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## Data analysis report

Prevalence of immunocompromised patients with a diagnosis of  
cytomegalovirus infection

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# 1. Rationale and background

Cytomegalovirus (CMV) is the largest member of the virus family *Herpesviridae* and is a ubiquitous virus that infects almost all humans at some time in their lives, with the mean seropositive rate varying with location, race and socioeconomic status. It is estimated that between 40% and 100% of adults have this lifelong infection by adulthood. In healthy persons who acquire CMV after birth, the infection is usually asymptomatic.

In adults, CMV can be diagnosed by one or more of the following methods: (1) identification of CMV inclusion bodies or CMV antigen in infected tissue by using indirect immunofluorescence microscopy, (2) detection of the virus in cell culture monolayers inoculated with infected tissue or body fluids (for example, lung, kidney, bowel, liver, blood leukocytes, urine, throat washings, bronchoalveolar lung lavage fluid, or, rarely, cerebrospinal fluid), or (3) identification of an IgM-specific antibody in serum or a serial fourfold increase in IgG antibody to CMV in primary infection or a serial fourfold rise of IgG antibody to CMV in recrudescent infection.

Among immunocompromised individuals, especially those with suppression of T-lymphocyte function such as organ transplant recipients and patients with the acquired immunodeficiency syndrome (AIDS), CMV causes significant morbidity and mortality. Therefore testing for CMV is important when somebody has a weakened immune system. Treatment (i.e. prophylaxis) can be offered to immunocompromised individuals to prevent developing CMV or once diagnosed with CMV to treat it thereby decreasing the risk of morbidity and mortality. In patients receiving corticosteroids or cytotoxic drugs, discontinuation of the use of such drugs or tapering of the dose may help to control the infection.

The aim of this study was to generate more recent data on the prevalence of CMV in Europe in immunocompromised patients to support the regulatory discussions.

## 2. Research question and objectives

The primary objectives were to estimate the prevalence of:

- a) immunocompromised patients, and
- b) immunocompromised patients who were diagnosed with CMV.

## 3. Research methods

### 3.1. Study design

This was a descriptive study of yearly prevalence of immunocompromised individuals and those immunocompromised with CMV.

### 3.2. Setting and study population

The study population included patients  $\geq 1$  year of age visiting general practices in France and Germany between 2016 and 2020.

#### Measure of interest

Prevalence is defined as the number of previously diagnosed persons affected by a condition at a specified instant in time in a given population. Prevalence was assessed as number of patients per 100.000.

#### Event of interest

##### 1) Immunocompromised patients

Immunocompromised patients at risk of complicated CMV infection are defined as patients that have at least one of these criteria:

- Solid organ transplant recipient
- HSCT/Bone marrow transplant recipient.
- HIV infection
- Primary immunodeficiencies
- Cancer patients (selected types) with solid tumours. If required the focus might shift to haematological malignancies.

OR

- Patients treated with immunosuppressive therapy

Clinical codes to identify the above-mentioned criteria are defined in Annex 1.

##### 2) Cytomegalovirus infection

Clinical codes to identify the infection are defined in Annex 1.

Infection in newborns (defined as  $<1$  year of age) was considered a separate entity and excluded from the study population.

### 3.3. Data sources

The following databases were used: IQVIA™ Disease Analyzer France and IQVIA™ Disease Analyzer Germany. An overview of these databases used for this study can be found in Annex 2.

### 3.4. Statistical analysis

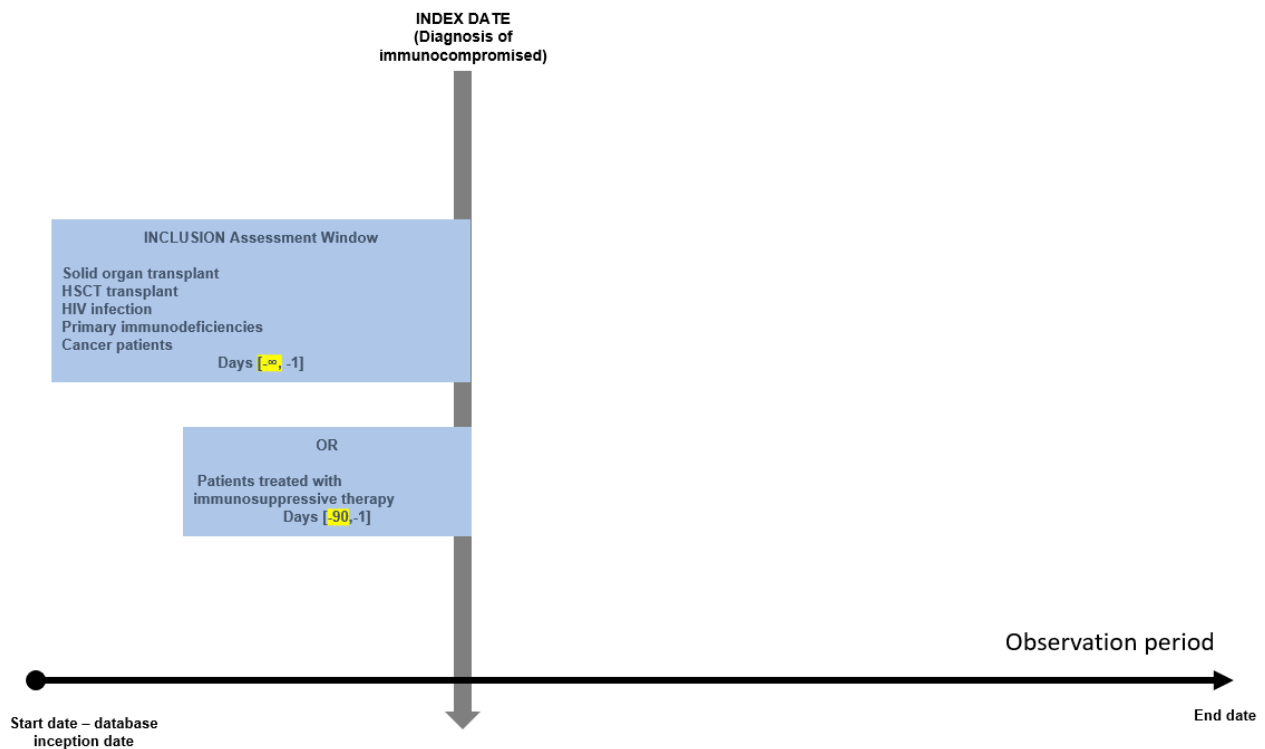
#### 3.4.1. Main analysis

Two prevalence studies were performed.

##### Study 1. Prevalence of immunocompromised patients

- Numerator: The numerator consisted of patients that were immunocompromised, during the yearly time period. Patients with an underlying condition at any time prior or with a prescription of immunosuppressive therapy 90 days prior the assessment period were included.
- Denominator: The denominator consisted of patients that were observable for at least one day during the respective year. The observability for a patient started on the date of the first visit to the practice and ended on the date of the last visit to the practice.

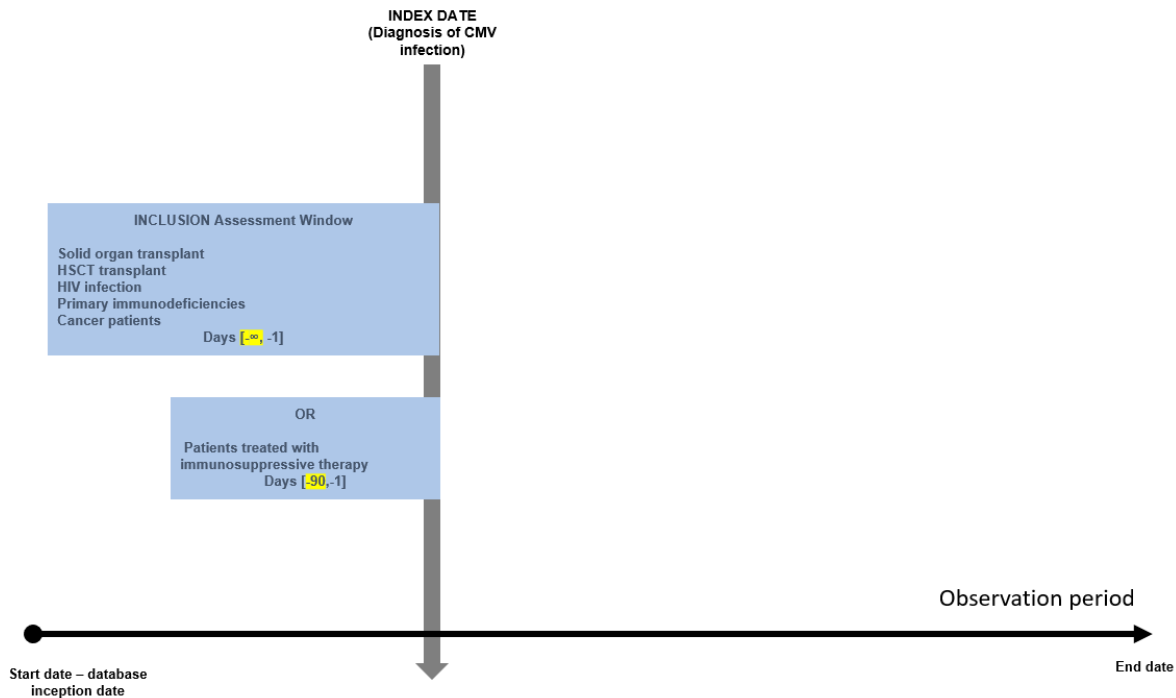
##### *Assessment window prevalence of immunocompromised patients*



## Study 2. Prevalence of immunocompromised patients with CMV

- Numerator: The numerator consisted of patients that were immunocompromised and diagnosed with CMV during the yearly time period. Patients with an underlying condition any time prior the CVM diagnoses or with a prescription for immunosuppressive therapy 90 days prior the CMV diagnoses date were included.
- Denominator: The denominator consisted of patients that were observable for at least one day during the respective year. The observability for a patient started on the date of the first visit to the practice and ended on the date of the last visit to the practice.

### ***Assessment window prevalence of immunocompromised patients with diagnosis of CMV***



The statistical analyses were performed by EMA researchers using SAS Enterprise Guide version 7.15 and IHD (Instant Health Data platform).

### **3.4.2. Sensitivity analysis**

Sensitivity analyses were also performed including those patients that used corticosteroids. See Annex 1 for diagnostic codes.

## **3.5. Quality control**

The study was conducted according to the ENCePP code of conduct (European Medicines Agency 2018).

Standard operating procedures or internal process guidance were adhered to for the conduct of the study. These procedures include rules for secure and confidential data storage, quality-control procedures for all aspects of the study from protocol development to the reporting of the results.

All documents underwent at least one round review by an experienced reviewer. Results from the statistical analysis were reviewed or checked via double coding.

The quality control of the data is the responsibility of the data holder.

## 4. Results

### 4.1.1. Descriptive data

Descriptive counts of observable persons (i.e., denominator), immunocompromised patients and immunocompromised patients with diagnosis of CMV are shown in Table 1 and Table 2. In Germany, the number of observable persons was more than 4.000.000 persons per year. In 2020, 350.096 and 12.161 were identified as immunocompromised in IQVIA™ Disease Analyzer Germany and IQVIA™ Disease Analyzer France respectively. The cohort of immunocompromised patients who were also diagnosed with CMV was small (<75 patients per year).

In France, the number of observable persons per year was significantly lower (roughly 775.000). This resulted also in a lower number of patients identified as immunocompromised (9000 to 12000 patients) or immunocompromised with diagnosis of CMV (0 to <10 patients). The study cohort increased substantially when including immunocompromised patients who used corticosteroids, which also led to the identification of a few patients who also had diagnosis of CMV (< 10 patients).

**Table 1. IQVIA™ Disease Analyzer Germany**

	2016	2017	2018	2019	2020
Denominator	4.321.019	4.527.585	4.534.403	4.441.801	4.037.396
<b>Main analysis</b>					
Numerator Immunocompromised	291.076	317.558	336.077	352.922	350.096
Numerator Immunocompromised and CMV	58	73	65	62	69
<b>Sensitivity analysis</b>					
Numerator Immunocompromised (incl. patients treated with corticosteroids)	398.159	440.337	462.446	481.180	458.771
Numerator Immunocompromised (incl. patients treated with corticosteroids) and CMV	62	79	76	68	77

**Table 2. IQVIA™ Disease Analyzer France**

	2016	2017	2018	2019	2020
Denominator	771.232	795.547	799.425	791.633	708.018
<b>Main analysis</b>					
Numerator immunocompromised patients	9.115	10.114	11.106	11.865	12.161
Numerator immunocompromised and CMV	<10	0	0	0	0
<b>Sensitivity analysis</b>					
Numerator immunocompromised (incl. patients treated with corticosteroids)	112.632	115.773	117.942	111.783	76.391
Numerator immunocompromised (incl. patients treated with corticosteroids) and CMV	<10	<10	<10	<10	0

#### 4.1.2. Main analysis

In Germany, the prevalence of immunocompromised patients slightly increased over time from 6.736 per 100.000 in 2016 to 8.671 per 100.000 in 2020. A similar increasing pattern was observed for those immunocompromised patients who also were diagnosed with CMV. However, the prevalence was significantly lower and varied from 1,34 per 100.000 in 2016 to 1,71 per 100.000 in 2020 (Table 3).

In France, the prevalence rate for those patients that were immunocompromised increased as well over time, from 1.182 per 100.000 in 2016 to 1.718 per 100.000 in 2020. For those immunocompromised patients with diagnosis of CMV, the prevalence was below 0,5 per 100.000 over time (Table 4).

#### 4.1.3. Sensivity analysis

When also accounting for patients treated with corticosteroids in the 90 days prior assessment date, the prevalence of immunocompromised patients ranged from 9.124 per 100.000 in 2016 (36% increase compared to cohort without corticosteroids) to 11.363 per 100.000 in 2020 (31% increase compared to cohort without corticosteroids) in Germany (Table 5). The prevalence of those immunocompromised patients with diagnosis of CMV slightly increased to 1,43 per 100.000 in 2016 and 1,91 per 100.000 in 2020 (Table 6).

In France, the number of patients treated with corticosteroids was considerably high, thereby increasing substantially the study cohort of immunocompromised patients. The prevalence of immunocompromised patients decreased from 14.604 per 100.000 in 2016 to 10.789 per 100.000 in 2020 (Table 5). For those immunocompromised with diagnosis of CMV, the prevalence rate remained steady below 1 per 100.000 from 2016 to 2020 (Table 6).



**Table 3. Yearly prevalence of immunocompromised patients per 100.000**

Country	Immunocompromised patients per 100,000				
	2016	2017	2018	2019	2020
Germany	6736,28	7013,85	7411,71	7945,47	8671,33
France	1181,88	1271,33	1389,25	1498,80	1717,61

**Table 4. Yearly prevalence of immunocompromised patients with diagnosis of CMV per 100.000**

Country	Immunocompromised patients with diagnosis of CVM per 100,000				
	2016	2017	2018	2019	2020
Germany	1,34	1,61	1,43	1,40	1,71
France	0,13	0,00	0,00	0,00	0,00

**Table 5. Yearly prevalence immunocompromised patients (incl. corticosteroids) per 100.000**

Country	Immunocompromised patients with (incl. corticosteroids) per 100,000				
	2016	2017	2018	2019	2020
Germany	9214,47	9725,65	10198,61	10832,99	11363,04
France	14604,17	14552,63	14753,35	14120,56	10789,41

**Table 6. Yearly prevalence immunocompromised patients (incl. corticosteroids) with diagnosis of CMV per 100.000**

Country	Immunocompromised patients with diagnosis of CVM (incl. corticosteroids) per 100,000				
	2016	2017	2018	2019	2020
Germany	1,43	1,74	1,68	1,53	1,91
France	0,13	0,50	0,13	0,13	0,00

## 5. Discussion

### 5.1. Key results

The yearly prevalence of immunocompromised patients visiting general practices varied from 7-9% in Germany to 1.2-1.7% in France. It was not possible to limit the analysis to patients with predominant suppression of T-cell function who would be considered to be at highest risk of developing CMV disease. In both countries, there was an increased risk in the prevalence in immunocompromised patients over time (Table 3.).

When including patients treated with corticosteroids (Table 5.), a similar pattern was observed in Germany. However, in France, the prevalence of immunocompromised patients was substantially higher when accounting for patients treated with corticosteroids.

In Germany, the prevalence of immunocompromised patients with diagnosis of CMV infection slightly increased but in France yearly prevalence were 0% (Table 4.). This finding remained after accounting for patients treated with corticosteroids (Table 6.).

In general, the number of immunocompromised patients with diagnosis of CMV infection as reported in the assessed primary health care databases are low and should be interpreted cautiously given the limitations of the data sources.

### 5.2. Limitations

This study was based on data from patients visiting primary care and denominators are not based on overall country population denominators. Information on patients not visiting primary care were not included in the data sources and then the study and this is the setting where most of the population at risk is expected to be diagnosed with immune deficiency, i.e. transplant centers, hospitals and specialist practices. Patients diagnosed and monitored only in secondary care (or higher) are likely to be missed. Most of the infections (if any) due to immune deficiency occur in the first months after transplantation during which the patients are likely to be still monitored in the tertiary centers involved.

In Germany, patients are not registered with a GP. This means that every time the individual visits a new practice he/she appears as a new patient in the database. As a result, for patients consulting different practices or different specialities (i.e. pediatrics), the same patient is counted multiple times. In addition, physicians in Germany have to document indications using ICD-10-GM (German Modification). In IQVIA Disease Analyzer Germany, the GM codes are converted to ICD-10-WHO codes. As the ICD-10-GRM is more granular, this conversion might result in losing details on diagnosis.

Also, it should be noted that not all therapy codes (IDA Therapy Molecule) that have been listed in Annex 1 are registered in the German database and therefore this might result in an underestimation of the number of patients with immunosuppressive therapy.

Although both the German and French dataset are considered a representative sample of their respective population, it might be considered that they do not represent the overall European population. Additional data sources may be included in the future if deemed relevant and necessary.

## 6. References

Ross SA, Novak Z, Pati S, Boppana SB. Overview of the diagnosis of cytomegalovirus infection. *Infect Disord Drug Targets*. 2011 Oct;11(5):466-74. doi: 10.2174/187152611797636703.

Lancini D, Faddy HM, Flower R, Hogan C. Cytomegalovirus disease in immunocompetent adults. *Med J Aust*. 2014 Nov 17;201(10):578-80. doi: 10.5694/mja14.00183.

EMA Taskforce Data Analytics and Methods. Real-World Data Analytics - Companion Booklet.  
<https://analytics.emea.eu.int/rwd-companion-book/>

# Annexes

## Annex 1 – Codelists

### Codes used to identify CMV

#### WHO ICD-10 Codes

##### **Cytomegalovirus**

code	description
B20.2	HIV disease resulting in cytomegaloviral disease
B25	Cytomegaloviral disease
B27.1	Cytomegaloviral mononucleosis

### Codes used to identify immunocompromised patients

Immunocompromised patients at risk of complicated CMV infection are defined as patients that have at least one of these criteria:

#### WHO ICD-10 Codes

##### **Solid organ transplant recipient**

code	description
N16.5	Renal tubulo-interstitial disorders in transplant rejection
T86.1	Kidney transplant failure and rejection
T86.2	Heart transplant failure and rejection
T86.3	Heart-lung transplant failure and rejection
T86.4	Liver transplant failure and rejection
Y83.0	Surgical operation with transplant of whole organ as the cause of abnormal reaction of the patient, or of later complication, without mention of misadventure at the time of the procedure
Z94.0	Kidney transplant status
Z94.1	Heart transplant status
Z94.2	Lung transplant status
Z94.3	Heart and lungs transplant status
Z94.4	Liver transplant status
Z94.5	Skin transplant status

##### **HSCT transplant recipient (Stem Cell Transplant/Bone Marrow transplant)**

code	description
T86.0	Bone-marrow transplant rejection
Z94.6	Bone transplant status

##### **HIV infection**

code	description
B20	Human immunodeficiency virus [HIV] disease resulting in infectious and parasitic diseases
B20.0	HIV disease resulting in mycobacterial infection
B20.1	HIV disease resulting in other bacterial infections
B20.2	HIV disease resulting in cytomegaloviral disease
B20.3	HIV disease resulting in other viral infections
B20.4	HIV disease resulting in candidiasis
B20.5	HIV disease resulting in other mycoses
B20.6	HIV disease resulting in Pneumocystis jirovecii pneumonia
B20.7	HIV disease resulting in multiple infections
B20.8	HIV disease resulting in other infectious and parasitic diseases
B20.9	HIV disease resulting in unspecified infectious or parasitic disease
B21	Human immunodeficiency virus [HIV] disease resulting in malignant neoplasms
B21.0	HIV disease resulting in Kaposi sarcoma
B21.1	HIV disease resulting in Burkitt lymphoma
B21.2	HIV disease resulting in other types of non-Hodgkin lymphoma
B21.3	HIV disease resulting in other malignant neoplasms of lymphoid, haematopoietic and related tissue

B21.7 HIV disease resulting in multiple malignant neoplasms  
 B21.8 HIV disease resulting in other malignant neoplasms  
 B21.9 HIV disease resulting in unspecified malignant neoplasm  
 B22 Human immunodeficiency virus [HIV] disease resulting in other specified diseases  
 B22.0 HIV disease resulting in encephalopathy  
 B22.1 HIV disease resulting in lymphoid interstitial pneumonitis  
 B22.2 HIV disease resulting in wasting syndrome  
 B22.7 HIV disease resulting in multiple diseases classified elsewhere  
 B23 Human immunodeficiency virus [HIV] disease resulting in other conditions  
 B23.0 Acute HIV infection syndrome  
 B23.1 HIV disease resulting in (persistent) generalized lymphadenopathy  
 B23.2 HIV disease resulting in haematological and immunological abnormalities, not elsewhere classified  
 B23.8 HIV disease resulting in other specified conditions  
 B24 Unspecified human immunodeficiency virus [HIV] disease

### **Primary immunodeficiencies**

code	description
D80	D80 Immunodeficiency with predominantly antibody defects [Non-Specific Code]
D80.0	Hereditary hypogammaglobulinemia
D80.1	Nonfamilial hypogammaglobulinemia
D80.2	Selective deficiency of immunoglobulin A [IgA]
D80.3	Selective deficiency of immunoglobulin G [IgG] subclasses
D80.4	Selective deficiency of immunoglobulin M [IgM]
D80.5	Immunodeficiency with increased immunoglobulin M [IgM]
D80.6	Antibody deficiency with near-normal immunoglobulins or with hyperimmunoglobulinemia
D80.7	Transient hypogammaglobulinemia of infancy
D80.8	Other immunodeficiencies with predominantly antibody defects
D80.9	Immunodeficiency with predominantly antibody defects, unspecified
D81	D81 Combined immunodeficiencies [Non-Specific Code]
D81.0	Severe combined immunodeficiency [SCID] with reticular dysgenesis
D81.1	Severe combined immunodeficiency [SCID] with low T- and B-cell numbers
D81.2	Severe combined immunodeficiency [SCID] with low or normal B-cell numbers
D81.30	Adenosine deaminase deficiency, unspecified
D81.31	Severe combined immunodeficiency due to adenosine deaminase deficiency
D81.32	Adenosine deaminase 2 deficiency
D81.39	Other adenosine deaminase deficiency
D81.4	Nezelof's syndrome
D81.5	Purine nucleoside phosphorylase [PNP] deficiency
D81.6	Major histocompatibility complex class I deficiency
D81.7	Major histocompatibility complex class II deficiency
D81.8	D81.8 Other combined immunodeficiencies [Non-Specific Code]
D81.81	D81.81 Biotin-dependent carboxylase deficiency [Non-Specific Code]
D81.810	Biotinidase deficiency
D81.818	Other biotin-dependent carboxylase deficiency
D81.819	Biotin-dependent carboxylase deficiency, unspecified
D81.89	Other combined immunodeficiencies
D81.9	Combined immunodeficiency, unspecified
D82	D82 Immunodeficiency associated with other major defects [Non-Specific Code]
D82.0	Wiskott-Aldrich syndrome
D82.1	Di George's syndrome
D82.2	Immunodeficiency with short-limbed stature
D82.3	Immunodeficiency following hereditary defective response to Epstein-Barr virus
D82.4	Hyperimmunoglobulin E [IgE] syndrome
D82.8	Immunodeficiency associated with other specified major defects
D82.9	Immunodeficiency associated with major defect, unspecified
D83	D83 Common variable immunodeficiency [Non-Specific Code]
D83.0	Common variable immunodeficiency with predominant abnormalities of B-cell numbers and function
D83.1	Common variable immunodeficiency with predominant immunoregulatory T-cell disorders
D83.2	Common variable immunodeficiency with autoantibodies to B- or T-cells
D83.8	Other common variable immunodeficiencies
D83.9	Common variable immunodeficiency, unspecified

D84 D84 Other immunodeficiencies [Non-Specific Code]  
 D84.0 Lymphocyte function antigen-1 [LFA-1] defect  
 D84.1 Defects in the complement system  
 D84.81 Immunodeficiency due to conditions classified elsewhere  
 D84.82 D84.82 Immunodeficiency due to drugs and external causes [Non-Specific Code]  
 D84.821 Immunodeficiency due to drugs  
 D84.822 Immunodeficiency due to external causes  
 D84.89 Other immunodeficiencies  
 D84.9 Immunodeficiency, unspecified

#### **Cancer patients with solid tumours**

code	description
C00	Malignant neoplasm of lip
C00.0	Malignant neoplasm: External upper lip
C00.1	Malignant neoplasm: External lower lip
C00.2	Malignant neoplasm: External lip, unspecified
C00.3	Malignant neoplasm: Upper lip, inner aspect
C00.4	Malignant neoplasm: Lower lip, inner aspect
C00.5	Malignant neoplasm: Lip, unspecified, inner aspect
C00.6	Malignant neoplasm: Commissure of lip
C00.8	Malignant neoplasm: Overlapping lesion of lip
C00.9	Malignant neoplasm: Lip, unspecified
C01	Malignant neoplasm of base of tongue
C02	Malignant neoplasm of other and unspecified parts of tongue
C02.0	Malignant neoplasm: Dorsal surface of tongue
C02.1	Malignant neoplasm: Border of tongue
C02.2	Malignant neoplasm: Ventral surface of tongue
C02.3	Malignant neoplasm: Anterior two-thirds of tongue, part unspecified
C02.4	Malignant neoplasm: Lingual tonsil
C02.8	Malignant neoplasm: Overlapping lesion of tongue
C02.9	Malignant neoplasm: Tongue, unspecified
C03	Malignant neoplasm of gum
C03.0	Malignant neoplasm: Upper gum
C03.1	Malignant neoplasm: Lower gum
C03.9	Malignant neoplasm: Gum, unspecified
C04	Malignant neoplasm of floor of mouth
C04.0	Malignant neoplasm: Anterior floor of mouth
C04.1	Malignant neoplasm: Lateral floor of mouth
C04.8	Malignant neoplasm: Overlapping lesion of floor of mouth
C04.9	Malignant neoplasm: Floor of mouth, unspecified
C05	Malignant neoplasm of palate
C05.0	Malignant neoplasm: Hard palate
C05.1	Malignant neoplasm: Soft palate
C05.2	Malignant neoplasm: Uvula
C05.8	Malignant neoplasm: Overlapping lesion of palate
C05.9	Malignant neoplasm: Palate, unspecified
C06	Malignant neoplasm of other and unspecified parts of mouth
C06.0	Malignant neoplasm: Cheek mucosa
C06.1	Malignant neoplasm: Vestibule of mouth
C06.2	Malignant neoplasm: Retromolar area
C06.8	Malignant neoplasm: Overlapping lesion of other and unspecified parts of mouth
C06.9	Malignant neoplasm: Mouth, unspecified
C07	Malignant neoplasm of parotid gland
C08	Malignant neoplasm of other and unspecified major salivary glands
C08.0	Malignant neoplasm: Submandibular gland
C08.1	Malignant neoplasm: Sublingual gland
C08.8	Malignant neoplasm: Overlapping lesion of major salivary glands
C08.9	Malignant neoplasm: Major salivary gland, unspecified
C09	Malignant neoplasm of tonsil
C09.0	Malignant neoplasm: Tonsillar fossa
C09.1	Malignant neoplasm: Tonsillar pillar (anterior)(posterior)
C09.8	Malignant neoplasm: Overlapping lesion of tonsil
C09.9	Malignant neoplasm: Tonsil, unspecified

- C10 Malignant neoplasm of oropharynx
- C10.0 Malignant neoplasm: Vallecule
- C10.1 Malignant neoplasm: Anterior surface of epiglottis
- C10.2 Malignant neoplasm: Lateral wall of oropharynx
- C10.3 Malignant neoplasm: Posterior wall of oropharynx
- C10.4 Malignant neoplasm: Branchial cleft
- C10.8 Malignant neoplasm: Overlapping lesion of oropharynx
- C10.9 Malignant neoplasm: Oropharynx, unspecified
- C11 Malignant neoplasm of nasopharynx
- C11.0 Malignant neoplasm: Superior wall of nasopharynx
- C11.1 Malignant neoplasm: Posterior wall of nasopharynx
- C11.2 Malignant neoplasm: Lateral wall of nasopharynx
- C11.3 Malignant neoplasm: Anterior wall of nasopharynx
- C11.8 Malignant neoplasm: Overlapping lesion of nasopharynx
- C11.9 Malignant neoplasm: Nasopharynx, unspecified
- C12 Malignant neoplasm of piriform sinus
- C13 Malignant neoplasm of hypopharynx
- C13.0 Malignant neoplasm: Postcricoid region
- C13.1 Malignant neoplasm: Aryepiglottic fold, hypopharyngeal aspect
- C13.2 Malignant neoplasm: Posterior wall of hypopharynx
- C13.8 Malignant neoplasm: Overlapping lesion of hypopharynx
- C13.9 Malignant neoplasm: Hypopharynx, unspecified
- C14 Malignant neoplasm of other and ill-defined sites in the lip, oral cavity and pharynx
- C14.0 Malignant neoplasm: Pharynx, unspecified
- C14.1 Malignant neoplasm of laryngopharynx unspecified
- C14.2 Malignant neoplasm: Waldeyer ring
- C14.8 Malignant neoplasm: Overlapping lesion of lip, oral cavity and pharynx
- C15 Malignant neoplasm of oesophagus
- C15.0 Malignant neoplasm: Cervical part of oesophagus
- C15.1 Malignant neoplasm: Thoracic part of oesophagus
- C15.2 Malignant neoplasm: Abdominal part of oesophagus
- C15.3 Malignant neoplasm: Upper third of oesophagus
- C15.4 Malignant neoplasm: Middle third of oesophagus
- C15.5 Malignant neoplasm: Lower third of oesophagus
- C15.8 Malignant neoplasm: Overlapping lesion of oesophagus
- C15.9 Malignant neoplasm: Oesophagus, unspecified
- C16 Malignant neoplasm of stomach
- C16.0 Malignant neoplasm: Cardia
- C16.1 Malignant neoplasm: Fundus of stomach
- C16.2 Malignant neoplasm: Body of stomach
- C16.3 Malignant neoplasm: Pyloric antrum
- C16.4 Malignant neoplasm: Pylorus
- C16.5 Malignant neoplasm: Lesser curvature of stomach, unspecified
- C16.6 Malignant neoplasm: Greater curvature of stomach, unspecified
- C16.8 Malignant neoplasm: Overlapping lesion of stomach
- C16.9 Malignant neoplasm: Stomach, unspecified
- C17 Malignant neoplasm of small intestine
- C17.0 Malignant neoplasm: Duodenum
- C17.1 Malignant neoplasm: Jejunum
- C17.2 Malignant neoplasm: Ileum
- C17.3 Malignant neoplasm: Meckel diverticulum
- C17.8 Malignant neoplasm: Overlapping lesion of small intestine
- C17.9 Malignant neoplasm: Small intestine, unspecified
- C18 Malignant neoplasm of colon
- C18.0 Malignant neoplasm: Caecum
- C18.1 Malignant neoplasm: Appendix
- C18.2 Malignant neoplasm: Ascending colon
- C18.3 Malignant neoplasm: Hepatic flexure
- C18.4 Malignant neoplasm: Transverse colon
- C18.5 Malignant neoplasm: Splenic flexure
- C18.6 Malignant neoplasm: Descending colon
- C18.7 Malignant neoplasm: Sigmoid colon
- C18.8 Malignant neoplasm: Overlapping lesion of colon

C18.9 Malignant neoplasm: Colon, unspecified  
 C19 Malignant neoplasm of rectosigmoid junction  
 C20 Malignant neoplasm of rectum  
 C21 Malignant neoplasm of anus and anal canal  
 C21.0 Malignant neoplasm: Anus, unspecified  
 C21.1 Malignant neoplasm: Anal canal  
 C21.2 Malignant neoplasm: Cloacogenic zone  
 C21.8 Malignant neoplasm: Overlapping lesion of rectum, anus and anal canal  
 C22 Malignant neoplasm of liver and intrahepatic bile ducts  
 C22.0 Malignant neoplasm: Liver cell carcinoma  
 C22.1 Malignant neoplasm: Intrahepatic bile duct carcinoma  
 C22.2 Malignant neoplasm: Hepatoblastoma  
 C22.3 Malignant neoplasm: Angiosarcoma of liver  
 C22.4 Malignant neoplasm: Other sarcomas of liver  
 C22.7 Malignant neoplasm: Other specified carcinomas of liver  
 C22.9 Malignant neoplasm: Liver, unspecified  
 C23 Malignant neoplasm of gallbladder  
 C24 Malignant neoplasm of other and unspecified parts of biliary tract  
 C24.0 Malignant neoplasm: Extrahepatic bile duct  
 C24.1 Malignant neoplasm: Ampulla of Vater  
 C24.8 Malignant neoplasm: Overlapping lesion of biliary tract  
 C24.9 Malignant neoplasm: Biliary tract, unspecified  
 C25 Malignant neoplasm of pancreas  
 C25.0 Malignant neoplasm: Head of pancreas  
 C25.1 Malignant neoplasm: Body of pancreas  
 C25.2 Malignant neoplasm: Tail of pancreas  
 C25.3 Malignant neoplasm: Pancreatic duct  
 C25.4 Malignant neoplasm: Endocrine pancreas  
 C25.7 Malignant neoplasm: Other parts of pancreas  
 C25.8 Malignant neoplasm: Overlapping lesion of pancreas  
 C25.9 Malignant neoplasm: Pancreas, unspecified  
 C26 Malignant neoplasm of other and ill-defined digestive organs  
 C26.0 Malignant neoplasm: Intestinal tract, part unspecified  
 C26.1 Malignant neoplasm: Spleen  
 C26.8 Malignant neoplasm: Overlapping lesion of digestive system  
 C26.9 Malignant neoplasm: Ill-defined sites within the digestive system  
 C30 Malignant neoplasm of nasal cavity and middle ear  
 C30.0 Malignant neoplasm: Nasal cavity  
 C30.1 Malignant neoplasm: Middle ear  
 C31 Malignant neoplasm of accessory sinuses  
 C31.0 Malignant neoplasm: Maxillary sinus  
 C31.1 Malignant neoplasm: Ethmoidal sinus  
 C31.2 Malignant neoplasm: Frontal sinus  
 C31.3 Malignant neoplasm: Sphenoidal sinus  
 C31.8 Malignant neoplasm: Overlapping lesion of accessory sinuses  
 C31.9 Malignant neoplasm: Accessory sinus, unspecified  
 C32 Malignant neoplasm of larynx  
 C32.0 Malignant neoplasm: Glottis  
 C32.1 Malignant neoplasm: Supraglottis  
 C32.2 Malignant neoplasm: Subglottis  
 C32.3 Malignant neoplasm: Laryngeal cartilage  
 C32.8 Malignant neoplasm: Overlapping lesion of larynx  
 C32.9 Malignant neoplasm: Larynx, unspecified  
 C33 Malignant neoplasm of trachea  
 C34 Malignant neoplasm of bronchus and lung  
 C34.0 Malignant neoplasm: Main bronchus  
 C34.1 Malignant neoplasm: Upper lobe, bronchus or lung  
 C34.2 Malignant neoplasm: Middle lobe, bronchus or lung  
 C34.3 Malignant neoplasm: Lower lobe, bronchus or lung  
 C34.8 Malignant neoplasm: Overlapping lesion of bronchus and lung  
 C34.9 Malignant neoplasm: Bronchus or lung, unspecified  
 C37 Malignant neoplasm of thymus  
 C38 Malignant neoplasm of heart, mediastinum and pleura



C38.0 Malignant neoplasm: Heart  
 C38.1 Malignant neoplasm: Anterior mediastinum  
 C38.2 Malignant neoplasm: Posterior mediastinum  
 C38.3 Malignant neoplasm: Mediastinum, part unspecified  
 C38.4 Malignant neoplasm: Pleura  
 C38.8 Malignant neoplasm: Overlapping lesion of heart, mediastinum and pleura  
 C39 Malignant neoplasm of other and ill-defined sites in the respiratory system and intrathoracic organs  
 C39.0 Malignant neoplasm: Upper respiratory tract, part unspecified  
 C39.8 Malignant neoplasm: Overlapping lesion of respiratory and intrathoracic organs  
 C39.9 Malignant neoplasm: Ill-defined sites within the respiratory system  
 C40 Malignant neoplasm of bone and articular cartilage of limbs  
 C40.0 Malignant neoplasm: Scapula and long bones of upper limb  
 C40.1 Malignant neoplasm: Short bones of upper limb  
 C40.2 Malignant neoplasm: Long bones of lower limb  
 C40.3 Malignant neoplasm: Short bones of lower limb  
 C40.8 Malignant neoplasm: Overlapping lesion of bone and articular cartilage of limbs  
 C40.9 Malignant neoplasm: Bone and articular cartilage of limb, unspecified  
 C41 Malignant neoplasm of bone and articular cartilage of other and unspecified sites  
 C41.0 Malignant neoplasm: Bones of skull and face  
 C41.1 Malignant neoplasm: Mandible  
 C41.2 Malignant neoplasm: Vertebral column  
 C41.3 Malignant neoplasm: Ribs, sternum and clavicle  
 C41.4 Malignant neoplasm: Pelvic bones, sacrum and coccyx  
 C41.8 Malignant neoplasm: Overlapping lesion of bone and articular cartilage  
 C41.9 Malignant neoplasm: Bone and articular cartilage, unspecified  
 C43 Malignant melanoma of skin  
 C43.0 Malignant neoplasm: Malignant melanoma of lip  
 C43.1 Malignant neoplasm: Malignant melanoma of eyelid, including canthus  
 C43.2 Malignant neoplasm: Malignant melanoma of ear and external auricular canal  
 C43.3 Malignant neoplasm: Malignant melanoma of other and unspecified parts of face  
 C43.4 Malignant neoplasm: Malignant melanoma of scalp and neck  
 C43.5 Malignant neoplasm: Malignant melanoma of trunk  
 C43.6 Malignant neoplasm: Malignant melanoma of upper limb, including shoulder  
 C43.7 Malignant neoplasm: Malignant melanoma of lower limb, including hip  
 C43.8 Malignant neoplasm: Overlapping malignant melanoma of skin  
 C43.9 Malignant neoplasm: Malignant melanoma of skin, unspecified  
 C44 Other malignant neoplasms of skin  
 C44.0 Malignant neoplasm: Skin of lip  
 C44.1 Malignant neoplasm: Skin of eyelid, including canthus  
 C44.2 Malignant neoplasm: Skin of ear and external auricular canal  
 C44.3 Malignant neoplasm: Skin of other and unspecified parts of face  
 C44.4 Malignant neoplasm: Skin of scalp and neck  
 C44.5 Malignant neoplasm: Skin of trunk  
 C44.6 Malignant neoplasm: Skin of upper limb, including shoulder  
 C44.7 Malignant neoplasm: Skin of lower limb, including hip  
 C44.8 Malignant neoplasm: Overlapping lesion of skin  
 C44.9 Malignant neoplasm: Malignant neoplasm of skin, unspecified  
 C45 Mesothelioma  
 C45.0 Mesothelioma of pleura  
 C45.1 Mesothelioma of peritoneum  
 C45.2 Mesothelioma of pericardium  
 C45.7 Mesothelioma of other sites  
 C45.9 Mesothelioma, unspecified  
 C46 Kaposi sarcoma  
 C46.0 Kaposi sarcoma of skin  
 C46.1 Kaposi sarcoma of soft tissue  
 C46.2 Kaposi sarcoma of palate  
 C46.3 Kaposi sarcoma of lymph nodes  
 C46.7 Kaposi sarcoma of other sites  
 C46.8 Kaposi sarcoma of multiple organs  
 C46.9 Kaposi sarcoma, unspecified  
 C47 Malignant neoplasm of peripheral nerves and autonomic nervous system

C47.0 Malignant neoplasm: Peripheral nerves of head, face and neck  
 C47.1 Malignant neoplasm: Peripheral nerves of upper limb, including shoulder  
 C47.2 Malignant neoplasm: Peripheral nerves of lower limb, including hip  
 C47.3 Malignant neoplasm: Peripheral nerves of thorax  
 C47.4 Malignant neoplasm: Peripheral nerves of abdomen  
 C47.5 Malignant neoplasm: Peripheral nerves of pelvis  
 C47.6 Malignant neoplasm: Peripheral nerves of trunk, unspecified  
 C47.8 Malignant neoplasm: Overlapping lesion of peripheral nerves and autonomic nervous system  
 C47.9 Malignant neoplasm: Peripheral nerves and autonomic nervous system, unspecified  
 C48 Malignant neoplasm of retroperitoneum and peritoneum  
 C48.0 Malignant neoplasm: Retroperitoneum  
 C48.1 Malignant neoplasm: Specified parts of peritoneum  
 C48.2 Malignant neoplasm: Peritoneum, unspecified  
 C48.8 Malignant neoplasm: Overlapping lesion of retroperitoneum and peritoneum  
 C49 Malignant neoplasm of other connective and soft tissue  
 C49.0 Malignant neoplasm: Connective and soft tissue of head, face and neck  
 C49.1 Malignant neoplasm: Connective and soft tissue of upper limb, including shoulder  
 C49.2 Malignant neoplasm: Connective and soft tissue of lower limb, including hip  
 C49.3 Malignant neoplasm: Connective and soft tissue of thorax  
 C49.4 Malignant neoplasm: Connective and soft tissue of abdomen  
 C49.5 Malignant neoplasm: Connective and soft tissue of pelvis  
 C49.6 Malignant neoplasm: Connective and soft tissue of trunk, unspecified  
 C49.8 Malignant neoplasm: Overlapping lesion of connective and soft tissue  
 C49.9 Malignant neoplasm: Connective and soft tissue, unspecified  
 C50 Malignant neoplasm of breast  
 C50.0 Malignant neoplasm: Nipple and areola  
 C50.1 Malignant neoplasm: Central portion of breast  
 C50.2 Malignant neoplasm: Upper-inner quadrant of breast  
 C50.3 Malignant neoplasm: Lower-inner quadrant of breast  
 C50.4 Malignant neoplasm: Upper-outer quadrant of breast  
 C50.5 Malignant neoplasm: Lower-outer quadrant of breast  
 C50.6 Malignant neoplasm: Axillary tail of breast  
 C50.8 Malignant neoplasm: Overlapping lesion of breast  
 C50.9 Malignant neoplasm: Breast, unspecified  
 C51 Malignant neoplasm of vulva  
 C51.0 Malignant neoplasm: Labium majus  
 C51.1 Malignant neoplasm: Labium minus  
 C51.2 Malignant neoplasm: Clitoris  
 C51.8 Malignant neoplasm: Overlapping lesion of vulva  
 C51.9 Malignant neoplasm: Vulva, unspecified  
 C52 Malignant neoplasm of vagina  
 C53 Malignant neoplasm of cervix uteri  
 C53.0 Malignant neoplasm: Endocervix  
 C53.1 Malignant neoplasm: Exocervix  
 C53.8 Malignant neoplasm: Overlapping lesion of cervix uteri  
 C53.9 Malignant neoplasm: Cervix uteri, unspecified  
 C54 Malignant neoplasm of corpus uteri  
 C54.0 Malignant neoplasm: Isthmus uteri  
 C54.1 Malignant neoplasm: Endometrium  
 C54.2 Malignant neoplasm: Myometrium  
 C54.3 Malignant neoplasm: Fundus uteri  
 C54.8 Malignant neoplasm: Overlapping lesion of corpus uteri  
 C54.9 Malignant neoplasm: Corpus uteri, unspecified  
 C55 Malignant neoplasm of uterus, part unspecified  
 C56 Malignant neoplasm of ovary  
 C57 Malignant neoplasm of other and unspecified female genital organs  
 C57.0 Malignant neoplasm: Fallopian tube  
 C57.1 Malignant neoplasm: Broad ligament  
 C57.2 Malignant neoplasm: Round ligament  
 C57.3 Malignant neoplasm: Parametrium  
 C57.4 Malignant neoplasm: Uterine adnexa, unspecified  
 C57.7 Malignant neoplasm: Other specified female genital organs  
 C57.8 Malignant neoplasm: Overlapping lesion of female genital organs

C57.9 Malignant neoplasm: Female genital organ, unspecified  
 C58 Malignant neoplasm of placenta  
 C60 Malignant neoplasm of penis  
 C60.0 Malignant neoplasm: Prepuce  
 C60.1 Malignant neoplasm: Glans penis  
 C60.2 Malignant neoplasm: Body of penis  
 C60.8 Malignant neoplasm: Overlapping lesion of penis  
 C60.9 Malignant neoplasm: Penis, unspecified  
 C61 Malignant neoplasm of prostate  
 C62 Malignant neoplasm of testis  
 C62.0 Malignant neoplasm: Undescended testis  
 C62.1 Malignant neoplasm: Descended testis  
 C62.9 Malignant neoplasm: Testis, unspecified  
 C63 Malignant neoplasm of other and unspecified male genital organs  
 C63.0 Malignant neoplasm: Epididymis  
 C63.1 Malignant neoplasm: Spermatic cord  
 C63.2 Malignant neoplasm: Scrotum  
 C63.7 Malignant neoplasm: Other specified male genital organs  
 C63.8 Malignant neoplasm: Overlapping lesion of male genital organs  
 C63.9 Malignant neoplasm: Male genital organ, unspecified  
 C64 Malignant neoplasm of kidney, except renal pelvis  
 C65 Malignant neoplasm of renal pelvis  
 C66 Malignant neoplasm of ureter  
 C67 Malignant neoplasm of bladder  
 C67.0 Malignant neoplasm: Trigone of bladder  
 C67.1 Malignant neoplasm: Dome of bladder  
 C67.2 Malignant neoplasm: Lateral wall of bladder  
 C67.3 Malignant neoplasm: Anterior wall of bladder  
 C67.4 Malignant neoplasm: Posterior wall of bladder  
 C67.5 Malignant neoplasm: Bladder neck  
 C67.6 Malignant neoplasm: Ureteric orifice  
 C67.7 Malignant neoplasm: Urachus  
 C67.8 Malignant neoplasm: Overlapping lesion of bladder  
 C67.9 Malignant neoplasm: Bladder, unspecified  
 C68 Malignant neoplasm of other and unspecified urinary organs  
 C68.0 Malignant neoplasm: Urethra  
 C68.1 Malignant neoplasm: Paraurethral gland  
 C68.8 Malignant neoplasm: Overlapping lesion of urinary organs  
 C68.9 Malignant neoplasm: Urinary organ, unspecified  
 C69 Malignant neoplasm of eye and adnexa  
 C69.0 Malignant neoplasm: Conjunctiva  
 C69.1 Malignant neoplasm: Cornea  
 C69.2 Malignant neoplasm: Retina  
 C69.3 Malignant neoplasm: Choroid  
 C69.4 Malignant neoplasm: Ciliary body  
 C69.5 Malignant neoplasm: Lacrimal gland and duct  
 C69.6 Malignant neoplasm: Orbit  
 C69.8 Malignant neoplasm: Overlapping lesion of eye and adnexa  
 C69.9 Malignant neoplasm: Eye, unspecified  
 C70 Malignant neoplasm of meninges  
 C70.0 Malignant neoplasm: Cerebral meninges  
 C70.1 Malignant neoplasm: Spinal meninges  
 C70.9 Malignant neoplasm: Meninges, unspecified  
 C71 Malignant neoplasm of brain  
 C71.0 Malignant neoplasm: Cerebrum, except lobes and ventricles  
 C71.1 Malignant neoplasm: Frontal lobe  
 C71.2 Malignant neoplasm: Temporal lobe  
 C71.3 Malignant neoplasm: Parietal lobe  
 C71.4 Malignant neoplasm: Occipital lobe  
 C71.5 Malignant neoplasm: Cerebral ventricle  
 C71.6 Malignant neoplasm: Cerebellum  
 C71.7 Malignant neoplasm: Brain stem  
 C71.8 Malignant neoplasm: Overlapping lesion of brain

C71.9 Malignant neoplasm: Brain, unspecified  
 C72 Malignant neoplasm of spinal cord, cranial nerves and other parts of central nervous system  
 C72.0 Malignant neoplasm: Spinal cord  
 C72.1 Malignant neoplasm: Cauda equina  
 C72.2 Malignant neoplasm: Olfactory nerve  
 C72.3 Malignant neoplasm: Optic nerve  
 C72.4 Malignant neoplasm: Acoustic nerve  
 C72.5 Malignant neoplasm: Other and unspecified cranial nerves  
 C72.8 Malignant neoplasm: Overlapping lesion of brain and other parts of central nervous system  
 C72.9 Malignant neoplasm: Central nervous system, unspecified  
 C73 Malignant neoplasm of thyroid gland  
 C74 Malignant neoplasm of adrenal gland  
 C74.0 Malignant neoplasm: Cortex of adrenal gland  
 C74.1 Malignant neoplasm: Medulla of adrenal gland  
 C74.9 Malignant neoplasm: Adrenal gland, unspecified  
 C75 Malignant neoplasm of other endocrine glands and related structures  
 C75.0 Malignant neoplasm: Parathyroid gland  
 C75.1 Malignant neoplasm: Pituitary gland  
 C75.2 Malignant neoplasm: Craniopharyngeal duct  
 C75.3 Malignant neoplasm: Pineal gland  
 C75.4 Malignant neoplasm: Carotid body  
 C75.5 Malignant neoplasm: Aortic body and other paraganglia  
 C75.8 Malignant neoplasm: Pluriglandular involvement, unspecified  
 C75.9 Malignant neoplasm: Endocrine gland, unspecified

#### **IDA Therapy Molecule Name**

Patients treated with immunosuppressive therapy

ATC code	Name
L04AA02	muromonab-CD3
L04AA03	antilymphocyte immunoglobulin (horse)
L04AA04	antithymocyte immunoglobulin (rabbit)
L04AA06	mycophenolic acid
L04AA10	sirolimus
L04AA13	leflunomide
L04AA15	alefacept
L04AA18	everolimus
L04AA19	gusperimus
L04AA21	efalizumab
L04AA22	abetimus
L04AA23	natalizumab
L04AA24	abatacept
L04AA25	eculizumab
L04AA26	belimumab
L04AA27	fingolimod
L04AA28	belatacept
L04AA29	tofacitinib
L04AA31	teriflunomide
L04AA32	apremilast
L04AA33	vedolizumab
L04AA34	alemtuzumab
L04AA35	begelomab
L04AA36	ocrelizumab
L04AA37	baricitinib

L04AA38	ozanimod
L04AA39	emapalumab
L04AA40	cladribine
L04AA41	imlifidase
L04AA42	siponimod
L04AA43	ravulizumab
L04AA44	upadacitinib
L04AA45	filgotinib
L04AA46	itacitinib
L04AA47	inebilizumab
L04AA48	belumosudil
L04AA49	peficitinib
L04AA50	ponesimod
L04AA51	anifrolumab
L04AA52	ofatumumab
L04AA53	teprotumumab
L04AA54	pegcetacoplan
L04AA55	sutimlimab
L04AA56	deucravacitinib
L04AB01	etanercept
L04AB02	infliximab
L04AB03	afelimomab
L04AB04	adalimumab
L04AB05	certolizumab pegol
L04AB06	golimumab
L04AB07	opinercept
L04AC01	daclizumab
L04AC02	basiliximab
L04AC03	anakinra
L04AC04	rilonacept
L04AC05	ustekinumab
L04AC07	tocilizumab
L04AC08	canakinumab
L04AC09	briakinumab
L04AC10	secukinumab
L04AC11	siltuximab
L04AC12	brodalumab
L04AC13	ixekizumab
L04AC14	sarilumab
L04AC15	sirukumab
L04AC16	guselkumab
L04AC17	tildrakizumab
L04AC18	risankizumab
L04AC19	satralizumab
L04AC20	netakimab
L04AC21	bimekizumab

L04AC22	spesolimab
L04AD01	ciclosporin
L04AD02	tacrolimus
L04AD03	voclosporin
L04AX01	azathioprine
L04AX02	thalidomide
L04AX03	methotrexate
L04AX04	lenalidomide
L04AX05	pirfenidone
L04AX06	pomalidomide
L04AX07	dimethyl fumarate
L04AX08	darvadstrocel
L04AX09	lenalidomide

### **EphMRA ATC Code List**

Patients treated with corticosteroids

code	description
H02A1	INJ CORTICOSTEROIDS PLAIN
H02A2	ORAL CORTICOSTEROID PLAIN
H02A3	OTH SYS CORTICOSTERO PLN
H02B0	COMB CORTICOSTEROIDS
R03D2	CORTICOSTEROIDS, SYSTEMIC

## **Annex 2 – Information on Databases and Healthcare systems included**

### **IQVIA™ Disease Analyzer Germany**

IQVIA™ Disease Analyzer (IDA) Germany collects computerised information from specialised and general primary care practices throughout Germany since 1992. Around 3% of general practitioners (GP) practices are included, which covers all patients consulting a practice. Data from IDA Germany have been shown to be reasonably representative of German healthcare statistics for demographics and certain diseases and is considered one of the largest national medical databases worldwide. IDA Germany includes more than 2,500 practices and 3,100 physicians (13 speciality groups) representing over 15,000,000 patients.

This database used to be named IQVIA™ Germany and some use of this terminology may persist.

### **IQVIA™ Disease Analyzer France**

IQVIA™ Disease Analyzer (IDA) France collects anonymised patient medical records since 1997 through a representative panel of GPs. The physician sample represents approximately 2% of physicians and is weighted by age and gender of the physician, doctor region and the SNIR of the physician (National Official Indicator of the GP volume of activity in terms of visits and consultations). Some 99% of the French population is insured, but there are differences regarding level of coverage. IDA France includes around 1,000 GPs and represents more than 4,000,000 of patients and considered representative for the French population.

This database used to be named IQVIA™ France and some use of this terminology may persist.