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| TITLE | COMPARATIVE EFFECTIVENESS AND SAFETY OF BUDESONIDE STERINEBS® VS. PULMICORT RESPULES® IN A US POPULATION OF ASTHMA PATIENTS. |
| Subtitle | Historic cohort, US database study comparing effectiveness and safety of nebulised medication labelled by TEVA Ltd (Budesonide SteriNeb®) against the originator product (Pulmicort Respules®), in patients with a diagnosis for asthma. |
| Protocol version identifier | v04 |
| Active substance | Budesonide |
| Medicinal product | Budesonide SteriNeb® 0.25 mg/0.50 mg |
| National Drug Code (NDC) | 0093-6815-73 (0.25 mg) 0093-6816-73 (0.50 mg) |
| Marketing authorization holder | Arrow Generics Ltd InsideView Technologies, Inc. 444 De Haro Street, Suite 210 San Francisco, CA 94107 USA |
| Marketing category and application number | ANDA-077519 |
| Research questions and objectives | To examine if nebulised medication labelled by TEVA Ltd (Budesonide SteriNeb®) is non-inferior (as effective and safety as) to the originator product (Pulmicort Respules®). |
| Country of study | US |
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1. BACKGROUND AND RATIONALE

Teva Ltd is a global company ranking among the 10 top pharmaceutical companies in the world. Headquartered in Israel, Teva is active in 60 countries, with over 46,400 dedicated employees worldwide. The company is now looking to launch 3 different nebuliser products in China where the market is rapidly expanding: Budesonide SteriNeb[®], Salbutamol SteriNeb[®] and Ipratropium Bromide/Albuterol SteriNeb[®]. This protocol focuses on Budesonide SteriNeb[®].

Budesonide SteriNeb[®] is an inhalation suspension containing Budesonide, an anti-inflammatory corticosteroid that exhibits potent glucocorticoid activity. It is used for long-term maintenance therapy to control and prevent asthma symptoms and as prophylactic therapy in children 12 months to 8 years of age.

In 2008, Budesonide SteriNeb[®] has been approved by the US Food and Drug Administration and in December 2009 was launched on the market as generic product of Pulmicort Respules[®], which is marketed worldwide, including China.

In order to support a clinical trial waiver for marketing Budesonide SteriNeb[®] in China, Teva will provide recent data demonstrating their product is not inferior to the originator. To accomplish this, an observational data-base study will be conducted comparing effectiveness and safety of the long-term usage of the two products in a US asthma population. A regulatory standard protocol, together with the completed analysis will be produced for the submission to Chinese regulators.

2. AIM AND OBJECTIVES

The aim of this study is to compare Budesonide SteriNeb[®] with its originator, Pulmicort Respules[®]. The primary objective is to assess whether effectiveness (in terms of exacerbations) of Budesonide SteriNeb[®] is non-inferior to that of Pulmicort Respules[®] in both adult and children diagnosed with asthma. The secondary objective is to compare safety of Budesonide SteriNeb[®] with Pulmicort Respules[®] in both adults and children diagnosed with asthma.

3. DATA SOURCE AND EXTRACTION

This study will use the Clinformatics™ Data Mart (CDM) database¹, an anonymous patient longitudinal database (APLD, US observational data), which contains retrospective claims data (2000-2012) from an employed, commercially insured United States population, including more than 45 million unique members. It is provided by OptumInsight Life Sciences² and contains:

- Medical claims (primary care and secondary care)
- Pharmacy claims
- Laboratory results
- Pricing information

Data from this database will be obtained for the final analysis using an appropriate data-extraction algorithm. Obtained data will be then validated and cleaned for further statistical analysis.

4. RESEARCH METHODS

4.1 Study products

- Reference Therapy: PULMICORT RESPULES®

Originator product consisting of a suspension for inhalation via jet nebuliser containing the corticosteroid budesonide. Three dose strengths are available in single-dose ampules (Respules™ ampules): 0.25 mg; 0.50 mg and 1 mg per 2 mL.

- Investigational Product: BUDESONIDE STERINEBS®

Generic product of Pulmicort Respules®. It is a suspension for inhalation via jet nebuliser containing the corticosteroid budesonide and is available in two dose strengths: 0.25 mg and 0.50 mg per 2 mL.

4.2 Study period

The date of first launch of Budesonide SteriNeb® in US is December 2009. In order to include as many patients as possible, the study period will cover 2 years within a maximum period

¹ www.optum.com

² www.optuminsight.com

from November 2008 (1 year before drug launch) until last available data on the CDM database (September 2012).

4.3 Study design

This study is a two-year matched historic cohort, database study consisting of one-year baseline period, an index prescription date (IPD) and a one-year outcome period.

The baseline period is intended for patient characterization and confounder definition and is the one-year prior to IPD. **The IPD** is defined as the date (day/month/year) at which:

(1) CHANGE SUB-COHORT: asthma patients who were on Pulmicort Respules[®] in baseline changed to Budesonide SteriNeb[®] (patients receive ≥ 1 prescription)³.

(2) CONTINUING SUB-COHORT: asthma patients who were on Pulmicort Respules[®] in baseline received ≥ 1 continued prescription for Pulmicort Respules[®].

(3) INITIATION SUB-COHORTS: asthma patients who were not on ICS nebulisers in baseline initiated on either Pulmicort Respules[®] or Budesonide SteriNeb[®].

Change and initiation sub-cohorts for Budesonide SteriNeb[®] will be combined to form the “Budesonide SteriNeb[®] treatment group” and compared to “Pulmicort Respules[®] treatment group” consisting of a combination of continuing and initiation sub-cohorts for Pulmicort Respules[®]. Matching will be performed between the two initiation sub-cohorts and between the change and continuing sub-cohorts to ensure comparison of homogeneous groups of patients. A sub-analysis comparing the two initiation sub-cohorts only will also be performed as a sensitivity analysis to confirm the main results. Effectiveness outcomes over the one-year outcome period following IPD will be compared between the treatments.

The outcome period is one-year period following IPD and will be used to compare effectiveness and safety of Budesonide SteriNeb[®] versus Pulmicort Respules[®]. One-year time period is deemed necessary to record any measurable change in outcomes such as hospitalisations, and also to allow for seasonal changes in respiratory disease and its related conditions.

³ These patients may later change to Budesonide SteriNeb[®] after the one year outcome period. This may allow for some patients to be included in both cohorts. We will ensure that these patients will not be used as their own control and will not be matched during statistical analysis.

Prescription date = Date at which patients receive:

- first prescription for inhaled corticosteroid (ICS) Nebuliser (either Pulmicort Respules® or Budesonide SteriNeb®) - **Initiation sub-cohorts**
- first prescription for Budesonide SteriNeb® - **Change sub-cohort**
- continued prescriptions for Pulmicort Respules® - **Continue sub-cohort**

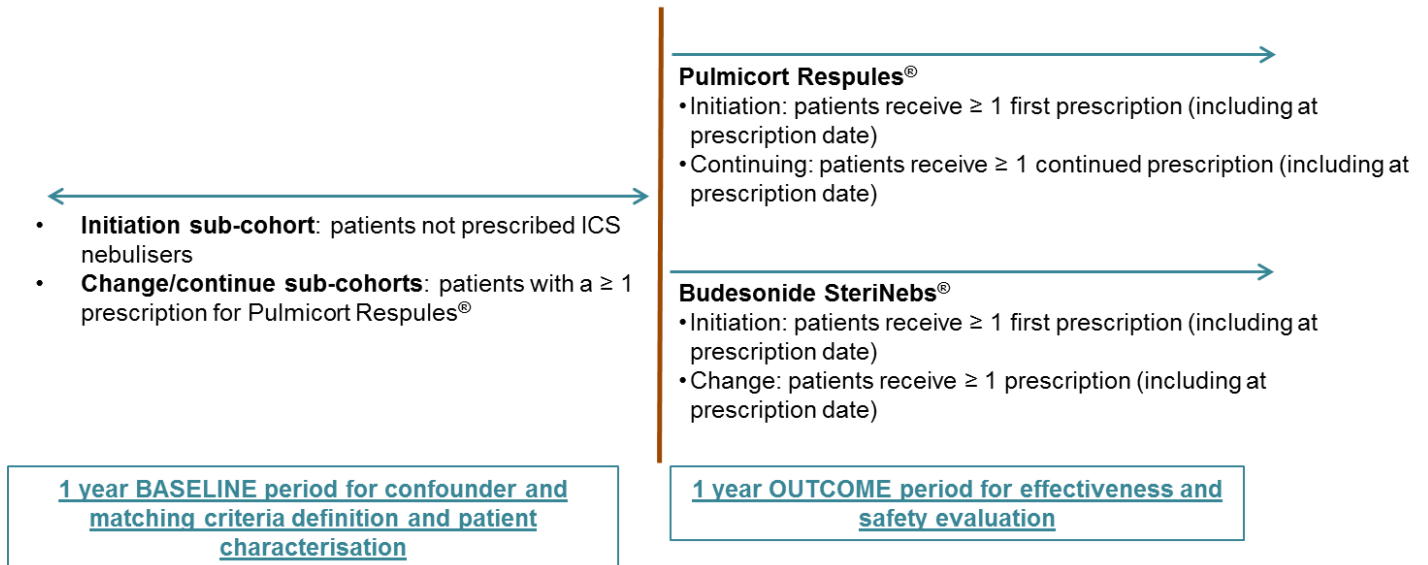


Figure 1: Study design

4.4 Study population

People who have been diagnosed with asthma and have been prescribed Pulmicort Respules® will be included in the analysis. Patients must meet the following criteria:

Inclusion criteria:

- Aged 1-80 years
 - Adult population: 12-80 years
 - Paediatric population: ≥1 and <12 years
- Diagnosis for asthma (at any time), based on ICD9 codes (Annex 1)
- Change sub-cohort: ≥1 prescription for Pulmicort Respules® in baseline (1 year prior to IPD) and ≥1 prescriptions for Budesonide SteriNeb® at IPD
- Continuing sub-cohort: ≥1 prescription for Pulmicort Respules® during baseline (1 year prior to IPD) and ≥1 continued prescription for Pulmicort Respules® at IPD
- Initiation sub-cohorts: no prescriptions for ICS nebulisers in baseline (1 year prior to IPD) and ≥1 prescription for either Budesonide SteriNeb® or Pulmicort Respules® at IPD

Exclusion criteria:

- Any other chronic respiratory disease other than asthma
- Use of other ICS nebulisers besides Pulmicort Respules® or Budesonide SteriNeb® in the baseline (1 year prior to IPD).

5. VARIABLES

5.1 Primary outcome

Primary outcome of this study is “effectiveness”, evaluated in terms of:

- Asthma-related⁴ hospitalisation rate (number of hospitalisations in the outcome year)
- Severe exacerbation rate (number of American Thoracic Society (ATS)/European Respiratory Society (ERS) exacerbations in the outcome year)

Whereby:

Asthma-related hospitalisation is defined as:

- Asthma-related emergency department (ED) visits OR
- Asthma-related Inpatient admissions

Severe exacerbation is defined as⁵ (ATS/ERS definition):

- Asthma-related hospitalisation (as defined above), OR
- Prescription for an acute course of oral steroids⁶ from a lower respiratory event⁷

5.2 Secondary (exploratory) outcome

Secondary outcome of this study is “safety”, evaluated in terms of Adverse Events (AEs).

⁴ Asthma-related is defined as one of the diagnostic codes for asthma; or other non-chronic lower respiratory diseases; or lower respiratory tract infection (LRTI: pneumonia, influenza, bronchitis & bronchiolitis or other) as defined in appendix 1.

⁵ When two exacerbations occur within two weeks from each other – they are considered as the same exacerbation and will only be counted once

⁶ Acute oral steroid use will be defined as all courses where dosing instructions suggest exacerbation treatment (e.g. 6,5,4,3,2,1 reducing, or 30mg as directed) and/or all courses unlikely to be maintenance therapy, i.e. with no dosing instructions but recorded within a ± 5 -day window from a lower respiratory event (as defined in foot note 7)

⁷ A lower respiratory event is either an asthma-related ED visit/ hospital admission/ ambulatory visit (as defined in foot note 4) or a respiratory investigation recorded within a ± 5 -day window from the prescription. A respiratory investigation comprises one of the following clinical procedure: chest radiograph (x-ray), chest computerised tomography (CT) scan, bronchogram, pneumogram, chest sonogram, lung biopsy and bronchoscopy (see appendix 2)

Unique AEs are not identified in the CDM database. Instead pre-defined adverse terms can be identified and coded according to the Medical Dictionary for Regulatory Activities (MedDRA) standards. These will include AE typical of ICS use and as specified in the summary of study product characteristics.

In order to do so, we will use ICD-9 Codes and convert them to MedDRA codes categorised by disease area (e.g. cardiovascular events, renal events).

Data will be extracted on all adverse events, serious or otherwise. Events of particular note include:

- Cardiac events
- Glaucoma
- Prostatic hypertrophy
- Respiratory adverse events
- Death (serious AE)

5.3 Demographics and baseline variables

In order to capture real-world data on the utilisation of Budesonide SteriNeb[®] and Pulmicort Respules[®] in clinical practice, the patients prescribed these therapies will be characterised according to their:

- Age at IPD and sex
- Prior asthma maintenance therapy (maintenance therapy prescribed before IPD)
- Co-morbidities (presence of co-morbid diagnoses, also using the Charlson Comorbidities Index)
- Baseline co-medication (presence of prescription for gastroesophageal reflux disease (GERD), (captured only with a diagnosis of GERD), acetaminophen (paracetamol) and antibiotics (abx)
- Ambulatory visits⁸ (asthma-related visits, any visits)
- Number of asthma “clinical” exacerbations, defined as:
 - Severe ATS/ERS exacerbation (as defined above) OR
 - Course for antibiotics from a lower respiratory event⁷
- Disease control in the year prior to IPD, defined as:

⁸Ambulatory visits can either be primary care visits or outpatient department visit

Risk domain asthma control

Where control is defined as the absence of:

- Severe exacerbations AND
- Out-patient department attendances

Overall asthma control

Where control is defined as:

- Risk Domain Asthma control AND
- Average daily dose of ≤ 180 mcg albuterol

6. STATISTICS

Analyses will be carried out using SPSS Statistics 21 (IBM SPSS Statistics, UK) and SAS 9.3 (SAS Institute, UK) software.

6.1 Power calculation

A previous study has reported that 26.1% of asthma patients (2,019 out of 7,734) using ICS have at least one exacerbation in the one-year period after initiation. Assuming the proportion in the standard group is 26.1% and the expected difference between the proportions is 0, a sample size of 2,172 in each group would be required to adequately power the study in a two-group large-sample normal approximation, with a one-sided 0.050 significance level. This would provide 90% power to reject the null hypothesis that the investigational and the reference are not equivalent, i.e. the difference in proportions is -3.9% (15% of 26.1%) or further from zero in the same direction.

Number of patients potentially available from the US database are reported below:

| Study drugs | NDC codes | strength | Launch date | Patient Numbers |
|---|-------------|-------------|-------------|-----------------|
| Budesonide SteriNeb® (Teva USA) | 00093681573 | 0.25 mg/2mL | 11-19-2008 | 74,814 |
| | 00093681673 | 0.5 mg/2mL | 11-19-2008 | |
| Pulmicort Respules® (AstraZeneca LP) | 00186198804 | 0.25 mg/2mL | 09/08/2000 | 115,029 |
| | 00186198904 | 0.5 mg/2mL | 09/08/2000 | |
| | 00186199004 | 1 mg/2mL | 09-17-2007 | |

6.2 Exploratory analysis

Prior to the extended statistical analysis, an exploratory analysis of each cohort will be carried out for data validation and to identify potential outliers. The exploratory analysis will also help to investigate possible baseline differences between the two cohorts in order to evaluate whether the analysis may benefit from matching. Unmatched/matched statistical analyses

will be performed using appropriate regression modelling. This robust statistical approach minimizes potential confounding of results by indication or severity. Statistically significant results will be defined as $p < 0.05$ and trends as $p < 0.10$.

6.3 Summary statistics

Summary statistics will be produced for all baseline and outcome variables, as a complete dataset and by treatment, including:

(1) Variables measured on the interval/ratio scale:

- Sample size (n) and percentage non-missing
- Mean and Variance / Standard Deviation
- Range (Minimum / Maximum)
- Median and Inter-quartile Range (25th and 75th percentiles)

(2) Categorical variables:

- Sample size (n)
- Range (if applicable)
- Count and Percentage by category (distribution)

6.4 Comparisons between treatment groups

Treatment groups will be compared using the following tests:

(1) Variables measured on the interval/ratio scale:

- t-test (normal distribution)
- Mann Whitney U-test (skewed data)

(2) Categorical variables:

- Chi square test

6.5 Patient matching

If necessary depending on baseline results, individual patients in the two treatment groups (i.e. Pulmicort Respules® or Budesonide SteriNeb®) will be matched to ensure the comparison of like patients. All the valid records satisfying inclusion and exclusion criteria in the Pulmicort Respules® study cohort are considered as potential 1:1 matches to Budesonide SteriNeb® patients. The final selection of matched patients will ensure that only unique patients are selected from all cohorts by random methods. Random selection process through SAS statistical software will be used to avoid selection bias. Patients initiating on Pulmicort Respules® will be matched with patients initiating on Budesonide SteriNeb® and patients in

the continuing cohort will be matched with patients in the change cohort. The matching criteria and matching ratio will be determined once the baseline data are examined. Baseline characterisation will be via demographics and clinical variables (for example age, gender, baseline exacerbations, acute oral steroid use or average daily SABA inhalers use during baseline). Any residual differences between the treatment groups after matching that are considered to be potentially significant ($p < 0.10$) and any variables predictive of the outcome will be adjusted for through further statistical modelling. When variables are co-linear in nature, clinical input will be sought to decide which of those that are co-linear are put into the model.

6.6 Comparisons between effectiveness outcomes (primary analyses)

(1) Asthma-related hospitalisation rate

Hospitalisation in the outcome period will be compared between treatment groups using a Conditional Poisson regression model. The model will use empirical standard errors (for more conservative confidence interval estimations) and adjustments will be made for potential baseline confounders. The adjusted rate ratio with 95% confidence interval will be reported.

(2) Severe exacerbations rate

Exacerbations rates in the outcome period will be compared between treatment groups using a conditional Poisson regression model. The model will use empirical standard errors (for more conservative confidence interval estimations) and adjustments will be made for potential baseline confounders. The adjusted rate ratio with 95% confidence interval will be reported.

Baseline characterisation will be used to adjust for confounding factors. Those variables that will be significantly different or show a trend towards a difference ($p < 0.10$) between the treatment groups at baseline will be included as potential confounding factors. In addition, variables that are found to be predictive ($p < 0.05$) of the outcome through multivariate analysis will also be considered as potential confounders.

6.7 Comparisons among safety variables (secondary/exploratory analyses)

AEs rates (as total and individual events) in the outcome period will be compared between treatment groups using a conditional Poisson regression model. The model will use empirical standard errors (for more conservative confidence interval estimations), and adjustments will

be made for potential baseline confounders. The adjusted rate ratio with 95% confidence interval will be reported.

A more detailed description of the statistical analysis is reported in the attached statistical analysis plan (SAP).

7. LIMITATIONS OF RESEARCH METHODS

As with all database studies, a number of limitations exists for which it is not possible to adjust (e.g. potential confounding factors with the problem of internal validity).

The methods of adjustment described in the Study Design will be used to address all factors for which it is possible to account for. Given the inherent limitations of database studies, however, the study results need to be viewed in conjunction with those from other studies, in particular randomised controlled trials.

8. PROTECTION OF HUMAN SUBJECTS

Due to the sensitive nature of personal medical data, all the researchers involved in this study are aware of ethical and regulatory aspects and strive to take all reasonable measures to ensure compliance with ethical and regulatory issues on privacy. The CDM database used for this study is already used for Pharmacoepidemiological research⁹ and has a well-developed mechanism to ensure that regulations dealing with ethical use of the data and adequate privacy control are adhered to.

9. REGULATORY AND ETHICAL COMPLIANCE

This study was designed and shall be implemented and reported in accordance with the criteria of the “European Network Centres for Pharmacoepidemiology and Pharmacovigilance (ENCePP) study” and follows the ENCePP Code of Conduct (EMA 2014)¹⁰.

10. DISSEMINATION PLAN

This study will be registered with ENCePP with the aim of presenting initial results in poster/oral format at appropriate thoracic conferences. At least one manuscript containing more detailed results and methodology will be submitted to a journal specialising in

⁹ Tkacz J et al. Clin Ther. 2014; 36(5):737-47.

Oleen-Burkey M et al. BMC Neurol. 2014; 14;14:11.

Lin J et al. J Med Econ. 2013; 16(5):685-90.

Oleen-Burkey M et al. J Med Econ. 2013; 16(3):397-406.

¹⁰ Revision 3 of the ENCePP Code of Conduct, available at: http://www.encepp.eu/code_of_conduct/.

respiratory medicine. Submission for publications should be made as soon as the analyses are completed and the results are verified. Preferred respiratory congresses and journals will be agreed in discussion with Teva Ltd.

11. STUDY TEAM

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12. ANNEX 1

ICD-9 disease classification:

| VARIABLE | CATEGORY | ICD-9 CODES | | | | | |
|----------------------------------|---|-------------|-------|-------|-------|-------|-------|
| BMI | Underweight <ul style="list-style-type: none"> Adults: <19 kg/m² Paeds: <5th percentile | 78322 | V850 | V8551 | | | |
| | Normal <ul style="list-style-type: none"> Adults: 19- 24.9 kg/m² Paeds: 5th -<85th percentile | V851 | V8552 | | | | |
| | Overweight <ul style="list-style-type: none"> Adults: 25-29.9 kg/m² Paeds: 8 5th -<95th percentile | 27802 | V8522 | V8523 | V8525 | V8553 | |
| | | V852 | V8521 | V8524 | | | |
| | Obese <ul style="list-style-type: none"> Adults: >=30 kg/m² Paeds: >=95th percentile | 27800 | V8534 | V8541 | V8531 | V8538 | |
| | | 27801 | V8535 | V8542 | V8532 | V8539 | |
| | | V853 | V8536 | V8543 | V8533 | V854 | |
| | | V8530 | V8537 | V8544 | V8545 | V8554 | |
| | Asthma & COPD diagnosis | Asthma | 49300 | 49311 | 49390 | 49310 | 49392 |
| | | | 49301 | 49312 | 49391 | 49381 | 49382 |
| 49302 | | | 49310 | | | | |
| Asthma + COPD | | 49320 | 49321 | 49322 | | | |
| COPD | | 490 | 49122 | 4940 | 49120 | 4928 | |
| | | 4910 | 4918 | 4941 | 49121 | 5181 | |
| | | 4911 | 4919 | 496 | 4920 | 5182 | |
| Other lower respiratory diseases | | Chronic | 01000 | 01191 | 01381 | 01611 | 01751 |
| | | | 01001 | 01192 | 01382 | 01612 | 01752 |
| | | | 01002 | 01193 | 01383 | 01613 | 01753 |
| | 01003 | | 01194 | 01384 | 01614 | 01754 | |
| | 01004 | | 01195 | 01385 | 01615 | 01755 | |
| | 01005 | | 01196 | 01386 | 01616 | 01756 | |
| | 01006 | | 01200 | 01390 | 01620 | 01760 | |
| | 01010 | | 01201 | 01391 | 01621 | 01761 | |
| | 01011 | | 01202 | 01392 | 01622 | 01762 | |
| | 01012 | | 01203 | 01393 | 01623 | 01763 | |
| | 01013 | | 01204 | 01394 | 01624 | 01764 | |
| | 01014 | | 01205 | 01395 | 01625 | 01765 | |
| | 01015 | | 01206 | 01396 | 01626 | 01766 | |
| | 01016 | | 01210 | 01400 | 01630 | 01770 | |
| | 01080 | | 01211 | 01401 | 01631 | 01771 | |
| | 01081 | | 01212 | 01402 | 01632 | 01772 | |
| | 01082 | | 01213 | 01403 | 01633 | 01773 | |
| | 01083 | | 01214 | 01404 | 01634 | 01774 | |
| | 01084 | | 01215 | 01405 | 01635 | 01775 | |
| | 01085 | | 01216 | 01406 | 01636 | 01776 | |
| | 01086 | | 01220 | 01480 | 01640 | 01780 | |
| | 01090 | | 01221 | 01481 | 01641 | 01781 | |
| | 01091 | | 01222 | 01482 | 01642 | 01782 | |
| | 01092 | | 01223 | 01483 | 01643 | 01783 | |
| | 01093 | | 01224 | 01484 | 01644 | 01784 | |
| | 01094 | | 01225 | 01485 | 01645 | 01785 | |
| | 01095 | | 01226 | 01486 | 01646 | 01786 | |
| | 01096 | | 01230 | 01500 | 01650 | 01790 | |
| | 01100 | | 01231 | 01501 | 01651 | 01791 | |

| | | | | | | |
|--|--|-------|-------|-------|-------|-------|
| | | 01101 | 01232 | 01502 | 01652 | 01792 |
| | | 01102 | 01233 | 01503 | 01653 | 01793 |
| | | 01103 | 01234 | 01504 | 01654 | 01794 |
| | | 01104 | 01235 | 01505 | 01655 | 01795 |
| | | 01105 | 01236 | 01506 | 01656 | 01796 |
| | | 01106 | 01280 | 01510 | 01660 | 01800 |
| | | 01110 | 01281 | 01511 | 01661 | 01801 |
| | | 01111 | 01282 | 01512 | 01662 | 01802 |
| | | 01112 | 01283 | 01513 | 01663 | 01803 |
| | | 01113 | 01284 | 01514 | 01664 | 01804 |
| | | 01114 | 01285 | 01515 | 01665 | 01805 |
| | | 01115 | 01286 | 01516 | 01666 | 01806 |
| | | 01116 | 01300 | 01520 | 01670 | 01880 |
| | | 01120 | 01301 | 01521 | 01671 | 01881 |
| | | 01121 | 01302 | 01522 | 01672 | 01882 |
| | | 01122 | 01303 | 01523 | 01673 | 01883 |
| | | 01123 | 01304 | 01524 | 01674 | 01884 |
| | | 01124 | 01305 | 01525 | 01675 | 01885 |
| | | 01125 | 01306 | 01526 | 01676 | 01886 |
| | | 01126 | 01310 | 01550 | 01690 | 01890 |
| | | 01130 | 01311 | 01551 | 01691 | 01891 |
| | | 01131 | 01312 | 01552 | 01692 | 01892 |
| | | 01132 | 01313 | 01553 | 01693 | 01893 |
| | | 01133 | 01314 | 01554 | 01694 | 01894 |
| | | 01134 | 01315 | 01555 | 01695 | 01895 |
| | | 01135 | 01316 | 01556 | 01696 | 01896 |
| | | 01136 | 01320 | 01560 | 01700 | 5110 |
| | | 01140 | 01321 | 01561 | 01701 | 5111 |
| | | 01141 | 01322 | 01562 | 01702 | 4950 |
| | | 01142 | 01323 | 01563 | 01703 | 4951 |
| | | 01143 | 01324 | 01564 | 01704 | 4952 |
| | | 01144 | 01325 | 01565 | 01705 | 4953 |
| | | 01145 | 01326 | 01566 | 01706 | 4954 |
| | | 01146 | 01330 | 01570 | 01710 | 4955 |
| | | 01150 | 01331 | 01571 | 01711 | 4956 |
| | | 01151 | 01332 | 01572 | 01712 | 4957 |
| | | 01152 | 01333 | 01573 | 01713 | 4958 |
| | | 01153 | 01334 | 01574 | 01714 | 4959 |
| | | 01154 | 01335 | 01575 | 01715 | 4760 |
| | | 01155 | 01336 | 01576 | 01716 | 4761 |
| | | 01156 | 01340 | 01580 | 01720 | 500 |
| | | 01160 | 01341 | 01581 | 01721 | 501 |
| | | 01161 | 01342 | 01582 | 01722 | 502 |
| | | 01162 | 01343 | 01583 | 01723 | 503 |
| | | 01163 | 01344 | 01584 | 01724 | 504 |
| | | 01164 | 01345 | 01585 | 01725 | 505 |
| | | 01165 | 01346 | 01586 | 01726 | 5061 |
| | | 01166 | 01350 | 01590 | 01730 | 5062 |
| | | 01170 | 01351 | 01591 | 01731 | 5063 |
| | | 01171 | 01352 | 01592 | 01732 | 5064 |
| | | 01172 | 01353 | 01593 | 01733 | 5069 |
| | | 01173 | 01354 | 01594 | 01734 | 5070 |
| | | 01174 | 01355 | 01595 | 01735 | 5071 |
| | | 01175 | 01356 | 01596 | 01736 | 5078 |
| | | 01176 | 01360 | 01600 | 01740 | 5080 |

| | | | | | | |
|--|----------------------------|-------|-------|-------|-------|-------|
| | | 01180 | 01361 | 01601 | 01741 | 5081 |
| | | 01181 | 01362 | 01602 | 01742 | 5082 |
| | | 01182 | 01363 | 01603 | 01743 | 5088 |
| | | 01183 | 01364 | 01604 | 01744 | 5089 |
| | | 01184 | 01365 | 01605 | 01745 | 515 |
| | | 01185 | 01366 | 01606 | 01746 | 51282 |
| | | 01186 | 01380 | 01610 | 01750 | 51283 |
| | | 01190 | 5172 | 5178 | 51883 | 51884 |
| | Non-chronic | 5100 | 51633 | 5185 | 5160 | 5193 |
| | | 5109 | 51634 | 51851 | 5161 | 5194 |
| | | 5130 | 51635 | 51852 | 5162 | 5198 |
| | | 5131 | 51636 | 51853 | 5163 | 51902 |
| | | 5120 | 51637 | 5186 | 51630 | 51909 |
| | | 5121 | 5164 | 5187 | 51631 | 51911 |
| | | 5122 | 5165 | 51881 | 51632 | 51919 |
| | | 5128 | 51661 | 51882 | 5199 | 5171 |
| | | 51281 | 51662 | 51889 | 51669 | 5180 |
| | | 51284 | 51663 | 51900 | 5168 | 5183 |
| | | 51289 | 51664 | 51901 | 5169 | 5184 |
| Lower respiratory tract infections (LRTIs) | pneumonia | 0330 | 4821 | 48284 | 4803 | 48242 |
| | | 0331 | 4822 | 48289 | 4808 | 48249 |
| | | 0338 | 48230 | 4829 | 4809 | 48281 |
| | | 0339 | 48231 | 4830 | 481 | 48282 |
| | | 0415 | 48232 | 4831 | 4820 | 48283 |
| | | 4800 | 48239 | 4838 | 486 | 4847 |
| | | 4801 | 48240 | 4841 | 4845 | 4848 |
| | | 4802 | 48241 | 4843 | 4846 | 485 |
| | Influenza | 4870 | 48801 | 48812 | 488 | 4881 |
| | | 4871 | 48802 | 48819 | 4880 | 48811 |
| | | 4878 | 48809 | 48881 | 48882 | 48889 |
| | Bronchitis & Bronchiolitis | | 4660 | 46611 | 46619 | 5060 |
| | Other LRTIs | 1363 | 5119 | 78609 | 46421 | 78604 |
| | | 3061 | 78600 | 7862 | 5118 | 78605 |
| | | 46400 | 78601 | 7863 | 51181 | 78606 |
| | | 46401 | 78602 | 78630 | 51189 | 78607 |
| | | 46420 | 78603 | 78631 | 79539 | 78639 |
| | | 7864 | 7867 | 7869 | | |

13.ANNEX 2

Procedure codes for respiratory investigation

| PROCEDURE | CODE | TYPE OF CODE |
|-------------------------------------|---------|--------------|
| CHEST X-RAY | 71010 | CPT-4 |
| CHEST X-RAY | 71015 | CPT-4 |
| CHEST X-RAY | 71020 | CPT-4 |
| CHEST X-RAY | 71021 | CPT-4 |
| CHEST X-RAY | 71022 | CPT-4 |
| CHEST X-RAY AND FLUOROSCOPY | 71023 | CPT-4 |
| CHEST X-RAY | 71030 | CPT-4 |
| CHEST X-RAY AND FLUOROSCOPY | 71034 | CPT-4 |
| CHEST X-RAY | 71035 | CPT-4 |
| CONTRAST X-RAY OF BRONCHI | 71040 | CPT-4 |
| CONTRAST X-RAY OF BRONCHI | 71060 | CPT-4 |
| X-RAY EXAM OF RIBS/CHEST | 71101 | CPT-4 |
| X-RAY EXAM OF RIBS/CHEST | 71111 | CPT-4 |
| MRI CHEST W/O DYE | 71550 | CPT-4 |
| MRI CHEST W/DYE | 71551 | CPT-4 |
| MRI CHEST W/O & W/DYE | 71552 | CPT-4 |
| MRI ANGIO CHEST W OR W/O DYE | 71555 | CPT-4 |
| PLAIN RADIOGRAPHY / THORACIC AORTA | B300 | ICD10 |
| PLAIN RADIOGRAPHY OF THORACIC AORTA | B300ZZZ | ICD10 |
| PLAIN RADIOGRAPHY RESPIRATORY SYS | BB0 | ICD10 |
| PLAIN RADIOGRAPHY / UPPER AIRWAYS | BB0D | ICD10 |
| PLAIN RADIOGRAPHY OF UPPER AIRWAYS | BB0DZZZ | ICD10 |
| PLAIN RADIOGRAPHY / THORACIC DISCS | BR02 | ICD10 |
| PLAIN RADIOGRAPHY OF THORACIC DISCS | BR02ZZZ | ICD10 |
| PLAIN RADIOGRAPHY / THORACIC SPINE | BR07 | ICD10 |
| PLAIN RADIOGRAPHY OF THORACIC SPINE | BR07ZZZ | ICD10 |
| PLAIN RADIOGRAPHY / CHEST | BW03 | ICD10 |
| PLAIN RADIOGRAPHY OF CHEST | BW03ZZZ | ICD10 |
| CT THORAX W/O DYE | 71250 | CPT-4 |
| CT THORAX W/DYE | 71260 | CPT-4 |
| CT THORAX W/O & W/DYE | 71270 | CPT-4 |
| CT ANGIOGRAPHY, CHEST | 71275 | CPT-4 |
| CT CHEST SPINE W/O DYE | 72128 | CPT-4 |
| CT CHEST SPINE W/DYE | 72129 | CPT-4 |
| CT CHEST SPINE W/O & W/DYE | 72130 | CPT-4 |
| CT CT SCAN/THORACIC AORTA | B320 | ICD10 |
| CT SCAN THOR AORTA HI OSMLR CONTRST | B3200ZZ | ICD10 |
| CT CT SCAN THOR AORTA OTH CONTRST | B320YZZ | ICD10 |
| CT SCAN THORACIC AORTA IV OPT COH | B320ZZZ | ICD10 |
| CT CT SCAN OF THORACIC AORTA | B320ZZZ | ICD10 |

| | | |
|-------------------------------------|---------|-------|
| CT CT SCAN/TRACHEA/AIRWAYS | BB2F | ICD10 |
| CT TR/AIRWAYS HI OSM CONT UN/ENHNCD | BB2F00Z | ICD10 |
| CT SCAN TR/AIRWAYS HI OSMLR CONTRST | BB2F0ZZ | ICD10 |
| CT TR/AIRWAYS L OSM CONT UN/ENHNCD | BB2F10Z | ICD10 |
| CT SCAN TR/AIRWAYS L OSMLR CONTRST | BB2F1ZZ | ICD10 |
| CT TRACH/AIRWAYS OTH CONT UN/ENHNCD | BB2FY0Z | ICD10 |
| CT CT SCAN TR/AIRWAYS OTH CONTRST | BB2FYZZ | ICD10 |
| CT CT SCAN OF TRACHEA/AIRWAYS | BB2FZZZ | ICD10 |
| CT CT SCAN/THORAX | BP2W | ICD10 |
| CT CT SCAN THOR HI OSMOLAR CONTRST | BP2W0ZZ | ICD10 |
| CT CT SCAN THOR LOW OSMOLAR CONTRST | BP2W1ZZ | ICD10 |
| CT CT SCAN THORAX OTHER CONTRAST | BP2WYZZ | ICD10 |
| CT CT SCAN/THORACIC SPINE | BR27 | ICD10 |
| CT CT SCAN OF THORACIC SPINE | BR27ZZZ | ICD10 |
| CT CT SCAN/CHEST & ABDOMEN | BW24 | ICD10 |
| CT CT SCAN CHEST ABD OTH CONTRST | BW24YZZ | ICD10 |
| CT CT SCAN OF CHEST & ABDOMEN | BW24ZZZ | ICD10 |
| CT CT SCAN/CHEST ABDOMEN & PELVIS | BW25 | ICD10 |
| CT CT SCAN CHEST ABDOMEN & PELVIS | BW25ZZZ | ICD10 |
| OTHER X-RAY OF THORAX | 874 | ICD-9 |
| CAT OF THORAX | 8741 | ICD-9 |
| ROUTINE CHEST X-RAY SO DESCRIBED | 8744 | ICD-9 |
| OTHER CHEST X-RAY | 8749 | ICD-9 |
| SOFT TISSUE X-RAY OF THORAX | 873 | ICD-9 |
| ENDOTRACHEAL BRONCHOGRAM | 8731 | ICD-9 |
| OTHER CONTRAST BRONCHOGRAM | 8732 | ICD-9 |
| MEDIASTINAL PNEUMOGRAM | 8733 | ICD-9 |
| SINOGRAM OF CHEST WALL | 8738 | ICD-9 |
| OTHER SOFT TISSUE X-RAY CHEST WALL | 8739 | ICD-9 |
| DIAGNOSTIC PROCEDURES LUNG&BRONCHUS | 332 | ICD-9 |
| THORACOSCOPIC LUNG BIOPSY | 3320 | ICD-9 |
| BRONCHOSCOPY THRU ARTIFICIAL STOMA | 3321 | ICD-9 |
| FIBER-OPTIC BRONCHOSCOPY | 3322 | ICD-9 |
| OTHER BRONCHOSCOPY | 3323 | ICD-9 |
| CLOSED BIOPSY OF BRONCHUS | 3324 | ICD-9 |
| OPEN BIOPSY OF BRONCHUS | 3325 | ICD-9 |
| CLOSED BIOPSY OF LUNG | 3326 | ICD-9 |
| CLOSED ENDOSCOPIC BIOPSY OF LUNG | 3327 | ICD-9 |
| OPEN BIOPSY OF LUNG | 3328 | ICD-9 |
| OTHER DIAGNOSTIC PROC LUNG/BRONCHUS | 3329 | ICD-9 |