member, Italian National Oncological Commission, Ministry of Health, Italy.
2001-02	Member, Electromagnetic Fields Commission, Ministry of Health, Italy
2002	Chairman, Commission to Investigate Clustering of Leukaemia in Children, around <i>Radio Vaticana</i> Transmitter, Ministry of Health, Italy.
2002-	Member, CEO Advisory Committee, American Cancer Society.
2003	Member, General Motors Prize (Mott) Committee
2003--	Member, International Affairs Committee, AACR
2006--	Member, Multidisciplinary Oncology Committee, ESMO.
2008-2010	Member, International Affairs Committee, ASCO.
2011-	Member, International Advisory Panel, Strathclyde University.
2012 -	Member, Steering Committee <i>Pink Ribbon Red Ribbon</i> Initiative
2012	Co-chair. Targets and Metrics Committee, PRRR.

Editorial Boards

Editorships:

Journal of Epidemiology and Biostatistics (with Carlo La Vecchia and Alec Walker)(1995-2003)

European Journal of Cancer (1990-1994).

Associate Editorships,

Oncology and Haematology Literature Service (1993-98),

Annals of Oncology (1999-)

British Journal of Urology International (2002-).

Onkologie (2010 -)

Editorial Board Member,

Cancer Causes and Control (1990-1994), *Swiss Journal of Social and Preventive Medicine* (1990-), *British Journal of Cancer* (1990-1997), *Prospectives* (1990-99), *BPH Observer* (1990-99), *The Breast* (1991-97), *Oral Oncology* (1991-), *European R and D Database* (1993-98), *Lung Cancer* (1995-), *Journal of Radiation Therapy* (1996-), *European Cancer News* (1996-98), *Journal of Gynecologic Oncology* (1996-), *Annals of Oncology* (1996-), *URO (Portuguese Journal of Urology)* (1996-), *Breast Cancer Online* (1999-),

Selected Publications of Peter Boyle

1. Cairns, J. and **Boyle, P.** Cancer chemotherapy. *Science*, 1983, 220, 252-256.
2. Kerr, D.J., Burt, A.D., Brewin, T.B. and **Boyle, P.** Divergence between mortality and incidence rates of thyroid cancer in Scotland.. *Lancet*, 1985, ii (8447), 149.
3. Macfarlane, G.J., **Boyle, P.** and Scully, C. (letter) Rising mortality from cancer of the tongue in Young Scottish Males. *Lancet* 1987, ii:912.
4. Scully, C., **Boyle, P.** and Prime, S. Oral Cancer (Editorial). *Lancet* ii (8658), 1989: 311-312
5. **Boyle, P.**, Maisonneuve, P., and Kaye, S.B. Testicular Cancer in Central Europe. *Lancet* 1990, 335:1033.
6. Lowenfels AB, Cavallini G, Ammann RW, Lankisch PG, Andersen JR, Dimagno EP, Andren-Sandberg A, Domellof L, Maisonneuve P, Di Francesco V, Lohr-Happe A, Krag E, Melton LJ, Pitchumoni CS, Wynn PS and **Boyle, P.** Pancreatitis and the risk of Pancreatic Cancer. *New Eng.J.Med.* 1993, 328:1433-1437
7. **Boyle P.** The Hazards of Passive and Active Smoking. *New Engl.J.Med.* 1993, 328:1708-1709
8. **Boyle P**, La Vecchia C, Negri E, Lucchini F and Levi F. Trends in diet-related cancers in Japan: a conundrum? (Letter). *Lancet* 1993, 342:752
9. **Boyle, P**, Macfarlane GJ and Scully C . Oral Cancer: necessity for Prevention Strategies (Editorial). *Lancet* 1993, 342:1129
10. **Boyle P.** The Hazards of Active and Passive Smoking. Letter to the Editor. *N.Engl.J.Med.* 1993, 329 (21): 1581
11. Zakelj M. and **Boyle P.** Breast Cancer Detection and Oral Contraceptive use in Slovenia (letter). *Lancet*, 1994, 343: 1166-1167
12. Neglia J, FitzSimmons S, Maisonneuve P, Schoni M, Schoni-Affolter F, Corey M, Lowenfels A, **Boyle P**, Dozor A, Durie P. The Risk of Cancer Among Patients with Cystic Fibrosis. *N Engl J Med* 1995, 332:494-499

13. Plesko I, Ondrus D, **Boyle P**. Testicular cancer incidence and mortality in Slovakia, 1968-1990. *Lancet* 1996, 347, 900-901
14. Bucciante G, Ravasi B, Cresseri D, Maisonneuve P, **Boyle P**, Locatelli F. Cancer in patients on renal replacement therapy in Lombardy, Italy. (Letter). *Lancet*, 1996; 347 (8993): 59-60.
15. *Collaborative Group on Hormonal Factors in Breast Cancer* (includes **P Boyle**). Breast cancer and hormonal contraceptives: collaborative reanalysis of individual data on 53.297 women with breast cancer and 100.239 women without breast cancer from 54 epidemiological studies. *Lancet*, 1996, 347: 1713-1727.
16. **Boyle P**. Global burden of cancer. *Lancet* (suppl), 1997, 349: 23-26.
17. Osborne M., **Boyle P.**, Lipkin M. Cancer Prevention. *Lancet*, 1997, 349 (suppl): 27-30.
18. Becker N., **Boyle P**. Decline in mortality from testicular Cancer in West Germany after re-unification. (Letter) *Lancet*, 350: 744.
19. Gray N., **Boyle P**. Attacks on tobacco Industry (Letter). *Lancet*, 350: 890, 1997.
20. *Collaborative Group on Hormonal Factors in Breast Cancer* (includes **P Boyle**), Breast Cancer and Hormone Replacement Therapy. *Lancet*, 1997, 350: 1047-1059.
21. Franklyn J.A., Maisonneuve P., Sheppard M.C., Betteridge J., **Boyle P**. Mortality after the Treatment of Hyperthyroidism with Radioactive Iodine. *New Engl J Med*, 338: 712-8, 1998.
22. **Boyle P.**, Horton R., Radda G., Sharp D., Veronesi U., Walker A.M. Open debate on HRT. *Lancet*, 352: 836, 1998
23. Veronesi U., Maisonneuve P., Costa A., Sacchini V., Maltoni C., Robertson C., Rotmensz N., **Boyle P**. on behalf of the Italian Tamoxifen Study Group. Prevention of Breast cancer with Tamoxifen. The Italian Randomised Trial among Hysterectomised women. *The Lancet*, 352: 93-97, 1998.
24. Gray N., **Boyle P.**, Zatonski W. Tar concentrations in cigarettes and carcinogen content. *Lancet*, 352:787-788, 1998.
25. Autier P., **Boyle P.**, J.F.Dore'. Letter: Sorting the hype from the facts in melanoma. *Lancet*, 352: 738-739, 1998.

26. **Boyle P.** Expanding on epidemiology's first principles. Book review: Modern Epidemiology by K. Rothman et al. Lancet, 352:1635-1636, 1998
27. Veronesi U., Maisonneuve P., Costa A., Rotmensz N., **Boyle P.** Drop-outs in Tamoxifen Prevention Trials. Lancet, 353:244,1999
28. Franklyn J.A., Maisonneuve P., Sheppard M., Betteridge J., **Boyle P.** Cancer Incidence and mortality after radioiodine treatment for hyperthyroidism: a population-based cohort study. The Lancet, 353: 2111-2115, 1999
29. Maisonneuve P., Agodoa L., Gellert R., Stewart J.H., Bucciante G., Lowenfels A.B., Wolfe R.A., Jones E., Disney A., Briggs D., McCredie M., **Boyle P.** Cancer in end stage renal disease patients undergoing dialysis: results from an international collaborative study. Lancet, 1999; 354: 93-96.
30. Clinical Synthesis Panel on HRT. Clinical Synthesis Conference on Hormonal Replacement Therapy. Lancet 1999; 354: 152-156.
31. Gray N., Zatonski W., **Boyle P.** Regulation of carcinogens in cigarettes. The Lancet, 18 (354): 1036, 1999
32. **Boyle P.**, Horton R, Walker AM, Sharp D and Delmas P. Clinical Synthesis Meeting on Osteoporosis. Lancet 1999; Nov 13;354(9191):1664.
33. Levi F, La Vecchia C, **Boyle P.**, Lucchini F, Negri E. Western and eastern European trends in testicular cancer mortality. Lancet 2001 Jun 9;3 57 (9271):1853-4.
34. Parle JV, Maisonneuve P, Sheppard MC, **Boyle P.**, Franklyn JA. Prediction of all-cause and cardiovascular mortality in elderly people from one low serum thyrotropin result: a 10-year cohort study. Lancet 2001 Sep 15;358(9285):861-5
35. *Collaborative Group on Hormonal Factors in Breast Cancer* (includes **P Boyle**). Familial breast cancer: collaborative reanalysis of individual data from 52 epidemiological studies including 58,209 women with breast cancer and 101,986 women without the disease. Lancet 2001 Oct 27;358(9291):1389-99
36. Veronesi U, Maisonneuve P., Sacchini V., Rotmensz N., **Boyle P.** Tamoxifen for breast cancer among hysterectomised women. Lancet 2002; 359: 1122-4.
37. *Collaborative Group on Hormonal Factors in Breast Cancer* (includes **P Boyle**). Breast cancer and breast feeding: collaborative reanalysis of individual data from 47 epidemiological studies in 30 countries, including 50302 women with breast cancer and 96973 women without the disease. Lancet. 2002 Jul 20;360(9328):187-95.

38. Veronesi U, Maisonneuve P, Rotmensz N and **Boyle P**. Tamoxifen for breast cancer in hysterectomised women (Letter). *Lancet* 2002; 360 (9347): 1784-1785.
39. Cuzick J, Powles T, Veronesi U, Forbes J, Edwards R, Ashley S and **Boyle P**. Overview of main Outcomes in Breast Cancer Prevention Trials. *Lancet* 2003; 361: 296-300.
40. Pastorino U, Bellomi M, Landoni C, DE Fiori E, Arnaldi P, Picchio M, Pelosi G, **Boyle P** and Fazio F. Early lung-cancer detection with spiral CT and positron emission tomography: two years results. *Lancet* 2003; 362: 593-597.
41. Gray N and **Boyle P**. The Future of the Nicotine Addiction Market. (Commentary) *Lancet* 2003 Sep 13;362(9387):845-6.
42. Collaborative Group on Hormonal Factors in Breast Cancer (includes **P Boyle**). Breast cancer and abortion: collaborative reanalysis of data from 53 epidemiological studies, including 83 000 women with breast cancer from 16 countries. *Lancet* 2004; 363: 1007-16
43. Veronesi U, **Boyle P**, Goldhirsch A, Orrechia R and Viale G. Seminar on Breast Cancer. *Lancet*. 2005 May 365 (9472):1727-41.
44. **Boyle P**, Ariyaratne M, Bartelink H, Baselga J, Berns A, Brawley OW, Burns H, Davidov M, Dinshaw K, Dresler C, Eggermont AM, Gajurel D, Gordina G, Gray N, Kakizoe T, Karki BS, Kásler M, Kerr DJ, Khayat D, Kiselev A, Khuhaprema T, Klocker H, Levshin V, Martin-Moreno JM, McVie JG, Mendelsohn J, Napalkov NP, Ngoma TA, Park JG, Philip T, Pötschke-Langer M, Poudal HN, Rajan B, Ringborg U, Rodger A, Seffrin JR, Shanta V, Shrestha MM, Thomas R, Tursz T, de Valeriola D, Veronesi U, Wiestler OD, Zaridze D, Zatonski W and Zeng YX. Curbing tobacco's toll starts with the professionals: World No Tobacco Day. *The Lancet* (11th June) 2005; 365: 1990-1992
45. **Boyle P**, Ariyaratne MA, Barrington R, Bartelink H, Bartsch G, Berns A, de Valeriola D, Dinshaw KA, Eggermont AM, Gray N, Kakizoe T, Karki BS, Kaslar M, Kerr DJ, Khayat D, Khuhaprema T, Kim IH, Martin-Moreno J, McVie G, Park JG, Philip T, Ringborg U, Rodger A, Seffrin JR, Semiglazov V, Soo KC, Sun YT, Thomas R, Tursz T, Veronesi U, Wiestler O, Yoo KY, Zatonski W and Zhao P. Tobacco: deadly in any form or disguise. *Lancet*. 2006 May 27;367(9524):1710-2.
46. **Boyle P**. The Globalisation of Cancer. *Lancet* 2006; 368: 629-630
47. **Boyle P**. Book Review: Conspiracy theories of cancer, *Lancet* 2007; 370: 1751
48. Hainaut P and **Boyle P**. Curbing the Liver Cancer Epidemic in Africa. *Lancet* 2008; 371(9610): 367-368.

PUBLICATIONS 1 Books

Kemp, I.W., **Boyle, P.**, Smans, M. and Muir, C.S. "Cancer Incidence in Scotland: Atlas and Epidemiological Perspective". IARC Scientific Publication No. 72, IARC, Lyon (1985).

Boyle, P., Muir, C.S., Grundmann, E. (Eds.). "Cancer Mapping". Recent Results in Cancer Research, Vol. 114, Springer-Verlag, Heidelberg, 1989

Zatonski, W., **Boyle P.** and Tcyznski, J. (eds). "Cancer Epidemiology: Vital Statistics through Prevention". Interpresse, Warsaw, 1990.

Katsouyanni, K., Kogevinas, M., Dontas, N., Maisonneuve, P., **Boyle, P.** and Trichopoulos, D. Cancer Mortality in Greece, 1960-1985. Hellenic Cancer Society, Athens, Greece, 1990.

Veronesi, U., **Boyle, P.**, Ciatto, J., Goldhirsch, A., van der Schueren, E., Pastorino, U. Textbooks for General Practitioners, II. Breast Cancer. Springer-Verlag, Heidelberg, 1990.

Levi, F., La Vecchia, C., Negri, E., Randriamiharisoa, A. and **Boyle, P.** Le cancer en l'an 2000: Les modèles statistiques âge/période/cohorte pour la projection de la mortalité cancéreuse en Suisse. Ligue Suisse Contre le Cancer, Berne, 1991

Macfarlane GJ, Maisonneuve P and **Boyle P.** SEARCH: A computer Package to assist the statistical analysis of Case-Control Studies. IARC Technical Report, IARC, Lyon, 1991

Smans, M., **Boyle, P.** and Muir, C.S. (eds) "Cancer Mortality Atlas of EEC". IARC Scientific Publication No 107, IARC, Lyon, 1993.

Black RJ, Macfarlane GJ, Maisonneuve P, and **Boyle P.** Cancer Incidence and Mortality in Scotland 1960-89. Information and Statistics Division National Health Service in Scotland / Cancer Research Campaign / The Government Statistical Service / European Institute of Oncology , 1995.

Zatonski W, Smans M, Tyczynski J, **Boyle P.** "Cancer Mortality Atlas in Central Europe". IARC Scientific Publications No. 134. IARC, Lyon , 1996.

Alexander, F.E. and **Boyle, P.** (Eds) "Statistical Methods in Cancer Research: IV Analysis of disease clustering". IARC, Lyon 1996.

Griffiths K, Aldercreutz H, **Boyle P.** Denis L, Morton M. "Nutrition and Cancer", ISIS Medical Communications. Oxford, 1996.

Kirby R, Roehrborn C, McConnell J, Fitzpatrick J and **Boyle P** (Eds). "Textbook of Benign Prostatic Hyperplasia", ISIS Medical Communications, Oxford, 1996.

Aapro M., Audisio R., **Boyle P.**, McCaffrey-Boyle D., Corner J., Monfardini S., Redmond K. (eds.) Cancer in the Elderly, a nursing and medical perspective. ESO Scientific Updates 2, Amsterdam: Elsevier, 1997.

Kirby R., McConnell J.D., Fitzpatrick J.M., Roehrborn C.G., **Boyle P.** Ipertrofia Prostatica Benigna Vol. I- II, Milano: UTET Periodici, 1997.

Boyle P., La Vecchia C., Walker AM. (eds). FIGO Annual Report on the Results of Treatment in Gynaecological Cancer, 24th Volume Statements of results obtained in patients treated in 1993-1995, Journal of Epidemiology and Biostatistics, Vol 6. Oxford: Isis Medical Communications, 2001.

McArdle CS, Kerr DJ and **Boyle P** (Eds). Monographs in Oncology. Volume I. Colorectal Cancer, ISIS Medical Publications, Oxford (2000).

Kirby RS, McConnell JD, Fitzpatrick J, Roehrborn CG, Wyllie M and Boyle P (Eds). Therapeutic Treatment for Benign Prostatic Hyperplasia. Informa Healthcare, London (2005)

Boyle P, Gray N, Zatonski W, Henningfield J and Seffrin J. Tobacco: Public Health Disaster of the Twentieth Century. Oxford University Press, Oxford (2004)

Boyle P and Smans M. Cancer Mortality Atlas of European Union, 1993-1997. IARC Press, IARC (Lyon) (2008)

Curado MP, Edwards BK, Shin HR, Storm HH, Heanue M, Ferlay J and **Boyle P.** eds. Cancer incidence in five continents. Vol. IX (9). Lyon, France: IARC Press, 2007. (IARC Scientific publications no. 160.)

Boyle P and Levin B (Eds). World Cancer Report 2008. IARC, Lyon (2008)

Boyle P and Levin (Eds). Dünya Kanseri Raporu 2008. IARC, Lyon (2008)

Boyle P, Gray N, Zatonski W, Henningfield J and Seffrin J. Tobacco: Science, Policy and Public Health. 2nd Edition. Oxford University Press, Oxford (2010)

Zheng T, Boffetta P and **Boyle P.** Epidemiology and Biostatistics. iPRI Scientific Publication No 1, iPRI, Lyon, France

Boyle P, Boffetta P, Zatonski W, Rehm J, Burns HJG and Lowenfels A. Alcohol: Science, Policy and Public Health. Oxford University Press, Oxford (in preparation).

Boyle P, Brawley O, Burns HJG, Adebawomo C, Sullivan R and Milburn A.
Deprivation: Science, Policy and Public Health. Oxford University Press, Oxford (in preparation).

1. Boyle P., Parbrook G.D. The inter-relation of personality and post-operative factors. *Brit. J. Anaesth.*, 49: 259-264, 1977.
2. Ramsay L.E., Boyle P., Ramsay, M.H. Factors influencing serum potassium in treated hypertension. *Quarterly J. Med.*, 46, 401-409, 1977.
3. Parbrook E.O., Parbrook G.D. Boyle P. Comparison of synchronised and manual use of audio tape slide programmes. *Anaesthesia*, 32: 614-619, 1977.
4. Nichols G., Ramsay L.E., Boyle P. The Elag-Koln automatic blood pressure recorder - a clinical appraisal. *Brit. Heart J.*, 29: 795-801, 1977.
5. Winchester J.F., Kellett R.J., Boddy P., Boyle P., Dargie H.J., Mahaffey H.J., Mahaffey K.E., Ward D.M., Kennedy A.C. Metolazone and bendroflumethazide in hypertension: physiologic and metabolic observations. *Clin. Pharmacol. Ther.*, 28: 611-618, 1980.
6. Stephen K.W., Kirkwood M., Boyle P., Young K.C., Gillespie F.C., Campbell D. Fissure sealing with nuva-seal and alpha-seal: two year data. *J. Dentistry*, 9: 53-57, 1981.
7. Stephen K.W., Boyle I.T., Campbell D., MacNee S., Fyffe J.A., Jenkins A.S., Boyle P. A four-year double-blind fluoridated school milk study in a vitamin-D deficient area. *Brit. Dent. J.*, 151: 287-292, 1981.
8. Scully C., Eckersall P.D., Emond R.T.D., Boyle P., Beeley J. Serum alpha-amylase isozymes in mumps: estimation of salivary and pancreatic isozymes by isoelectric focusing. *Clinica. Chimica. Acta.*, 113: 281-291, 1981.
9. McInnes G.T., Quigley E.M.M., Boyle P. Alcohol withdrawal and blood pressure. *Scot. Med. J.*, 26: 176-177, 1981.
10. Ferguson M.M., Carter J.C., Boyle P., McKay-Hart D., Lindsay R. Oral symptoms related to the female climacteric. *J. Roy. Soc. Med.*, 74: 492-498, 1981.
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605. Bi X, Zheng T, Lan Q, Xu Z, Chen Y, Zhu G, Foss F, Kim C, Dai M, Zhao P, Holford T, Leaderer B, Boyle P, Deng Q, Chanock SJ, Rothman N and Zhang Y. Genetic polymorphisms in IL10RA and TNF modify the association between blood transfusion and risk of non-Hodgkin lymphoma. *Am J Hematol*. 2012 Apr 26. doi: 10.1002/ajh.23244. [Epub ahead of print]
606. Gandini S, Negri E, Boffetta P, La Vecchia C and Boyle P. Mouthwash and Oral Cancer Risk Quantitative Meta-analysis of Epidemiologic Studies. *Ann Agric Environ Med*. 2012 Jun 27;19(2):173-80.
607. Boniol M, Autier P, Boyle P and Gandini S. Cutaneous melanoma attributable to sunbed use: systematic review and meta-analysis. *BMJ* 2012;345 doi: 10.1136/bmj.e4757(Published 24 July 2012)
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610. Boyle P. Triple-negative Breast Cancer: epidemiological considerations and recommendations. *Annals Oncol* 2012; 23 (suppl 6):vi7=vi12
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613. Lech-Maranda E, Bienvenu J, Broussais-Guillaumot F, Michallet AS, Warzocha K, Biliński P, Boyle P, Coiffier B and Salles G. Pretreatment Levels of Vascular Endothelial Growth Factor in Plasma Predict a Complete Remission Rate and Time to Relapse or Progression in Patients with Diffuse Large B-Cell Lymphoma. *Arch Immunol Ther Exp (Warsz)*. 2013 Jan 3. [Epub ahead of print]
614. Autier P, Koechlin A, Boniol M, Mullie P, Bolli G, Rosenstock J and Boyle P. Serum insulin and C-peptide concentration and breast cancer: a meta-analysis. *Cancer Causes Control*. 2013 Feb 14. [Epub ahead of print]

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**Research Officer Specialised in Biostatistics,
Bioinformatics and Modeling**

Professional and research experience

***Since 2010* International Prevention Research Institute (IPRI), Lyon, France**

Research officer: Participation in the coordination of international epidemiologic research projects, statistical analysis applied to public health, scientific document writing, and student supervision.

***2009-2010* Department of ecology and evolution, University of Lausanne, Switzerland**

(Dec 2009- Software developer and analyst (Fixed-term contract): R-based development of
Mar 2010) gene set analysis tools for ant microarrays.

***2009* Sanofi Pasteur, Marcy L'Etoile, France**

(Feb-Aug) Internship: Modeling of vaccine degradation data in the context of stability studies.

***2008-2009* Institute of Molecular and Cellular Biology, University of Leeds, UK**

(Sep 2008- Internship: Microarray data analysis. 'An expanded set of direct BLIMP-1 target
Jan 2009) genes' project.

***2008* IARC (International Agency for Research on cancer), Lyon, France**

(Jul-Aug) Internship: Data entry & data analysis: 'Long time trends of cancer, by country' project.

Education

2006-2009 Master's Degree – Diplôme d'Ingénieur – specialised in Bioinformatics and modelling

Institution: National Institute of Applied Sciences (INSA), Lyon, France

Principal subjects: biostatistics, bioinformatics, modeling of complex biological systems, computer sciences, applied maths.

2004-2006 Bachelors' Degree - General Engineering Studies, European Section (EURINSA)

Institution: National Institute of Applied Sciences (INSA), Lyon, France

2004 Baccalaureat sciences (Maths, Computer Sciences; English section) -
9.18/10

Institution: National College 'Fratii Buzesti', Romania

Skills and competences

Statistical packages	R, Stata, Epi Info
Programming	C/ C++, Python, Perl, MySQL
Languages/Other	Latex, HTML
Software	Microsoft Office Suite, UNIX (notions)

Languages

- Romanian (mother tongue)
- English (fluent)
- French (fluent)
- Spanish (basic level), German (beginner)

Honorary appointments

2012-2015 Honorary Lecturer, School of Nursing and Midwifery, University of Dundee, Scotland.

Teaching experience

May-July 2012 Co-Supervision (with Autier P.) of Master's student – Nada Assi (4 BIM, INSA de Lyon).

June-July 2012 Lecturer for the IPRI – Dundee epidemiology and global health summer school 2012.
<http://www.dundee.ac.uk/cmdn/summer/>

June-July 2011 Teaching assistant for the IPRI – Dundee epidemiology and global health summer school 2011.

June-July 2010 Teaching assistant for the IPRI – Dundee epidemiology and global health summer school 2010.

Publications

2012 Boyle P, Boniol M, Koechlin A, Robertson C, Valentini F, Coppens K, Fairley LL, Boniol-Rech M, Zheng T, Zhang Y, Pasterk M, Smans M, Curado MP, Mullie P, Gandini S, Bota M, Bolli G, Rosenstock J and Autier P. **Diabetes and Breast cancer: a meta-analysis. Br J Cancer 2012; 107: 1608-17.**

2012 Murtagh MJ, Demir I, Jenkins KN, Wallace S, Murtagh B, Boniol M, Bota M, LaFlamme P, Boffetta P, Ferretti V, Burton PR. **Securing the Data Economy: Translating Privacy and Enacting Security in the Development of DataSHIELD. Public Health Genomics, 15, pp. 243-253.**

2010 Doody GM, Care MA, Burgoyne NJ, Bradford JR, Bota M, Bonifer C, Westhead DR, Tooze RM. **An extended set of PRDM1/BLIMP1 target genes links binding motif type to dynamic repression. Nucleic Acids Res. 2010 Sep;38(16):5336-50.**

Conference presentations

Maria Bota, Peter Boyle, Mathieu Boniol, Chris Robertson, **100 Years of cancer mortality in Scotland:**

2012 National Cancer Institute Directors Meeting, Lyon, France (oral presentation)

Philippe Autier, Mathieu Boniol, Alice Koechlin, Maria Bota, Chris Robertson, Julio Rosenstock, Geremia Bolli and Peter Boyle **Diabetes, related factors and breast cancer risk:**

2012 American Diabetes Association, Philadelphia, USA (poster)

Peter Boyle, Alice Koechlin, Mathieu Boniol, Chris Robertson, Maria Bota, Geremia B. Bolli and Julio Rosenstock, **Updated meta-analysis of cancer risk among users of insulin glargine:**

2012 American Diabetes Association, Philadelphia, USA (poster)

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Dr. Mathieu BONIOL

Born 09-08-1977, Married, 2 children

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OCCUPATION AND TRAINING

January 2010 – (Lyon)	International Prevention Research Institute
Present	<i>Research Director</i>
October 2006- (Lyon)	International Agency for Research on Cancer
December 2009	<i>Statistician</i> (Fixed-term contract)
October 2004- (Lyon)	International Agency for Research on Cancer
September 2006	<i>Post-Doc</i> (Special Training Award)
September 2001- September 2004	INSERM Unit 590 (Centre Léon-Bérard, Lyon) <i>PhD</i> - Thesis Director : Jean-François Doré « Role of host factors and solar exposure in the risk of cutaneous melanoma »
February 2002- (Lyon)	International Agency for Research on Cancer
September 2004	<i>Visiting Scientist</i> Atlas of cancer mortality in Europe. Supervisor: Michel Smans
June 2001 (2 weeks)	EORTC data center (Bruxelles, Belgium)
ICRETT grant from UICC	Study of the period of diagnosis as prognostic factor for cutaneous melanoma in two randomized controlled trial of the EORTC Melanoma group
March 1999 - September 2001	INSERM Unit 453 (Centre Léon-Bérard, Lyon) Training in epidemiology
August 1998 France)	PASTEUR MERIEUX S.V. (Marcy L'Etoile,
	Laboratory technician, Thymoglobuline department : production and treatment of thymocytes

EDUCATION

2001-2004	PhD. Epidemiology 'Doctorat d'épidémiologie'. Université de Bourgogne (Dijon) Mention très honorable avec les félicitations du jury
1999-2001	Master in clinical epidemiology- option biostatistics * DEA Epidémiologie clinique et évaluation des actions de santé

Université de Bourgogne (Dijon). Mention bien (4^{ème}/22)
 * Maîtrise des sciences sanitaires et sociales (option Recherche Clinique)
 Université Claude Bernard Lyon 1. Mention bien

1996-1999

Bachelor in biostatistics.

Université Claude Bernard Lyon 1.

PROFESSIONAL MEMBERSHIPS

Honorary lecturer, University of Dundee, United Kingdom.
 President of the European Society of skin cancer prevention – EUROSkin
www.euroskin.eu
 Member of "Physical agent" committee of the French cohort ELFE (INED, France)
 Member of the EORTC Melanoma group
 Member of the International Task Force on Skin Cancer Screening and Prevention
 (Hamburg, Germany)

REVIEWER AND EXPERTISE ACTIVITIES

Reviewer for: Acta Dermato-Venereologica, Annals of Oncology, Archives of Dermatology, Archives of Internal Medicine, Biomed Central Cancer, European Journal of Cancer, International Journal of Environmental Research and Public Health, JEADV, Lung Cancer, Melanoma Research, Surgical Oncology, The Breast, Travel Medicine and Infectious Disease. Grant applications reviewer for Cancer Research UK, INCa (Institut National du Cancer).

Expert for: WHO expert in the French AFSSET (Agence française de sécurité sanitaire de l'environnement et du travail) working group on radiofrequencies 2009, INVS (institut de veille sanitaire) working group on sunbeds. CNAM (Caisse d'assurance maladie) working group on prostate cancer screening

LANGUAGE

French
 English (UN proficiency language certified)

INFORMATIC SKILLS

SAS, SPSS, Stata, Epi-info, R(notions), TreeAge, ArcGis, MapScan, Basic, Turbo Pascal, Fortran, Java

HOBBIES AND PERSONAL INTEREST

Music, cinema, swimming, role playing games, parlour games
Musician: Drums, Electric Guitar; style: rock, heavy metal

Mathieu BONIOL –Scientific publications

- *Original peer reviewed articles*

2013

Autier P, Boniol M. RE: Relationship between sunbed use and melanoma risk in a large case-control study in the United Kingdom. *Int J Cancer*. **2013**;132(8):1959.

Autier P, Koechlin A, Boniol M, Mullie P, Bolli G, Rosenstock J, Boyle P. Serum insulin and C-peptide concentration and breast cancer: a meta-analysis. *Cancer Causes Control*. **2013** Feb 14. [Epub ahead of print]

Autier P, Boniol M. Effect of screening mammography on breast cancer incidence. *N Engl J Med*. **2013**;368(7):677.

Rosenblatt E, Izewska J, Anacak Y, Pynda Y, Scalliet P, Boniol M, Autier P. Radiotherapy capacity in European countries: an analysis of the Directory of Radiotherapy Centres (DIRAC) database. *Lancet Oncol*. **2013**;14(2):e79-86.

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Autier P, Boniol M. Pitfalls in using case-control studies for the evaluation of the effectiveness of breast screening programmes. *Eur J Cancer Prev*. **2012** Dec 20. [Epub ahead of print]

Autier P, Boniol M. Breast cancer screening: evidence of benefit depends on the method used. *BMC Med*. **2012**;10:163.

Boniol M, Boyle P, Autier P, Ruffion A, Perrin P. Critical role of prostate biopsy mortality in the number of years of life gained and lost within a prostate cancer screening programme. *BJU Int*. **2012**;110(11):1648-52.

Boyle P, Koechlin A, Pizot C, Boniol M, Robertson C, Mullie P, Bolli G, Rosenstock J, Autier P. Blood glucose concentrations and breast cancer risk in women without diabetes: a meta-analysis. *Eur J Nutr*. **2012** Nov 3. [Epub ahead of print]

Boyle P, Boniol M, Koechlin A, Robertson C, Valentini F, Coppens K, Fairley LL, Boniol M, Zheng T, Zhang Y, Pasterk M, Smans M, Curado MP, Mullie P, Gandini S, Bota M, Bolli GB, Rosenstock J, Autier P. Diabetes and breast cancer risk: a meta-analysis. *Br J Cancer*. **2012**;107(9):1608-17.

Boniol M, Autier P, Boyle P, Gandini S. Cutaneous melanoma attributable to sunbed use: systematic review and meta-analysis. *BMJ*. **2012**;345:e4757.

Autier P, Koechlin A, Smans M, Vatten L, Boniol M. Mammography screening and breast cancer mortality in Sweden. *J Natl Cancer Inst*. **2012**;104(14):1080-93.

Autier P, Boniol M, Perrin P. Prostate-cancer mortality after PSA screening. *N Engl J Med*. **2012**;366(23):2230

Autier P, Boniol M. The incidence of advanced breast cancer in the West Midlands, United Kingdom. *Eur J Cancer Prev*. **2012**;21(3):217-21.

Murtagh MJ, Demir I, Jenkins KN, Wallace SE, Murtagh B, Boniol M, Bota M, Laflamme P, Boffetta P, Ferretti V, Burton PR. Securing the Data Economy: Translating Privacy and Enacting Security in the Development of DataSHIELD. *Public Health Genomics*. **2012**;15(5):243-53.

Autier P, Boniol M. Mammography screening and breast cancer mortality--letter. *Cancer Epidemiol Biomarkers Prev*. **2012**;21(5):869

Chaabna K, Boniol M, de Vuyst H, Vanhems P, Antônio de Ávila Vitoria M, Curado MP. Geographical patterns of Kaposi's sarcoma, nonHodgkin lymphomas, and cervical cancer associated with HIV infection in five African populations. *Eur J Cancer Prev*. **2012** ;21(1):1-9.

2011

Haukka J, Byrnes G, Boniol M, Autier P. Trends in breast cancer mortality in Sweden before and after implementation of mammography screening. *PLoS One*. **2011**;6(9):e22422.

Boniol M. Rapporteur's report on Session 2: Epidemiological findings. *Prog Biophys Mol Biol*. **2011** ;107(3):367-8

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Greinert R, Boniol M. Skin cancer - Primary and secondary prevention (information campaigns and screening) - With a focus on children & sunbeds. Prog Biophys Mol Biol. **2011** Sep 3. [Epub ahead of print]

Autier P, Boniol M, Gavin A, Vatten LJ. Breast cancer mortality in neighbouring European countries with different levels of screening but similar access to treatment: trend analysis of WHO mortality database. BMJ. **2011** Jul 28;343:d4411. doi: 10.1136/bmj.d4411.

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Boniol M, Doré JF, Boyle P. Re. Lehrer S, Green S, Stock RG (2011) Association between number of cell phone contracts and brain tumor incidence in nineteen U.S. States. J Neurooncol 101:505-507. J Neurooncol. **2011** Apr 16. [Epub ahead of print]

Autier P, Boniol M, Doré JF. Is Sunscreen Use for Melanoma Prevention Valid for All Sun Exposure Circumstances? J Clin Oncol. **2011** Apr 4. [Epub ahead of print]

Autier P, Doré JF, Breitbart E, Greinert R, Pasterk M, Boniol M. The indoor tanning industry's double game. Lancet. **2011** Apr 16;377(9774):1299-301.

Chaillol I, Boniol M, Middleton R, Doré JF, Autier P, Gavin A. Seasonality of cutaneous melanoma diagnosis in Northern Ireland with a review. Melanoma Res. **2011** Apr;21(2):144-51.

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Autier P, Boniol M. Caution needed for country-specific cancer survival. Lancet. **2011** Jan 8;377(9760):99-101.

Shin A, Park S, Shin HR, Park EH, Park SK, Oh JK, Lim MK, Choi BY, Boniol M, Boffetta P. Population attributable fraction of infection-related cancers in Korea. Ann Oncol. **2011** Mar 8. [Epub ahead of print]

Gandini S, Boniol M, Haukka J, Byrnes G, Cox B, Sneyd MJ, Mullie P, Autier P. Meta-analysis of observational studies of serum 25-hydroxyvitamin D levels and colorectal, breast and prostate cancer and colorectal adenoma. *Int J Cancer*. **2011** Mar 15;128(6):1414-24.

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Boniol M, Césarini P, Chignol MC, Césarini JP, Doré JF. [Why indoor tanning must be taxed? A proposal from La Sécurité Solaire, WHO collaborating centre]. *Presse Med*. **2010** Dec;39(12):1236-7.

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Héry C, Tryggvadóttir L, Sigurdsson T, Olafsdóttir E, Sigurgeirsson B, Jonasson JG, Olafsson JH, Boniol M, Byrnes GB, Doré JF, Autier P. A melanoma epidemic in Iceland: possible influence of sunbed use. *Am J Epidemiol*. **2010**;172(7):762-7.

Autier P, Boniol M, Lavecchia C, Vatten L, Gavin A, Héry C, Heanue M. Disparities in breast cancer mortality trends between 30 European countries: retrospective trend analysis of WHO mortality database. *BMJ*. **2010** Aug 11;341:c3620. doi: 10.1136/bmj.c3620.

Carvalho LV, Pereira EM, Frappart L, Boniol M, Bernardo WM, Tarricone V, Tavgigian S, Southey MC. Molecular characterization of breast cancer in young Brazilian women. *Rev Assoc Med Bras*. **2010**;56(3):278-87.

Shin HR, Joubert C, Boniol M, Hery C, Ahn SH, Won YJ, Nishino Y, Sobue T, Chen CJ, You SL, Mirasol-Lumague MR, Law SC, Mang O, Xiang YB, Chia KS, Rattanamongkolgul S, Chen JG, Curado MP, Autier P. Recent trends and patterns in breast cancer incidence among Eastern and Southeastern Asian women. *Cancer Causes Control*. **2010** Nov;21(11):1777-85.

Carsin AE, Drummond FJ, Black A, van Leeuwen PJ, Sharp L, Murray LJ, Connolly D, Egevad L, Boniol M, Autier P, Comber H, Gavin A. Impact of PSA testing and prostatic biopsy on cancer incidence and mortality: comparative study between the Republic of Ireland and Northern Ireland. *Cancer Causes Control*. **2010**;21(9):1523-31.

Renard F, Vankrunkelsven P, Van Eycken L, Henau K, Boniol M, Autier P. Decline in breast cancer incidence in the Flemish region of Belgium after a decline in hormonal replacement therapy. *Ann Oncol.* **2010** Dec;21(12):2356-60.

Doré JF, Boniol M, Telle-Lamberton M; Afsset Working Group on Radiofrequencies. Re: Time trends in brain tumor incidence rates in Denmark, Finland, Norway, and Sweden, 1974-2003. *J Natl Cancer Inst.* **2010** ;102(10):741-2

Geller AC, Greinert R, Sinclair C, Weinstock MA, Aitken J, Boniol M, Capellaro M, Doré JF, Elwood M, Fletcher SW, Gallagher R, Gandini S, Halpern AC, Katalinic A, Lucas R, Marghoob AA, Nolte S, Schüz J, Tucker MA, Volkmer B, Breitbart E. A nationwide population-based skin cancer screening in Germany: proceedings of the first meeting of the International Task Force on Skin Cancer Screening and Prevention (September 24 and 25, 2009). *Cancer Epidemiol.* **2010**;34(3):355-8.

Boffetta P, Autier P, Boniol M, Boyle P, Hill C, Aurengo A, Masse R, Thé G, Valleron AJ, Monier R, Tubiana M. An estimate of cancers attributable to occupational exposures in France. *J Occup Environ Med.* **2010** ;52(4):399-406.

Rey G, Boniol M, Jouglu E. Estimating the number of alcohol-attributable deaths: methodological issues and illustration with French data for 2006. *Addiction.* **2010**;105(6):1018-29.

Shin HR, Boniol M, Joubert C, Hery C, Haukka J, Autier P, Nishino Y, Sobue T, Chen CJ, You SL, Ahn SH, Jung KW, Law SC, Mang O, Chia KS. Secular trends in breast cancer mortality in five East Asian populations: Hong Kong, Japan, Korea, Singapore and Taiwan. *Cancer Sci.* **2010**; 101(5):1241-6.

2009

Autier P, Héry C, Haukka J, Boniol M, Byrnes G. Advanced breast cancer and breast cancer mortality in randomized controlled trials on mammography screening. *J Clin Oncol.* **2009** ;27(35):5919-23.

Caini S, Gandini S, Sera F, Raimondi S, Fagnoli MC, Boniol M, Armstrong BK. Meta-analysis of risk factors for cutaneous melanoma according to anatomical site and clinico-pathological variant *Eur J Cancer.* **2009**;45(17):3054-63.

Montella A, Gavin A, Middleton R, Autier P, Boniol M. Cutaneous melanoma mortality starting to change: A study of trends in Northern Ireland. *Eur J Cancer.* **2009**;45(13):2360-6.

Cantwell MM, Murray LJ, Catney D, Donnelly D, Autier P, Boniol M, Fox C, Middleton RJ, Dolan OM, Gavin AT. Second primary cancers in patients with skin cancer: a population-based study in Northern Ireland. *Br J Cancer*. **2009**;100(1):174-7.

Degrave E, Meeusen B, Grivegnée AR, Boniol M, Autier P. Causes of death among Belgian professional military radar operators: a 37-year retrospective cohort study. *Int J Cancer*. **2009**;124(4):945-51.

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2008

Berthiller J, Straif K, Boniol M, Voirin N, Benhaïm-Luzon V, Ayoub WB, Dari I, Laouamri S, Hamdi-Cherif M, Bartal M, Ayed FB, Sasco AJ. Cannabis smoking and risk of lung cancer in men: a pooled analysis of three studies in Maghreb. *J Thorac Oncol*. **2008**;3(12):1398-403.

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Héry C, Ferlay J, Boniol M, Autier P. Quantification of changes in breast cancer incidence and mortality since 1990 in 35 countries with Caucasian-majority populations. *Ann Oncol*. **2008**;19(6):1187-94

Bartsch G, Horninger W, Klocker H, Pelzer A, Bektic J, Oberaigner W, Schennach H, Schäfer G, Frauscher F, Boniol M, Severi G, Robertson C, Boyle P; Tyrol Prostate Cancer Screening Group. Tyrol Prostate Cancer Demonstration Project: early detection, treatment, outcome, incidence and mortality. *BJU Int*. **2008**;101(7):809-16.

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Gandini S, Botteri E, Iodice S, Boniol M, Lowenfels AB, Maisonneuve P, Boyle P. Tobacco smoking and cancer: a meta-analysis. *Int J Cancer*. **2008** 1;122(1):155-64.

Boniol M, Verriest JP, Pedoux R, Doré JF. Proportion of skin surface area of children and young adults from 2 to 18 years old. *J Invest Dermatol*. **2008**;128(2):461-4.

Boniol M, Dore JF, Autier P. Changing the labeling of sunscreen, will we transform sun avoiders into sunscreen users? J Invest Dermatol. **2008**;128(2):481

2007

Autier P, Boniol M, Héry C, Masuyer E, Ferlay J. Cancer survival statistics should be viewed with caution. Lancet Oncol. **2007**;8(12):1050-2

Boniol M, Autier P, Doré JF. Photoprotection. Lancet. **2007**;370(9597):1481-2

Autier P, Boniol M, Dore JF. Sunscreen use and increased duration of intentional sun exposure: Still a burning issue. Int J Cancer. **2007**;121(1):1-5

Ferlay J, Autier P, Boniol M, Heanue M, Colombet M, Boyle P. Estimates of the cancer incidence and mortality in Europe in 2006. Ann Oncol. **2007**;18(3):581-92.

2006

The International Agency for Research on Cancer Working Group on artificial ultraviolet (UV) light and skin cancer, Autier P, Boniol M, Boyle P, Daniel J, Dore JF, Gandini S, Green A, Newton-Bishop J, Weinstock MA, Westerdahl J, Secretan B, Walter SD. The association of use of sunbeds with cutaneous malignant melanoma and other skin cancers: A systematic review. Int J Cancer. **2006**;120:1116–22.

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Autier P, Boniol M, Severi G, Pedoux R, Grivegne AR, Dore JF. Sex differences in numbers of nevi on body sites of young European children: implications for the etiology of cutaneous melanoma. Cancer Epidemiol Biomarkers Prev. **2004**;13(12):2003-5.

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2000

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- Other scientific activities (expert group, working group) and other publications (book and congress abstracts)

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Caisse Nationale d'Assurance Maladie (CNAM) working group on the evaluation of prostate cancer screening, Paris, 2012, CNAM.

InVS working group on the evaluation of the health impact of sunbed, Paris, **2011**, InVS.

INCA working group on 'Notes de synthèse sur l'effet potentiellement protecteur du rayonnement ultraviolet sur certains cancers internes (notamment colon et sein)', Paris, **2011**, INCa

INCa working group on 'Installations de bronzage UV : état des lieux des connaissances sur les risques de cancer et recommandations', Paris, **2010**, INCa

Afsset working group on 'Radiofréquences', Paris, **2009**, Afsset

Boniol M, Heanue M. Age-standardisation and denominators. In "Cancer Incidence in Five Continents Volume IX", Lyon, **2009**, IARC

IARC Working group on Vitamin D and cancer. IARC Working Group Reports Volume 5, Lyon, **2008**, IARC.

IARC Working group on Attributable Causes of Cancer in France in the year 2000. IARC Working Group Reports Volume 3, Lyon, **2007**, IARC.

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Coebergh J, de Vries E, Soerjomataram I, Barendregt J, Oenema A, Lemmens VE, Kunst A, Boniol M, Autier PH, Klepp KI. Scenarios for Cancer prevention in Europe: the Eurocadet project. EJC Supp. **2009**;7(2):64-65.

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Autier PH, Dore JF, Severi G, Pedoux R, Boniol M. Number and size of naevi are influenced by different sun exposure components: Implications for the aetiology of cutaneous melanoma. *Pigment Cell Research.* **2002**;15(S9):24.

- *Selected invited oral presentations*

- 7th International Conference on the science of exposure assessment (X2012), Edinburgh, United Kingdom, 2-5/07/2012 - "How to reduce the burden of skin cancer? Protective clothing, sunscreens, shading, avoiding sunbed use."
- Conference on "Ozone depletion, UV exposure and skin cancer" Copenhagen, Denmark, 25/04/2012 - "Quantification of sun exposure in Europe and its effects on the development of skin cancer"
- Workshop on "health risks of sunbed use – needs, regulations and perspectives" at the Federal ministry of environment, Berlin, Germany, 23/04/2012 - "Sunbeds in Europe – the "double game" of the sunbed industry"
- Conference on "the epidemiology of cutaneous melanoma: from its prevention to its cure", Istituto Dermopatico dell'Immacolata (IDI), Roma, Italy, 01/12/2011 - "Eurosun project: strategies for decreasing skin cancer"

- International WHO-ICNIRP joint workshop on "Non-ionizing radiation & Children's health", Ljubljana, Slovenia, 18-20/05/2011- "Skin Cancer – primary and secondary prevention" and Epidemiology session's rapporteur
- INCa, Paris 06/05/2010 Meeting "*Developper la recherche translationnelle et Clinique Française dans le melanome*" - "Epidemiology of melanoma"
- World Melanoma congress 12-16/05/2009 Vienna, Austria – "Cutaneous melanoma mortality starting to change: a study of trends in Northern Ireland."
- XXXème Cours de Dermatologie Pédiatrique, 14/04/2009 Arcachon, France : "Evolution de la surface cutanée chez l'enfant."
- Societe francaise de dermatologie, Paris, France. 13/03/2008: " Récentes tendances d'incidence et de mortalité du mélanome cutané: impact de l'exposition solaire, de l'utilisation de solarium et du dépistage "
- RECIF, Lyon, France 27/03/2008: "Prostate Cancer."
- Iarc 26-29/06/2007 Lyon, France (seminar, presentation to the director of DG Sanco at Iarc): "Estimating proportions of cancers attributable to lifestyle and environment in Europe: opportunities and methodological issues"
- Iarc seminar 28/11/2006 Lyon, France: "Exposure to sunbed and risk of cutaneous melanoma: a meta-analysis"
- Iarc Day 17/05/2006 Lyon, France: "Torture your data to find out about errors"
- Working group on avoidable causes of cancer 21-23/03/2005 Lyon, France: "Methodological issues on the calculation of attributable fraction"
- Several lecture on « Solar radiation and skin cancer » at Ecole pratique des hautes etudes, Lyon, France 2003-2009.
- World Melanoma congress 06/09/2005 Vancouver, Canada: Nodular melanoma incidence. Seasonal variation of melanoma incidence in NSW
- World Melanoma congress 2001 – Venice, Italy: Seasonal variation in melanoma incidence.

CV Philippe Autier

CURRICULUM VITAE

(September 2012)

Philippe AUTIER, M.D., M.P.H., Ph.D.
(Physician-Epidemiologist)

Citizenship: Belgian

Date of birth: December 29, 1956

Married, name of spouse: Anne Brédart, psychologist working at the Curie Cancer Institute in Paris

Children: Anton (21 years old) and Gilles (16 years old).

Place of residence:

33 rue Vaubecour
69002 Lyon
France

Professional address:

International Prevention Research Institute (iPRI)
www.i-pri.org

Legal address: 95 Cours Lafayette, 69006 Lyon, France

Office : Espace Européen, Bâtiment G
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69130 Ecully (Lyon), France
Tel : +33 472 17 11 83 (direct)
+33 472 17 11 99 (reception)
E-mail : philippe.autier@i-pri.org

EDUCATION

DOCTOR IN MEDICINE 1975-82
Université Libre de Bruxelles (Bruxelles).

DIPLOMA OF DOCTOR IN TROPICAL MEDICINE TROPICALE 1982-83
Institut de Médecine Tropicale (Antwerpen)

EPIDEMIOLOGIC INTELLIGENCE SERVICE COURSE (September and October 1986) "Centers for Disease Control" (Atlanta, U.S.A) and the "Institut pour le Développement de la Biostatistique et de l'Epidémiologie" (Paris, France).

MASTER IN PUBLIC HEALTH 1988-89
Harvard School of Public Health (Cambridge, Massachusetts, U.S.A). Studies done under the "Fullbright" status with a grant from the "Frank Boas Foundation";

Areas of concentration: Biostatistics, Epidemiology and Health Economics

WORLD HEALTH ORGANISATION SEMINAR FOR THE TRAINING OF CONSULTANTS FOR THE GLOBAL PROGRAM ON AIDS. September 1987, Genève (Switzerland).

COURSE OF MOLECULAR BIOLOGY FOR THE EPIDEMIOLOGIST.
International Agency for Research on Cancer, September 1990, Lyon (France).

COURSE OF BIOSTATISTICS APPLIED TO THE GENETIC ASPECTS OF CANCER. International Agency for Research on Cancer, August 1991, Lyon (France).

PhD DEGREE OBTAINED AT ERASMUS UNIVERSITY ROTTERDAM (THE NETHERLANDS)

Thesis entitled "Sunbed use, sunscreen use, childhood sun exposure and cutaneous melanoma", defended on 1st of October 2012.

ACADEMIC POSITION

HONORARY PROFESSOR of EPIDEMIOLOGY at the University of Dundee, Scotland, UK.

CURRENT POSITION

From December 2009 onwards

Vice-President Population Studies at the International Prevention Research Institute (iPRI). This position entails supervision of scientific works done by a team of biostatisticians (4), computer specialists (2), and assistants (3), as well as PhD students and students doing internship in biostatistics and epidemiology.

Ph Autier scientific activities mainly deal with etiologic researches based on epidemiological methods and meta-analytic methods, as well as clinical epidemiology. Main research areas are related to complex issues that need problem-solving approaches and connecting data from various sources.

Ph Autier supervised works done by a team of epidemiologists, statisticians, computer specialists, data managers and other functions. Ph Autier was responsible of result reporting, report writing and presentation of conclusions of projects to official bodies and companies.

Main working topics and research areas are:

1/ Performing research activities part of iPRI contractual agreements. On-going activities in 2012 are:

- Cancer risk associated with insulin and non-insulin treatments of diabetes mellitus, using data from European cohorts (mainly prescription databases), and systematic review and meta-analysis of published literature (Studies commissioned by the European Medicine Agency [EMA, London]);
- Pharmacovigilance plans for new diabetes treatment, cancer and other adverse events in prescription databases and in cohorts (Studies commissioned by the European Medicine Agency [EMA, London]).
- Meta-analyses of diabetes and metabolic conditions and cancer risk;
- Risk of oral cancer associated with mouthrinse preparations;
- Use of mouth rinse preparations and prevention of periodontal infections (plaque, gingivitis);
- Risk of cancer associated with carbonated beverages.

Results of all these activities are or will be published in peer-reviewed journals and/or submitted as official reports to regulatory bodies and/or public health institutions.

2/ Services and researches in **health technology assessment** (HTA) and **comparative (relative) effectiveness** research, with a focus on clinical and epidemiological designs and data gathering for documenting effectiveness and perform health economics evaluations. PA is doing health outcome research on clinical and public health indicators of efficacy and effectiveness (eg, survival, mortality, premature mortality, incidence, case-fatality, QALY and related indicators), especially on their strength and weaknesses. The emphasis is put on best use of already available data, published or non published, as well as already existing but unexploited databases and on-going epidemiological studies.

3/ Development of clinical, epidemiological projects for **testing new screening, new diagnosis tools or new biomarkers in cancer detection and screening**.

From 2003 until 2011, the main topic of activity was a new ultrasound-based technologies (**HistoScanning**, www.histoscanning.com) enabling distinction between cancer and non cancerous tissues in solid organs. HistoScanning has been developed for the (early) detection of breast, prostate, thyroid and ovarian cancers. The main task of PA is to supervise clinical studies, provide statistical help to investigators, to analyse data and prepare publications.

Current research support is provided to imaging modalities for prostate and breast cancer (e.g., real time elastography).

4/ Research on melanoma epidemiology and photoprotection. Extensive collaborations have been built with cancer registries in Northern Ireland, Scotland and the Netherlands.

5/ Epidemiological researches on the **human effects of vitamins** and related compounds. Current main topic of work is the **vitamin D**;

6/ Research on evaluation of screening activities in general populations through analysis of cancer registry data on trends in incidence of advanced cancer, and on cancer-specific mortality.

7/ PA is regularly solicited by biomedical companies as well as by public services (e.g., HTA agencies) to provide expertise in domains like clinical epidemiology, skin carcinogenesis, breast cancer, vitamin and hormone-related issues.

PREVIOUS POSITIONS

September 2005-November 2009

From September 1, 2005, Dr Ph Autier had a fixed-term position at the International Agency for Research on Cancer (IARC), at P5 level. He decided to leave the IARC for joining the **International Prevention Research Institute (iPRI)**

Official position of Ph Autier within the IARC is **Group Head (level P5)**, of Prevention Evaluation Group (five scientists, one secretary, PhD and post-doc students).

The overall duties of Ph Autier were to manage human resources, to set agendas and priorities, and to supervise scientific activities of the group.

Main projects headed by Ph Autier in February 2009 are:

- 1/ Coordination of studies on evaluation of **impact of cancer screening on cancer incidence and mortality**.
- 2/ Coordination of scientific activities on causes and prevention of skin cancers, mainly the cutaneous melanoma. Coordination of the **EUROSUN project**, funded by the Directorate General on Health and Consumers of the European Commission that has the goal to evaluation exposure to solar ultraviolet radiation of Europeans using measurements provided by meteorological satellites.
- 3/ Coordination of development of new biostatistical methods for **mathematical description of cancer development**, with a particular focus on finding statistical characteristics best suited for describing biological behaviours of aggressive and indolent cancers.
- 4/ Development of a new area in descriptive epidemiology, called "**descriptive oncology**" that has the purpose to collect, organize and analyze and display data on cancer incidence, mortality, risk factors, screening activities, therapeutic activities and infrastructures. These activities are to be considered as a further expansion of IARC activities centred on Cancer in Five Continents and Globocan.
- 5/ Coordination of a IARC Working Group on **vitamin D and cancer** (first report issued in September 2008).

Main activities at IARC from 2005 until end of 2008 were:

1/ **Cluster coordinator**, with duty of management five different groups (60 persons): (i) Descriptive Epidemiology group, in charge of production of Cancer in Five Continents (CI-V); (ii) Data Analysis and Interpretation Group, in charge of epidemiological analysis of descriptive epidemiology data; (iii) Biostatistics and Methodology Support Group, in charge of biostatistics analysis as a common resource for the IARC; (iv) Information Technology Services, in charge of computer and networking technical aspects for the IARC, and (v), the Radiation Group, in charge of studies on ionizing and non-ionizing radiation and cancer. In 2009, these coordination activities stopped with the withdrawal of "clusters" from the IARC scientific structure.

2/ Leader of the coordination team and responsible for the day-to-day management of the project "Feasibility Study for Coordination of National Cancer Activities (EUROCAN)" contracted with the European Union (DG RESEARCH). The goal of this project is to identify barriers hindering high level, efficient cancer research in the European Union, and to propose ways for improving coordination of cancer research (details on www.eurocanplus.eu). Final report of the Eurocan+Plus Project is released on 10 April 2004, and is freely available on www.eurocanplus.eu or at www.iarc.fr.

November 2003 to August 2005

Head of the Unit of Epidemiology and Prevention of the Jules Bordet Institute (Brussels).

The main occupation of Ph Autier was to act as medical director of Advanced Medical Diagnosis, a Belgian start-up company that created and patented a new mathematical method for cancer screening, called **HistoScanning** (www.histoscanning.com). Ph Autier was responsible for the development and coordination of clinical studies aiming at testing the medical value of that new technique for the early detection of ovarian, prostate and breast cancers. Studies unfold in renowned medical institutions : Karolinska Institutet (Stockholm, Sweden), University of Tübingen (Germany), Erasmus Hospital (Rotterdam, The Netherlands), Oncologische Centrum of Antwerp (Prof L Denis, Belgium), Jules Bordet Institute (Brussels, Belgium), Hopital La Pitié Salpêtrière (Paris, France), European Institute of Oncology (Milano, Italy), Sint Georges Hospital (London, UK).

Ph. Autier also supervised studies done by a team of 6 persons in the field of :

- (1) Cancer screening.
- (2) Epidemiology of cutaneous melanoma.
- (3) Disease control in villages affected by the presence of industrial waste disposals (projects done for the Belgian Government).

That position encompassed regular contacts with public health authorities of Belgium and France, with the European level (e.g., with DG SANCO and DG Research of the European Commission), and also with multilateral health agencies (e.g., WHO). These contacts were related to (1) the administration of research projects, (2) to the co-ordination of collaborative works, and (3) to the provision of expert advice (consulting).

July 2000 to October 2003

Head of the Centre of Epidemiology and Statistics of the Luxembourg Institute for Health, located at the "Centre de Recherche Publique Santé" (CRP-Santé) in Luxemburg. The CRP-Santé is an independent public institution, funded by the Ministry of Health and to the Ministry of Research and Education of the Grand Duchy of Luxemburg. The principal tasks consisted in:

- developing prospective epidemiological studies using the numerous health-related databases existing in Luxemburg, and to develop original designs in etiologic research and genetic epidemiology of cancers, cardiovascular diseases and metabolic diseases such as osteoporosis.
- Devising, conducting and coordinating epidemiological studies at European levels.

In 2000 and 2001, Philippe Autier was expert to the programme "Health and Pollution" of the European Commission, Directorate-General Health & Consumer Protection (DG SANCO), for Health and Environment programmes, and pollution related diseases.

1996-2000:

Deputy Director at the European Institute of Oncology, Milan (Italy): Ph Autier coordinated programmes in etiologic epidemiology programmes and in epidemiological researches on melanoma. Research projects co-ordinated by Ph. Autier were financed by the European Commission (50%), private companies (30%), and governments (20%). Among other projects, Ph Autier received grants from the Programme Europe Against Cancer of the European Commission for studies on sunscreen for skin cancer prevention. He also received a grant from the 3rd Framework Programme (BIOMED) of the European Commission for conducting a European multicentric study of sunbed use and cutaneous melanoma.

1994-1996:

Health Outcome Manager at Merck Sharp and Dohme (MSD), SA/NV, Belgium. Main task was to develop and conduct studies on health economic and evidence based medicine. Reporting was done to the Managing Director. Studies were usually developed with Belgian opinion leaders and with Merck headquarters in the USA.

Several publications were done from studies initiated by Ph Autier, on use of statins in Belgium and on economic aspects of fractures and of osteoporosis (see publication list).

1989-1993:

(a) **Head of the Epidemiology and Prevention Unit of the Jules Bordet Institute** (Oncology centre in Brussels), and of the Oeuvre Belge du Cancer (Agency active in cancer prevention and in the funding of researches). In 1991-93, teacher of clinical research methods at the PHARMED COURSE organised by the Free University of Brussels, intended for the training in the development of new drugs or of new medical technologies.

(b) Co-ordinator of the Brussels Breast Cancer Screening Programme implemented by the three universities operating in the Brussels area (funded by the European Commission and Belgian Governmental agencies).

1988-89: Studies in Boston, USA.

1985-1988:

Founder and epidemiologist at the European Association for Health and Development (AEDES, Brussels-Paris-Barcelona; www.aedes.be). AEDES is specialized in the management of pharmaceuticals and health services at country level and in the handling of epidemics or large scale nutritional problems. Most funds of AEDES come from the European Commission, the World Bank and private institutions.

Ph Autier launched and coordinated the first Early Warning Systems for famine prevention in Mali and Chad, projects funded by the European Commission. Ph Autier conducted also various missions of expertise in epidemiologist in Angola, Mozambique, Hong-Kong, Zaïre, and Sudan.

1982-1985:

Serviced as volunteer in the humanitarian non-governmental organization Médecins Sans Frontière in Honduras (in 1985 and 1986), and in Chad (1987-88). In Chad, Ph Autier was the "medical coordinator" of projects conducted by team of about 50 expatriates and 200 Chadian health workers.

LANGUAGES

French: Mother tongue

English: High fluency in speaking and writing

Dutch: Good knowledge

Spanish: Some knowledge

Italian: Some knowledge

MEMBERSHIPS

CORE GROUP MEMBER OF THE WHO WORKING GROUP ON INDOOR UV TANNING (Geneva)

MEMBER of the EDITORIAL BOARD (epidemiology and prevention) of the journal **MELANOMA RESEARCH** (from February 2009)

MEMBER of the EDITORIAL BOARD (epidemiology and prevention) of the **EUROPEAN JOURNAL OF CANCER**

MEMBER and Co-CHAIRMAN of **EPIMEL**, the Epidemiology and Prevention section of the **EORTC Melanoma Co-operative Group** (EORTC is the European Organisation for Research and Treatment of Cancer).

MEMBER OF BOARD OF THE **EUROPEAN MELANOMA GROUP** (Scientific non-profit organisation)

PRESIDENT OF THE BOARD OF DIRECTOR OF THE **ASSOCIATION EUROPEENNE POUR LE DEVELOPPEMENT ET LA SANTÉ (AEDES)**, asbl, vzw (Brussels, Paris) (non profit private organisation under Belgian law)

FORMER PRESIDENT AND MEMBER OF BOARD OF THE **AEDES FOUNDATION** (Brussels, Public Foundation under Belgian law)

MEMBER OF THE BOARD OF THE **ASSOCIATION EUROPEENNE POUR LE DEVELOPPEMENT ET LA SANTÉ (AEDES)**, scrl, Brussels and Paris (www.aedes.be).

PAST PRESIDENT AND MEMBER OF THE BOARD OF **EPISEARCH**, a non-profit organization under the Belgian law dedicated to the promotion of emerging innovative methods (in economics, biostatistics and data handling) for clinical and population studies.

PUBLICATION LIST

(peer reviewed, no abstract)

1. Autier P, Boniol M, Boyle P. Re: The report of the Independent UK Panel on Breast Cancer Screening. *Lancet* 2013 (in press).
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Curriculum Vitae

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Occupations and Education

<i>1966-72</i>	Royal High School, Edinburgh
<i>1972-76</i>	Edinburgh University. B.Sc. Honours (I) Mathematics and Statistics.
<i>1976-77</i>	Kent University, Canterbury. M.Sc. Statistics.
<i>1977-80</i>	Kent University, Canterbury. Ph.D. Statistics. Statistical applications in memory studies.
<i>1980-89</i>	Department of Mathematics, University of Strathclyde, Glasgow.

1989-93 Lecturer.
Department of Statistics and Modelling Science, University of Strathclyde, Glasgow. Lecturer

1993-96 Department of Statistics and Modelling Science, University of Strathclyde, Glasgow. Senior Lecturer.

1995-2001 Division of Epidemiology and Biostatistics, European Institute of Oncology, Milano. Vice Director of Division and Head of Biostatistics

2002 - Professor of Public Health Epidemiology, Department of Mathematics and Statistics, University of Strathclyde, Glasgow. and Head of Statistics, Health Protection Scotland, Glasgow

Current Employment

Since 2002 I have been Professor of Public Health Epidemiology at the University of Strathclyde, initially in the Department of Statistics and Modelling Science and, since 2009, in the Department of Mathematics and Statistics, and also Head of Statistics at Health Protection Scotland (HPS), formerly the Scottish Centre for Infection and Environmental Health. I have a joint appointment in the two institutes and my main duties are to lead a team of researchers in public health epidemiology and to manage the statistical research and consultancy service at HPS. In 2009-10 the university group linked with HPS has 1 professor, 2 senior lecturers, 1 principal research assistant, 3 research assistants, 6 PhD students and 1 MPhil student. Since 2010 I am also a senior research fellow with the International Prevention Research Institute, Lyon, France (<http://www.i-pri.org/>)

Research

I am an applied statistician with interests in the application of Statistics over a wide variety of disciplines. Presently my main research interest is in statistical modelling of infectious diseases and in epidemiological studies. The most important research at present is in statistical modelling and analysis associated with the H1N1 pandemic. Other recent work has focussed on the design of the HPV surveillance system in Scotland for the monitoring of the impact of the HPV vaccination programme and modelling the consequence of vaccination on cervical cancer and cervical screening. The potential for an outbreak of measles, mumps or rubella in Scotland as a consequence of the falling rates of vaccination uptake in the period 2004-06 has also been investigated through modelling. Linked to this work has been the development of a population simulation model for pneumococcal carriage. A second major thrust of my current research is concerned with an investigation of time trends in disease incidence and mortality and in regional variation in these trends which may be associated with environmental effects.

Significant achievements in the recent past include (a) Publication of the National Scottish Prevalence survey of hospital acquired infection. This is a very important piece of work and demonstrated for the first time the level of HAI in Scotland and is a benchmark for efforts to reduce HAI. My role in this study was to design it, supervise the statistical analysis and assist with the writing. (b) Publication of the Handbook of Cancer Prevention, Tobacco Control, Volume 11: Reversal of Risk after Quitting Smoking by the International Agency for Research in Cancer, Lyon, I was part of the working group and contributed one chapter directly. (c) Working in conjunction with colleagues at HPS on the national prevalence survey of HPV infection among children in Scotland aged 12-18. This is a vital piece of research required to assess the level of HPV infection in Scotland before the introduction of the HPV vaccine in September 2007 (d) Developing studies for the assessment of

the effectiveness of the Pneumococcal Conjugate vaccine which was introduced into the immunisation schedule in September 2006. (e) Development and implementation of an Exception Reporting System for flu like illnesses in Scotland using data routinely collected through the NHS24 telephone helpline. This forms part of Health Protection Scotland's surveillance systems for detecting outbreaks of flu and was funded in conjunction with work on preparing Scotland for pandemic flu. (www.stams.strath.ac.uk/~ian/hps/login.php)

I am also been responsible for the management of all phases of epidemiological studies, from design to analysis and day to day management. Examples include a case control study to investigate the causes of the cryptosporidium outbreak in Aberdeen in 2002, campylobacter in Grampian 2005-2007 and a prevalence survey of Healthcare Associated Infection in hospitals in Scotland.

Teaching, Education and Training

At university I am heavily involved in teaching statistics to business students. This has been a long term interest of mine and in 2002 I published a textbook in this area using relevant examples and multimedia technology. I have continued to develop the use of new technologies in teaching elementary statistical concepts and have helped to develop a virtual learning environment (<https://vle.stams.strath.ac.uk>). In the current year about 500 business students will use this resource which guides them through elementary concepts, practice examples with instant feedback and tests their abilities.

In the past I have taught Statistics at all levels from introductory service courses through to final honours classes and post-graduate lectures. The main thrust of my teaching is to teach Statistics as a practical subject and I place a great deal of emphasis on the applications of Statistics. I am particularly keen to introduce students to the use of Statistics through practical analysis of data which is relevant to their particular interests.

In all my courses, computer laboratories played an important part in teaching Statistics. This is an area in which I take great care and for a number of years I have been involved in using different computer-oriented approaches to teaching statistics. I have made use of the laboratories to experiment with a variety of techniques with the aim of improving the quality and educational content of the courses. These experiences were the principal motivation behind the successful bid to the UFC for support in the research and development of computer based tutorial materials in Statistics and Modelling Science.

Currently I am supervising 4 PhD students in the following areas: parameter estimation for infectious disease models, statistical models for the transmission of pneumococcal carriage, and simultaneous investigation of quality of life and survival in clinical trials, statistical analysis of metabonomic data.

Statistical Consultancy

There are over 150 staff at Health Protection Scotland and I have organised a statistical consultancy service for them. This service involves all statisticians in the statistics section at SCIEH under my guidance. The main functions include the design of epidemiological studies, including writing the statistical sections of the protocols for these studies and monitoring their progress through the ethical committees and funding bodies. The major task is the analysis of epidemiological studies, providing reports for the investigators and collaborating on the manuscript for publication.

Administration

The main administrative duties I have are related to the management of a team of 9 researchers. I am also a member of the HPS Data Strategy Group. Both of these are management advisory groups.

At various times I have been Undergraduate Director, Advisor of Studies, a member of the Liaison Committee of the Departments of Mathematics and Statistics and Modelling Science. I have been active in organising Open Days and demonstrations for school pupils who have been invited to the university in an effort to publicise our degrees. I also organised the Staff-Student committee for the Mathematics and Statistics Students. I have been on the board of the Strathclyde Business School as representative of the Mathematics and the Statistics and Modelling Science department. I have also been on the Science Faculty Resource and Planning Committee.

Previous Experience

From 1995 until 2001 I worked as Head of Biostatistics and Deputy Director in the Division of Epidemiology and Biostatistics at the European Institute of Oncology. This Division is a World Health Organisation Collaborating Centre for Statistical Modelling in Chronic Diseases. There were three major aspects to my work – Research, Statistical Consultancy, and Education and Training. My main duties were to engage in and oversee the statistical research of the Division, to manage epidemiological research projects, to publish academic papers, to establish and maintain a statistical consultancy service within the European Institute of Oncology. Much of my current research stems from collaborations which were established during the 6 years I worked in Italy. There was much more emphasis on the design and analysis of clinical studies whereas at present I am mainly involved in epidemiological studies.

Prior to working at the European Institute of Oncology I was a lecturer at Strathclyde University where I participated fully in the three broad areas of academic life – Research, Teaching and Administration.

Research Funding

Current Research Grants

Research Grants								
[updated February 2011]								
No	Lead Investigator	All grantholders	Title	Period	Source of funding	Recipient of funding	Funding	
							Total (£1000)	SU share (£1000)
Funded Grants (new, in 2010)								
	C Robertson	C Robertson	Health Protection Scotland Chair	2010-2015	HPS	Strathclyde	209	209
	C Robertson	C Robertson	Collaborative Research in Public Health Epidemiology	2010-2013	IPRI	Strathclyde	155	155
	Simpson C.	Simpson CR, Lone, N, Ritchie LD, Robertson C, Sheikh A, McMenamin J	Seasonal Influenza Vaccine Effectiveness (SIVE)	2010-2011	NIHR	Edinburgh Univeristy	95	41
	Robertson C.	McMemamin, J. Kavanagh, K.	Influenza Vaccine Effectiveness in Scotland using the PiPeR Cohort 2010-11	2010-11	ECDC EpiConc ept	Strathclyde	43	23
	Robertson C.	Robertson, C.	Statistical analysis and modelling for MRSA Screening Programme - Continuation	2010-10	HPS	Strathclyde	24	24
	Guthrie, B	Guthrie, B., Bennie, M., Petrie, D., Robertson, C., Ritchie, L., Treweek, S.	Effective Feedback to Improve Primary Care Prescribing Safety (EFIPPS): a randomised controlled trial using ePrescribing data	2011-2014	CSO	Dundee University	225	64
TOTAL NEW GRANTS							£751	£516
CSO	Chief Scientist Office, Scottish Government							
DoH	Department of Health, England							
GGPCT	Greater Glasgow Primary Care Trust							
HPS	Health Protection Scotland, NHS Scotland							
SG	Scottish Government							
WP	Wyeth Pharmaceuticals							
UWoS	University of West of Scotland							
SUEFRDF	Strathclyde University Education Faculty Research Development Fund							
SEHD	Scottish Executive Health Department							
LAHT	Lanarkshire Acute Hospital Trust							
IPRI	International Prevention Research Institute							
ECDC	European Centre for Disease Control							

Research Grants								
[updated February 2011]								
No	Lead Investigator	All grantholders	Title	Period	Source of funding	Recipient of funding	Funding	
							Total (£1000)	SU share (£1000)
Previously Funded Grants (ongoing during 2010)								
1	Cubie, HA	Cubie, H., Cushieri, K, Donaghy, M., Robertson, C., Cruickshank, M., Imrie, J., Williams, A., Howie, Sullivan, F., Harrison, D., Sinka, K.	Scottish Cervical Cancer Prevention Programme: Establishing an HPV Clinical Research Centre	2009-2014	CSO	NHS Lothian/Edinburgh	450	0
2	Cruikshank, M	Cruikshank, M,Campbell, C., Choi, Y., Cubie, H., Cushieri, K., Donaghy, M., Imrie, J., Robertson, C., Sullivan, F., Weller, D.	The Scottish Cervical Cancer Prevention Programme: Assessing And Modelling The Impact Of HPV 16/18 Immunisation .	2010-2015	CSO	Aberdeen University	450	209
3	Robertson C.	Robertson, C.	HPV Surveillance	2008-12	HPS	Strathclyde	42	42
4	Robertson C.	Robertson, C. Ramsay, C., Pollock, K.	Serological Study of Markers of Cryptosporidium associated with changes in water supply	2009-10	HPS	Strathclyde	29	29
5	Robertson C.	Robertson, C.	Statistical analysis and modelling for MRSA Screening Programme	2009-10	HPS	Strathclyde	17	17
6	Farrington, CP	Farrington, CP, Andrews, N., Robertson, C. Garthwaite, P.	Methodological Development of Syndromic and Laboratory Statistical Surveillance Systems in England	2009-10	NIHR	Open University	28	6
7	Woolhouse, MEJ	Woolhouse, MEJ, Leigh-Brown A, Simmonds, P., Robertson, C., Mcmenamin,J., Carman, W	Enhanced Influenza Surveillance In Scotland From Autumn 2009.	2009-10	CSO	Edinburgh Univeristy	131	5
8	Simpson C.	Simpson CR, Ritchie LD, Robertson C, Sheikh A, McMenamin J	Vaccine effectiveness In Pandemic influenza - primary care Reporting (VIPER)	2009-10	NIHR	Edinburgh Univeristy	87	7
9	Robertson C.	McMemamin, J. Kavanagh, K.	Influenza Vaccine Effectiveness in Scotland using the PIPeR Cohort	2009-10	ECDC EpiConc ept	Strathclyde	35	13
10	Robertson C.	McMemamin, J. Kavanagh, K.	Influenza Vaccine Effectiveness in Scotland using the PIPeR Cohort	2009-10	EU EpiConc ept	Strathclyde	40	15
1	Robertson C.	Robertson C, Choi, Y., Edmunds, J.	Developing serotype-specific models of the transmission of pneumococcal carriage in UK School, Neighbourhood and Local Authority Effects on Uptake and Attainment in Music – A Multilevel Analysis.	2008-12	HPA	Strathclyde	66	66
2	Hewitt A.	Hewitt, A., Wilson, A.; Smith, I., Robertson, C..		2008-10	SUEFR DF	Strathclyde	4	0
6	Robertson C.	Robertson C, McKenzie E.	Statistical Research Support for Lanarkshire Acute Trusts	2005-10	LAHT	Strathclyde	25	25
1	Robertson, C	Robertson C	Statistical Research Support for Health Protection Scotland	2005-10	HPS	Strathclyde	709	709
				TOTAL FUNDING ON ACTIVE GRANTS			£2,113	£1,143

Publications

Books

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2. Robertson C. and McCloskey, M. (2003). *Statistical Concepts and Applications for Business Students* .London: Edward Arnold.

Chapters in Books

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1. Diet, Nutrition and Colorectal Cancer Risk. Scottish Cancer Foundation. Perth, November 2000
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4. Modelling Trends in Regional Variation, Leeds University, July 2002
5. Meta Analysis, Scottish Centre for Infection and Environmental Health, March 2003
6. Analysis of clinical trial results on intermittent therapy in the treatment of prostate cancer, South European Urology Group, Lisbon, May 2003
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8. A Simulation Model Pneumococcal Carriage and Transmission. Wyeth Pneumococcal Workshop, Manchester, December 2003
9. Analysis of clinical trial results on intermittent therapy in the treatment of prostate cancer, South European Urology Group, Lisbon, Feb 2004
10. Modelling the impact of vaccination on pneumococcal carriage, infection and disease (With A Weir), Pneumococcal Disease Workshop, Royal College of Physicians, Glasgow June 2004
11. Mathematical Modelling of Infectious Diseases, Pan Celtic Microbiological Forum, Greenock, Sept 2004.
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14. Analysis of clinical trial results on intermittent therapy in the treatment of prostate cancer, South European Urology Group, Lisbon, Feb 2005
15. Intermittent Hormone Therapy in Advanced Prostate Cancer. Presentation of Trial Results. Intermittent Therapy Research Group. St Barts's London March 2005
16. Invited Discussant on Clinical Trials in Cancer Treatment. Frontier Science Meeting. Edinburgh 2005
17. Effects of Long term Exposure to Air Pollution in Scotland. Royal Statistical Society Medical Section Meeting. Leeds, October 2005.
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19. Statistics and Health Protection Scotland, Research Day, Lanarkshire Hospitals Trust, June 2006
20. Effects of Long term Exposure to Air Pollution in Scotland. Manchester University, October 2006.
21. An investigation of the effects of the fall in MMR vaccination rates on the likelihood of a Measles Epidemic in Scotland. Lancaster University 19 January 2007
22. Syndromic Surveillance at Health Protection Scotland. Health Care Commission Workshop on Statistical Methods in Syndromic Surveillance, London 20 June, 2007
23. Modelling Infectious Diseases at Health Protection Scotland, Health Protection Scotland, 7 November 2007
24. Syndromic Surveillance Systems at Health Protection Scotland. Open University Conference on Surveillance Systems, Milton Keynes 21 May, 2008
25. Assessing the impact of pneumococcal vaccination in Scotland. Wyeth ATENEA Study Group, Madrid, 26/27 May, 2008
26. Epidemiological Issues in developing a national vaccination programme and surveillance system in Scotland. Workshop on the impact of HPV vaccination on cervical screening Workshop organised by WHO/IARC, Milan 3-5 Dec 2008.
27. Syndromic Surveillance at Health Protection Scotland, ICHAIR, Centre for Modelling Infectious Diseases, Edinburgh University, 10 December 2008
28. Statistics at Health Protection Scotland, Glasgow Group, Royal Statistical Society, 11 December 2008
29. Royal Statistical Society Conference, Edinburgh, Sept 2009
30. ScotStat Conference, Edinburgh, Edinburgh, Oct 2009
31. Management of H1N1 Influenza, Glasgow, November, 2009
32. Institute of Advanced Studies Workshop on preparing for pandemics, Strathclyde, Nov 2009
33. Applications of Statistics in Health Protection, Glasgow University, 02/02/11
34. Statistical Aspects of Syndromic Surveillance in Scotland, Stirling University, March 8, 2011

35. Introduction to Medical Statistics, Monklands Hospital, March 11, 2011

PhD Students.

Naeem Al Rehman. A statistical investigation of methods for the comparison of vaginal bleeding patterns in contracepting women. Awarded 1992

Professor Economics Department, University of Peshawar, Pakistan

Suliaman Khattak. A study of staying on rates of pupils in schools using logistic regression : multinomial and multilevel models to analyse data from the Scottish Young People's Surveys of 1985-87 and 1987-89. Awarded 1993

Lecturer, University of Peshawar, Pakistan

Khudadad Khan. Statistical models in incidence and treatment of cancer of the larynx. Awarded 1995

Assistant Professor, Institute of Statistics , University of the Punjab, Lahore, Pakistan

Zamalia Mahud. A study on teaching statistical concepts at the introductory level: the development and testing of a teaching model and an investigation into the methodological techniques

Professor Madja, Centre for Statistical Studies, Faculty of Computer and Mathematical Sciences, Universiti Teknologi MARA, MALAYSIA

Nik.Ruzni.NikIdris, Estimating meta analysis parameters in non-standard data. Awarded 2006

Lecturer, Statistics

Amanda Weir. Statistical Modelling of Pneumococcal Carriage and Infection, Awarded 2009

Statistician, Health Economic Modeller Health Protection Scotland

Oarabile Molaodi. Analysis of Disease Maps. Awarded 2009

Statistician, MRC Public Health Unit, Glasgow University

Karen Lamb. Modelling Serotype and Sequence Type Information in the Carriage of Pneumococcal Infection. Awarded 2010

Statistician, MRC Public Health Unit, Glasgow University

Adam Wagner. Statistical Analysis of Spatially Linked Time Series. Awarded 2010.

Statistician, MRC Public Health Unit, Cambridge University

Stefan Flasche. Developing serotype-specific models of the transmission of pneumococcal carriage and the effect of pneumococcal conjugate vaccines on disease in the UK .Awarded 2012.

Modeller, LSHTM, London

Other Related Activities

External Links, Invited visits (since 1995).

ESRC Survey Link Fellow attached to the Centre for Educational Sociology, Edinburgh University. June 1987-1996

European Institute of Oncology, Division of Biostatistics and Epidemiology, Milan, Italy. Honorary Senior Research Associate 1994-1995

Statistical Assessor for British Journal of General Practise. July 1992-2000

Editorial Board, Journal of Epidemiology and Biostatistics. 1995- , Associate Editor 1997 – 1998, Executive Editor 1999 -2002

External Examiner, Undergraduate Classes Napier University, Edinburgh. 1995-1996

External Examiner, PhD Theses Keele University. 1990, 1995

Statistical Assessor on Data Monitoring Committee for EORTC Trial MAB Intermittent PGU P9401 1996-

Abbot Laboratories, Waukegan, Michigan, United States. Invited Statistical Consultant, June 9-13, 1997.

Merck Research Laboratories, Bluebell, Pennsylvania, United States. Invited Statistical Consultant, Sept 15-19, 1997.

Statistical Assessor on Data Monitoring Committee for Trial CAB Intermittent SEUG9901 1999-

Pierre Fabre Pharmaceuticals, Castres France. Statistical Consultant 1999-2001.

External Examiner, PhD Thesis Cardiff University. 2003

External Examiner, PhD Thesis Manchester University. 2004.

External Examiner, PhD Thesis University of Dijon. 2004

Royal Statistical Society, Medical Section Committee Member 2002-.06

Director of Frontier Science (Scotland) a charity conducting clinical trials in Scotland 2002-

Western Infirmary, Glasgow, Ethics Committee 2003-

Scientific Advisory Committee Meeting, *Boston Area Community Health Survey* 2001 - 5

Scientific Advisory Committee for the European Code Against Cancer Projections Sub-Group 2002-03

Scientific Advisory Committee for the European Cancer Mortality Atlas 2002-09

Scientific Advisory Committee for the Department of Health Project: Health effects of long-term exposure to air pollutants in Scotland 2002-

Data Safety Monitoring Committee for

- HRT and Low Dose Tamoxifen Study for the prevention of Breast Cancer (Italy) 2002-08
- Trials of intermittent therapy in advanced prostate cancer patients (Southern European Urology Group) 1997-2010
- Trials IEO007, IEO183 and IEO167. Chemoprevention studies in Italy, sponsored by the European Institute of Oncology 2002-08

Ethics Committee for International Breast Cancer Study Group 2006-
Scottish Government SCOTSTAT Committee for Health and Care 2004-
External Assessor for Information Services Division, NHS, Senior Promotions Panel
(April 2008)
Home Office Surveys, Design and Statistics Workshop, July 2008
Scientific Advisory Board for the Interdisciplinary Centre for Human and Avian
Influenza Research, Edinburgh University 2008-
Member of Data Safety Monitoring Committee for International Breast Cancer Study
Group Trials 2009-
Scientific Advisory Board for the MRSA Screening Programme, Health Protection
Scotland 2008-
SPI-M Department of Health Committee to inform on policy regarding H1N1
pandemic June 2009-Jan 2010

Professional Bodies

Fellow of the Royal Statistical Society (1978 -)

Awards

2000. Bruno Martinetto Prize for Contributions to Research in Chemoprevention in
Italy

Appendix III

Statistical analysis plan for the Northern European Database study of glargine insulin use and risk of cancer

Diabetes treatment and risk of cancer

Proposed analysis plan

(Condensed version)

Authors :

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Tuomilehto Jaakko; Reijo Sund;

Lars Vatten; Bjorn. O. Asvold

Helen Colhoun; Shona Livingstone; Daniel Levin;

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Objectives

The aim of this study is to compare the risk of cancer (primarily: breast, colorectal and prostate cancer; secondarily: lung, pancreatic and all cancers) in diabetic patients exposed to insulin glargine with that of patients prescribed other types of insulin.

The suggested analysis plan is a Two stage process with an Individual Level Analysis within each centre using the same statistical methodology followed by a combination of the within study estimated effects using meta – regression methods (Thompson et al 2010).

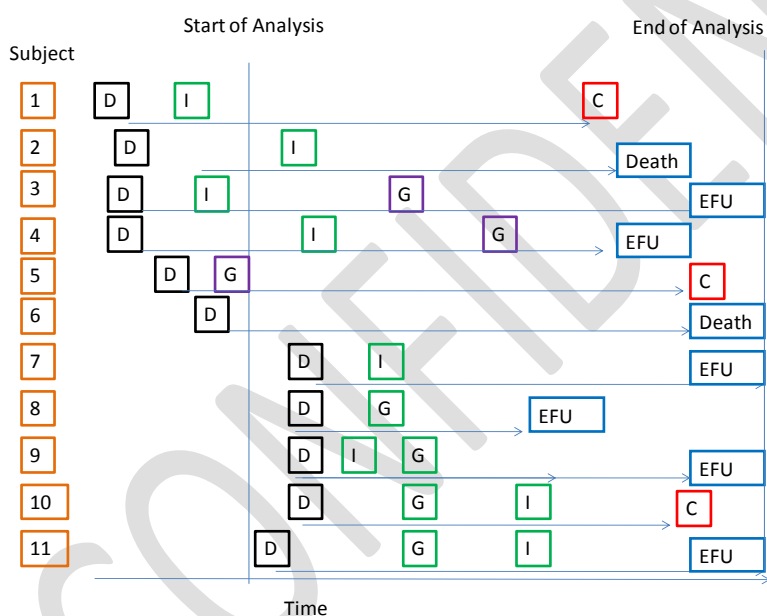
To make use of all the data from the opening of the registry until the end of the follow-up a survival model with time dependent covariates is an appropriate statistical model. This assumes that the hazards of a cancer during follow up are proportional and this would need to be checked.

Description of the data

Sources: prescription database, cancer registry, mortality registry, diabetes registry, confounding data from various other sources.

Two separate data sets will be used for the analyses: one for all patients included in the prescription registry and a second analysis is intended, as far as possible, to isolate newly diagnosed patients. The second analysis data set will be referred to as patients with no history of insulin in the preceding 6 months (PNHIP6M) and are presumed new initiators of any type of insulin (example patients 7-11 on Figure 1).

Figure 1: Illustration of different patient trajectories



In the trajectories **D** is date of diagnosis of Diabetes (which may not be known), **I** date of initiation of Non Glargine Insulin, **G** date of beginning Glargine, **C** Date of diagnosis of cancer, **Death** date of death and **EFU** date of end of follow up. Once a patient has entered the database of the treatment registry it will be assumed that the patient's therapy is known.

Patient	Ever Insulin	Ever Glargine	Start	End	Cancer
1	1	0	t0	tc	1
2	1	0	ti	tc	0
3	1	0	t0	tg	0
3	1	1	tg	tc	0
4	1	0	ti	tg	0
4	1	1	tg	tc	0
5	0	1	t0	tc	1
7	1	0	ti	tc	0
8	0	1	tg	tc	0
9	1	0	ti	tg	0
9	1	1	tg	tc	0
10	0	1	tg	ti	0
10	1	1	ti	tc	1
11	0	1	tg	ti	0
11	1	1	ti	tc	0

Table 3: Coding for survival model

(see also Appendix 4 for data management example)

← Ever/never analysis
↓ Dose response analysis

Patient	Glargine Dose Gp	start	end	Cancer
A	1 tg	tg+x		0
A	2 tg+x	tg+2x		0
A	3 tg+2x	tc		1
B	1 tg	tc		0
C	1 tg	tg+x		0
C	2 tg+x	tc		0

Exclusion criteria

Any patient with no record of any prescription of any type of insulin will be excluded. Patients in the Prescription Registry with a cancer prior to their start date (or within 3 months of their start date) will not be included in the analysis as it is not feasible to associate the cancer with the insulin treatment.

An additional reason to exclude the first 3 months from beginning of insulin treatment is the possibility that diabetes was caused by (or diagnosed following clinical workout for) cancer (reverse causality).

Gestational diabetes patients (when we can identify them via a diabetes registry) will be excluded.

Person time at risk

All analyses will exclude the first 3 months from the numerator and denominator and there will be no built in 'immortality'

The 3 month exclusion will be applied to initiations of all new insulins (glargine/non glargine long acting/non long acting insulins). This will effectively be done by shifting all prescription dates by 3 months.

Contrasts

The primary objective of this study is to assess the risk of cancer for the glargine users in comparison with all other insulin users.

Nevertheless it would be particularly interesting to consider a contrast between comparable insulin users: we have identified that users of long acting insulin have common characteristics, which is the reason why we will also run analyses where we separate non glargine users into: long acting on glargine (LANG) and non long acting insulin (NLA).

Table 4: All possible covariate patterns when considering non glargine long acting insulins separately from non long acting insulins and coding each as ever/never

Pattern	Sequence	E-NLA	E-LA	E-G	Start	End	Group	
A	1	1	0	0			1	Group1: non long acting insulin (NLA)
B	1	0	1	0			2	Group2: LANG
C	2	1	1	0			3	Group3: LANG + NLA
D	1	0	0	1			4	Group4: G
E	2	1	0	1			5	Group5: G+NLA
F	2	0	1	1			6	Group 6: G+LANG
G	3	1	1	1			7	Group7: G+LANG+NLA Proposed comparison

Groups 4 and 5 combined compared with groups 2 and 3 combined and group 4 compared to group 2. This will be a direct comparison of glargine users with patients who have received another long acting insulin (not Glargine - LANG).

2 types of analysis:

1) Ever/never analysis - ever exposed to glargine (or detemir) compared to never exposed to glargine(or detemir)

2) Dose response analysis - dose of glargine (or detemir) compared to non glargine (or non detemir)

Dose = cumulative exposure time to glargine – adding up durations between prescriptions; if duration > 6 months (181 days) then this is not counted in the cumulative time.

Proposed dose groups are <1 year, 1 - <2 years, 2 - <2 years, 3+ years.

Some countries have enough data for a 5th dose group, which will be accepted: <1 year, 1 - <2 years, 2 - <3 years, 3 - <4 years, 4+ years. Make sure that there are enough events in the 4+ years category though.

Patients who cease glargine/detemir will remain in their highest attained dose group for the duration of follow up.

*Dose response will be calculated for glargine and detemir; it will not be calculated for non long acting insulins.

Stratification

- Age Group at the beginning of the Patient Prescription Registry opening in 10 year age bands (<34, 35-44, ..., 65-74, 75+.). The follow up is not so long that it is necessary to have age group as a time dependent effect.
- Sex.
- Type of Diabetes, Type I and Type II separately.

Allocation bias

Allocation bias is the big problem and to control this (partly) Type 1 and Type 2 patients will be analysed separately. Adjustment for differences between Type 1 and Type 2 diabetics are likely to lead to more complex interaction models and it is simpler to fit separate models for each type and use a meta regression model to investigate if the effect of ever taking glargine on cancer risk is the same in type 1 patients compared to type 2.

Patients who take glargine on its own must be Type 2.

Type 1 patients can be of any age though age at first insulin below 35 is a good proxy for type 1

Type 1 patients more likely to have glargine are the relatively healthy and active.

Type 2 patients more likely to be on glargine are elderly, frail, and not coping on other insulins.

Confounders (most measured on study)

These will not be the same in all centres though if it is possible to identify a minimal set which are available then the following model will be used.

There will be missing values for the covariates. Imputations will be used.

But we have to make sure that multiple imputation should be conducted only if missing values represent a small proportion of the dataset. We should not apply it for variables missing in 50-90% of individuals.

Within each centre there will require to be the assessment of whether or not a potential confounder variable does require being in the model. Possible confounders are smoking status, deprivation etc,

Type of insulin regimen is associated with unmeasured confounders.

Age at the birth of the first (live?) child might be used for breast cancer over and above the variables used in the previous analysis.

Metformin will be included as a time dependent confounder among incident insulin users, coded as Ever/Never. This will result in a split in a follow up record for patients who are first prescribed during the follow up period. Metformin is the only time dependent confounder – all others will be considered fixed at baseline.

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Data and Estimates to be used for the meta-analysis

- Frequency table of the variables with the categorisation used.

For each variable entered in the model:

- a) For categorical variables provide a cross tabulation of this variable with the insulin groupings used in the model.
- b) For continuous variables provide summary statistics (n, min, max, mean, median, quartile 1, and quartile 3) for each insulin group.

- Results from each Cox model with the minimum following details:
 - Number of subjects included in the analysis.
 - Number of events.
 - For each parameter included in the model, the estimate and the corresponding standard error.
 - The covariance matrix estimated from the model.
 - A graph of the Schoenfeld residuals vs log of time (including if possible a smoothing curve).

Models

The basic model which can be fitted in all centres will be a **time dependent Cox proportional hazards** with time measured in days from the date at which the Prescription Registry opens. Stratification is on the basis of the combination of Age Group and Sex (strata). The main explanatory variable is the indicator variable denoting that the patient has ever taken Glargine (g) or the dose group variable.

Example for the ever/never analysis:

The data will be arranged in the 'long format' with up to two records for each patient with the start and end date of each episode and an indicator (cancer) denoting if the endpoint has occurred. Annex 4 present an example of database format. In *R* terminology the model can be written, using the *coxph()* function, as:

Surv(date.start,date.end,cancer) ~ strata(strata)+g

Ever/Never Glarine

Model: Ever/Never

Surv(date.start,date.end,cancer) ~ strata(strata)+g

Model 1 in Summary_AllCancers_May15.xlsx Sheet EverNever+Dose

Model: Ever/Never by Period

Surv(date.start,date.end,cancer) ~ strata(strata)+g + fu.period:g

Model 3 in Summary_AllCancers_May15.xlsx Sheet EverNever+Dose (slight modification for period to have 3 groups <1 year, 1-2 years 2+ years

Glargine/non Glargine Long Acting/non long acting (GLANLA)

Model: GLANLA_Group

Surv(date.start,date.end,cancer) ~ strata(strata)+GLANLA_group)

Cox Model	1		RR	LCL	UCL
		NLA	1.000		
		LA	0.000	0.000	Inf
		NLA+LA	0.961	0.697	1.325
		G	1.247	0.402	3.873
		NLA+G	0.824	0.637	1.066
		LA+G	0.000	0.000	Inf
		NLA+LA+G	1.451	0.689	3.055

Model 1 in Summary_AllCancers_May15.xlsx Sheet G+LA+NLA

Model: GLANLA_Contrast

Surv(date.start,date.end,cancer) ~ strata(strata)+ Ever Any LA + Any.Long.Alone+ G.comp.LA.Con)

4	NLA	1.000							
	Ever Any LA	0.910	0.741	1.117	LA, NLA+LA, G, NLA+G, LA+G, NLA+LA+G (1) NLA (0)				
	Any.Long.Alone	1.003	0.318	3.165	LA, G, LA+G (1) , Anything involving NLA (0)				
	G.comp.LA.Con	0.937	0.771	1.140	G, NLA+G (1) VS LA, NLA+LA (-1) - Contrast				

Model 4 in Summary_AllCancers_May15.xlsx Sheet G+LA+NLA

Model: GvsLA_Subset

analysis using only periods LA, G, NLA+LA, NLA+G

Surv(date.start,date.end,cancer) ~ strata(strata)+ G.comp.L.)

G.comp.LA is an indicator variable with G, NLA+G (1) VS LA, NLA+LA (0)

Model 5 in Summary_AllCancers_May15.xlsx Sheet G+LA+NLA

Dose Response

Model: G_DoseGp

$Surv(date.start, date.end, cancer) \sim strata(strata) + dose.gp$

	RR	LCL	UCL	
7	Never G	1.000		
	<1 year	0.964	0.595	1.564
	1-2	0.397	0.128	1.238
	2+	1.390	0.616	3.134

Model 7 in Summary_AllCancers_May15.xlsx Sheet EverNever+Dose

Model: G_DoseGp_Linear

$Surv(date.start, date.end, cancer) \sim strata(strata) + G + Linear.Trend$

8	Never G	1.000		
	Any G	0.811	0.492	1.336
	Linear	1.201	0.752	1.918

Any G is dose groups <1, 1-2, 2+ (1) compared to Never G (0); Linear is the linear trend 2+ (1), 1-2 (0), <1 (-1) Never G (0).

Model 8 in Summary_AllCancers_May15.xlsx Sheet EverNever+Dose

Model: LA_DoseGp

$Surv(date.start, date.end, cancer) \sim strata(strata) + la.dose.gp$

La.dose.gp is dose groups for non glargine long acting and have levels <1 year, 1-2 years, 2+ years

Model: G_LA_DoseGp

$Surv(date.start, date.end, cancer) \sim strata(strata) + dose.gp + la.dose.gp$

Summary

	Dataset						
	All Insulin Users	PNHIP6M					
Model: Ever/Never							
Model: Ever/Never by Period							
Model: GLANLA_Group							
Model: GLANLA_Contrast							
Model: GvsLA_Subset							
Model: G_DoseGp							
Model: G_DoseGp_Linear							
Model: LA_DoseGp							
Model: G_LA_DoseGp							
All models to be fitted with and without the indicator for Metformin							
Where possible							
All models to be fitted with and without the indicator for Metformin + Confounders							
Confounders - BMI, Smoking and there may be others							
All models to be fitted on all data and the subset of Type 2 diabetes only							
In some centres Type 2 diabetes will only be presumed from							
date of first prescription and so only for the PNHIP6M dataset							

	Most Important
	Desirable to Fit
	Don't Fit

The above analysis will be applied to each cancer site defined in Appendix 2: ICD codes.

The comparison of glargine against all other insulin will represent the main analysis since it is defined as the main endpoint in the operational protocol. Further analysis in order to identify if an increase risk of cancer is observed for long acting insulin separating glargine from long acting non glargine insulin will be conducted. An analysis will be conducted to compare glargine to other LANG, which is the most equivalent group to glargine (same indication for treatment).

Common steps:

- Starting date: first use of insulin following the introduction of Glargine in the country
- Follow-up: until either (1) date of cancer, (2) date of death (right censoring, only if death occurs before the last date of available data in cancer registry), (3) last date

of available data in cancer registry (right censoring, this date will be similar to most individuals in the study)

- Eligible insulin prescription: only those occurring 3 month or more before the censoring date (cancer, death, and last date in registry).
- All prescription dates should be shifted by 3 months for each individual, then usual data management should be conducted to classify individuals (i.e. a cancer occurring before the first prescription is not included). This will avoid including an immortal time bias since both events and person-years are removed from the 3 month period.
- Method: Cox proportional hazard model with time dependent variable for exposure variable
- Analysis stratified on gender and age group* => allowing different underlying hazard functions for each stratum.
- Analysis is also adjusted systematically for metformin use included in the model as a time dependent variable. The metformin variable will be entered as an ever/never variable.

*Age groups: <35, 35-44, .., 65-74, 75+.

Sensibility analysis

s – 1– Latency **

s – 1– 1: Analysis restricted to Patients with No History of Insulin in the Previous 8 Months (PNHIP8M)

s – 2– 2: Analysis restricted to Patients with No History of Insulin in the Previous 12 Months (PNHIP12M)

s – 2– Restricted **to type II diabetes****

s – 3– Adjusted for covariates**

Suggestion for the evaluation of the association between glargine use and potential confounders

To evaluate the potential impact of confounding factors, descriptive tables will be conducted to compare glargine users according to different covariates. Statistical analysis will also be conducted.

This would provide some information on the likely size of bias even in countries with data available on a limited subset and for which a fully adjusted analysis will not be possible.

For example, in the subset of individual with available data on BMI, a table in the following format would be useful

	BMI <25	BMI 25-29	BMI30+
Glargine users			
Other Long Acting insulin users			
Non long actin users (Intermediate acting + short acting)			

Such tables should be conducted for each available confounder; also produced stratified on age and sex and type I vs type II diabetes.

Then we could envisage a logistic regression investigating the predicting factors for being under glargine:

Proba(glargine/non glargine) = BMI (30+/25-29/<25 [ref]) + Age (categories) + sex + Smoking (Current/Former/Never[ref]) _____ analysis stratified on type I vs II diabetes

Same could then be conducted with a comparison to other long acting (essentially detemir).

Proba(glargine/other long acting) = BMI (30+/25-29/<25 [ref]) + Age (categories) + sex + Smoking (Current/Former/Never[ref]) _____ analysis stratified on type I vs II diabetes

Appendix1: Dates – definition

Terminology and source of dates

Patient Prescription Registry. This has records and dates of all insulin and metformin prescriptions.

Cancer Registry. This is assumed to have complete cancer registrations up to a certain date – Date Cancer Registry closed.

Date of cancer incidence

Mortality Registry. This is assumed to have complete death registrations up to a certain date – Date Mortality Registry closed.

Date of Death

Diabetes registry: In addition, some centres may have a diabetes registry of patients diagnosed with diabetes and from this the *date of diagnosis* of diabetes and type of diabetes will be available.

Study period: The study will be conducted between the first recorded date of glargine use in the country, until the last date available from cancer registry data.

Centre	Reason	Start Date	End Date
Denmark	Glargine first used	1 May 2004	31 Dec 2009
Finland	Glargine first used	1 July 2003	31 Dec 2009
Norway	Prescription Database Start Glargine first used on	1 January 2004	31 Dec 2008
Scotland	Glargine first used	23 Aug 2002	31 Dec 2007
Sweden	Prescription Database Start Glargine first used on	1 July 2005	31 Dec 2009

End Date

For patients with an event (cancer) their last time on study is the date of cancer.

For patients who died without/with an event (cancer) their last time on study is the date of death or date the cancer registry closed (whichever is the earliest).

For patients with no event (cancer) and no entry in the Mortality Registry their last time on study is the date the cancer registry closed.

Start Date

The beginning of the study period will correspond to the first record of Glargine prescription in the country.

Glargine was first licensed in 2002 and there is merit in beginning the study in each centre at some time after this point as then the exposures to the different types of insulin will be relatively balanced over time. If a country has data prior to 2002 then this could be used to increase precision of the estimated effect of non glargine insulin by using a longer follow up time but would not have much impact on the estimated effect of Glargine use and the comparison of Glargine use with non Glargine use. Furthermore a bias may be introduced if there is a change to the detection of cancers – for example by screening effects which change over time.

For patients already in the Patient Prescription Registry at the beginning of the study period their start date will be the beginning of the study date.

For patients who are first recorded in the Patient Prescription Registry after the beginning of the study period their start date will be their date of first prescription.

Appendix 2: ICD codes

Codification of cancer cases in cancer registries for Glagine study - based on ICD codes

Site	Code ICD7	ICD8	ICD9	ICD10
All cancers (but non-melanoma skin cancers)	140-205 but 191	140-209 but 173	140-208 but 173	C00-C96 but C44
Breast *	170	174	174	C50
Colorectal **	153-154 **	153-154 **	153-154	C18-C21
Prostate	177	185	185	C61
Lung ***	162-163 ***	162	162	C33-C34
Pancreas	157	157	157	C25

* Only to be used for women

** Includes intestinal tract NOS => ICD7-8 no perfectly comparable to ICD 9-10

*** Includes trachea, bronchus and pleura => ICD7 no perfectly comparable to ICD 8-10

Appendix 3: ATC codes

A10A Insulins and analogues

A10AB Insulins and analogues for injection, fast-acting

A10AB01 Insulin (human)

A10AB02 Insulin (beef)

A10AB03 Insulin (pork)

A10AB04 Insulin lispro

A10AB05 Insulin aspart

A10AB06 Insulin glulisine

A10AB30 Combinations

A10AC Insulins and analogues for injection, intermediate-acting

A10AC01 Insulin (human)

A10AC02 Insulin (beef)

A10AC03 Insulin (pork)

A10AC04 Insulin lispro

A10AC30 Combinations

A10AD Insulins and analogues for injection, intermediate-acting combined with fast-acting

A10AD01 Insulin (human)

A10AD02 Insulin (beef)

A10AD03 Insulin (pork)

A10AD04 Insulin lispro

A10AD05 Insulin aspart

A10AD30 Combinations

A10AE Insulins and analogues for injection, long-acting

A10AE01 Insulin (human)

A10AE02 Insulin (beef)

A10AE03 Insulin (pork)

A10AE04 Insulin glargine

A10AE05 Insulin detemir

A10AE30 Combinations

A10AF Insulins and analogues for inhalation

A10AF01 Insulin (human)

To summarize:

We will use the following ATC codes:

Glargine = A10AE04.

Long acting non glargine = A10A01, A10A02, A10A03, A10A05, A10A30.

Non long acting insulin = A10AB (all subcategories), A10AC (all subcategories), A10AD (all subcategories).

Metformin = A10BA02

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Appendix IV

Scientific Steering Committee

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An independent **Scientific Steering Committee** will be appointed to oversee the study and advise on treatment, methodological and biostatistical issues. This group will meet regularly throughout the duration of the study and incorporate a **Biostatistical Advisory Group**, to advise on all aspects of the design and data analysis.

The international **Scientific Steering Committee** will comprise:

Professor Geremia Bolli
University of Perugia
Perugia, Italy

Professor Stuart Pocock
University College London
London, United Kingdom

Professor Ian Ford
Robertson centre for Biostatistics
University of Glasgow,
Glasgow, United Kingdom

Professor Theodore Holford
Department of Biostatistics
Yale University School of Public Health
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Appendix V

ATC List of Anti-Diabetic Drugs

CONFIDENTIAL

A10 - Drugs used in diabetes*

A10A - Insulins and analogues

A10AB - Insulins and analogues for injection, fast-acting

A10AB01 - Insulin (human)
A10AB02 - Insulin (beef)
A10AB03 - Insulin (pork)
A10AB04 - Insulin lispro
A10AB05 - Insulin aspart
A10AB06 - Insulin glulisine
A10AB30 - Combinations

A10AC - Insulins and analogues for injection, intermediate-acting

A10AC01 - Insulin (human)
A10AC02 - Insulin (beef)
A10AC03 - Insulin (pork)
A10AC04 - Insulin lispro
A10AC30 - Combinations

A10AD - Insulins and analogues for injection, intermediate-acting combined with fast-acting

A10AD01 - Insulin (human)
A10AD02 - Insulin (beef)
A10AD03 - Insulin (pork)
A10AD04 - Insulin lispro
A10AD05 - Insulin aspart
A10AD30 - Combinations

A10AE - Insulins and analogues for injection, long-acting

A10AE01 - Insulin (human)
A10AE02 - Insulin (beef)
A10AE03 - Insulin (pork)
A10AE04 - Insulin glargine
A10AE05 - Insulin detemir
A10AE30 - Combinations

A10AF - Insulins and analogues, for inhalation

A10AF01 - Insulin (human)

A10B - Blood glucose lowering drugs, excluding insulins

A10BA - Biguanides

A10BA02 - Metformin

A10BB - Sulfonamides, urea derivatives

A10BB12 - Glimepiride

A10BD - Combinations of oral blood glucose lowering drugs

A10BD03 - Metformin and rosiglitazone

A10BD04 - Glimepiride and rosiglitazone

A10BD05 - Metformin and pioglitazone

A10BD06 - Glimepiride and pioglitazone

A10BD07 - Metformin and sitagliptin

A10BD08 - Metformin and vildagliptin

A10BD11 - Metformin and linagliptin

A10BG - Thiazolidinediones

A10BG02 - Rosiglitazone

A10BG03 - Pioglitazone

A10BH - Dipeptidyl peptidase 4 (dpp-4) inhibitors

A10BH01 - Sitagliptin

A10BH02 - Vildagliptin

A10BH03 - Saxagliptin

A10BH05 - Linagliptin

A10BX - Other oral blood glucose lowering drugs

A10BX02 - Repaglinide

A10BX03 - Nateglinide

A10BX04 - Exenatide

A10BX06 - Benfluorex

A10BX07 - Liraglutide

*Source: <http://ec.europa.eu/health/documents/community-register/html/atc.htm>